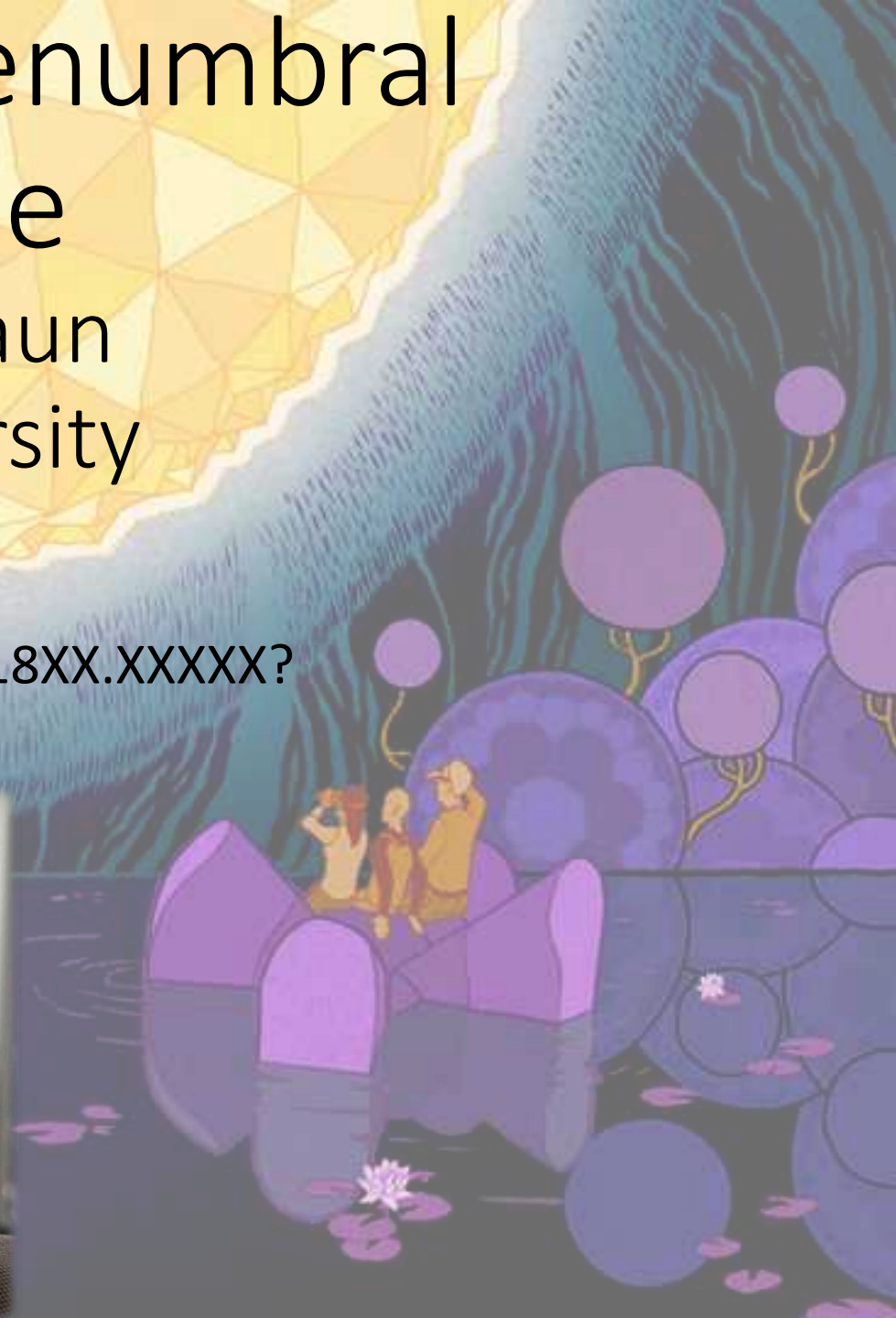
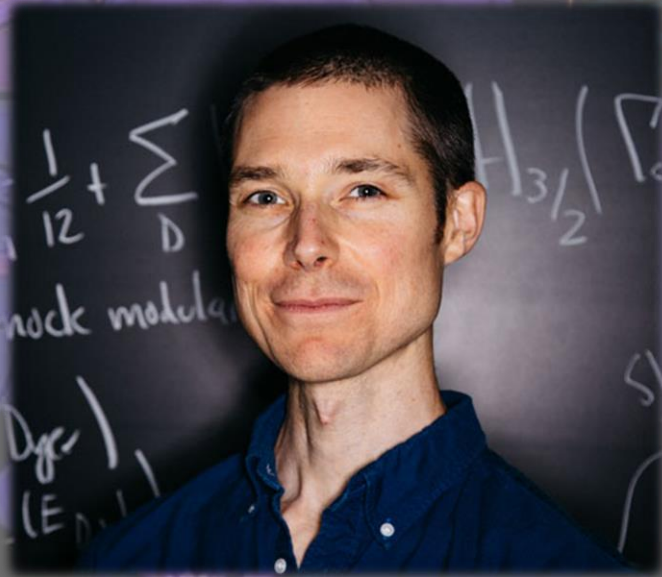


Thompson and Penumbral Moonshine

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Umbral Moonshine

Mock Jacobi forms

M24,
Elliptic genus
of $K3$

Niemeyer lattices

2.M12,
2.2³L₃(2),
2.S5, ...

genus 0
groups of Atkin
Lehner form
n+e, f, g, ...

Penumbral Moonshine

Skew-holomorphic
Jacobi forms

Th ,
traces of
singular moduli

modular
lattices

3.G2(3),
L2(7), ²F₄(2)', ...

genus 0
groups of Atkin
Lehner form
n+e, f, g, ...

The automorphic objects

A weight 1, index m skew-holomorphic Jacobi form is equivalent to a vector valued modular form of weight $\frac{1}{2}$ transforming under the Weil representation attached to the lattice $L_m = \sqrt{2m}\mathbb{Z}$, i.e. a function

$$\mathfrak{h} \rightarrow \mathbb{C}[\mathbb{Z}/2m\mathbb{Z}] \equiv \text{span}_{\mathbb{C}}\{\mathbf{e}_{\mu} \mid \mu = 0, \dots, 2m - 1\}$$

whose components

$$\mathbf{f}(\tau) = \sum_{\mu \bmod 2m} f_{\mu}(\tau) \mathbf{e}_{\mu} \quad \text{satisfy} \quad \mathbf{f}\left(\frac{a\tau + b}{c\tau + d}\right) = (c\tau + d)^{1/2} \rho^{(m)}\left(\begin{pmatrix} a & b \\ c & d \end{pmatrix}\right) \mathbf{f}(\tau)$$

$$\text{where } \rho^{(m)}(T)\mathbf{e}_{\mu} = e^{\pi i \mu^2 / 2m} \mathbf{e}_{\mu} \quad \text{and} \quad \rho^{(m)}(S) = \frac{1}{\sqrt{2mi}} \sum_{\nu \bmod 2m} e^{-\pi i \mu \nu / m} \mathbf{e}_{\nu}$$

Examples

Theta functions $\theta_m(\tau) = \sum_{\mu \bmod 2m} \left(\sum_{\substack{n \in \mathbb{Z} \\ n \equiv \mu \bmod 2m}} q^{n^2/4m} \right) \epsilon_\mu$

Generating functions for traces of singular moduli, e.g. the scalar modular form

$$f_3(\tau) = q^{-3} - 248q + 26752q^4 - 85995q^5 + 1707264q^8 + O(q^9) \quad \text{Zagier, Borcherds}$$

can be repackaged into an $m = 1$ skew-holomorphic Jacobi form.

The **Thompson moonshine module** has graded-dimension

$$\mathcal{F}_3(\tau) = 2\vec{f}_3(\tau) + 248\theta_1(\tau) = \begin{pmatrix} 248 + 54000q + 3414528q^2 + 88660992q^3 + O(q^4) \\ 2q^{-3/4} - 171990q^{5/4} - 8192000q^{9/4} + O(q^{17/4}) \end{pmatrix}$$

248 $27000 + \overline{27000}$ $1707264 \oplus \overline{1707264}$

 $85995 \oplus \overline{85995}$ $4096000 \oplus \overline{4096000}$

Moonshine for skew-holomorphic Jacobi forms through deconstruction

Start with a **seed CFT/VOA** V^{\natural} with stress tensor $T(z)$

Find two additional dimension 2 operators $T^{(\pm)}(z)$ such that

$T(z) = T^{(+)}(z) + T^{(-)}(z)$ they sum to the original stress tensor

$T^{(\pm)}(z)T^{(\pm)}(w) \sim \frac{c^{\pm}/2}{(z-w)^4} + \frac{2T^{(\pm)}(w)}{(z-w)^2} + \frac{\partial T^{(\pm)}(w)}{z-w}$ they each have the OPE of a stress tensor

Organize the Hilbert space of the seed CFT into a direct sum of tensor products of modules of the two new Virasoro algebras. E.g. if $c^{(+)} < 1$ then

$$V^{\natural} = \bigoplus_h M(c^+, h) \otimes V_h^{(-)}$$

where the $M(c^+, h)$ are the (finite # of) highest weight modules of **Vir(c^+)**

The $V_h^{(-)}$ often inherit symmetries from the seed CFT. Their counting functions often assemble into skew-holomorphic Jacobi forms.

Examples

Taking $V^{\natural} = \text{Monster module}$ and $c^+ = 1/2$ (Ising CFT) leads to a module with 2.B (Cent(2A) in M) symmetry whose counting function is an $m = 4$ skew-form

$$\eta(\tau) \text{Tr}_{V_0^{(-)}} q^{L_0^{(-)} - c/24} = q^{-15/16} - q^{1/16} + 96255q^{17/16} + 9550635q^{33/16} + \dots$$

$$\eta(\tau) \text{Tr}_{V_{1/2}^{(-)}} q^{L_0^{(-)} - c/24} = 4371q^{9/16} + 1139374q^{25/16} + 63532485q^{41/16} + \dots \quad (\text{Hoehn})$$

$$\eta(\tau) \text{Tr}_{V_{1/16}^{(-)}} q^{L_0^{(-)} - c/24} = 96256q + 10506240q^2 + 410132480q^3 + \dots$$

Taking $V^{\natural} = \text{Monster module}$ and $c^+ = 4/5$ (3-state Potts CFT) leads to a module with 3.Fi24 (Cent(3A) in M) symmetry whose counting function is has $m = 30$ (Miyamoto)

Taking $V^{\natural} = \text{Monster module}$ and $c^+ = 2(n-1)/(n+2)$ the central charge of a parafermion model leads to a module with Cent(nX) symmetry whose counting function is a skew-form.

Taking $V^{\natural} = \text{Conway module}$ and $c^+ = 1/2$ also leads to an $m = 4$ skew-form.

Thompson moonshine and Borcherds lifting

The centralizer of 3C in the Monster is $\mathbb{Z}/3\mathbb{Z} \times \text{Th}$

The 3C twisted sector V_{3C}^{\natural} of the Monster module has Thompson symmetry and graded dimension

$$Z(3C, 1; \tau) = j(\tau)^{1/3} = q^{-1/3} + 248q^2 + 4124q^{5/3} + 34752q^{8/3} + \dots$$

The weight $\frac{1}{2}$ function of Zagier

$$f_{3,1}(\tau) = q^{-3} - 248q + 26752q^4 - 85995q^5 + \dots = \sum c_1(n)q^n$$

which is closely related to the graded dimension of weight $\frac{1}{2}$ Th moonshine, lifts to $Z(3C, 1; \tau)$ in the sense of Borcherds:

$$Z(3C, 1; \tau) = \text{Lift}[f_{3,1}] = q^{-1/3} \prod_{n=1}^{\infty} (1 - q^n)^{c_1(n^2)}$$

Can we promote this to a Th-equivariant structure?

$$Z(3C, 1; \tau) \xrightarrow{\text{twine}} Z(3C, g; \tau)$$

lift

Does the Th-module satisfy this equivariant notion of lifting?

lift

$$f_{3,1}(\tau) \xrightarrow{\text{twine}} f_{3,g}(\tau)$$

$$\text{Lift}[f_{3,g}(\tau)] = q^{-1/3} \exp \left(- \sum_{n=1}^{\infty} \sum_{k=1}^{\infty} c_{g^k}(n^2) \frac{q^{nk}}{k} \right)$$

$$\text{where } f_{3,g}(\tau) = \sum c_g(n) q^n$$

No, but the next best thing happens.

Conjecture: there is *another* Th-module whose graded-dimension is Zagier's function $f_3(\tau) = q^{-3} - 248q + 26752q^4 + \dots$ (up to minor adjustments) and which plays well with Borcherds lifting to V_{3C}^{\natural} in the sense of the previous slide.

Some properties:

1. Virtual representations needed at low order
2. McKay-Thompson series non-optimal (need to consider modular forms with poles at cusps other than infinity, have constructed almost all MT series using Rademacher series)

Physics outlook

Borcherds products/singular theta lifts appear in many **physical settings** (threshold corrections of N=2 d=4 string compactifications, elliptic genera of symmetric products, etc.) Can we borrow from existing ideas to make sense of Thompson moonshine in weight $\frac{1}{2}$?

Strikingly **similar to twisted denominator formulae** in

Borcherds/Hohn/Carnahan proof of generalized moonshine, and the more recent **embedding of this structure into heterotic string theory** by Paquette, Persson, Volpato. How much structure carries over (quantization functor, spacetime index, Lie algebra, CHL orbifolds, etc.)

Second-quantized BPS state count

$$T_g(\sigma) \xrightarrow{\leftarrow} T_g(\tau) = p^{-1} \exp \left(- \sum_{k=1}^{\infty} \sum_{m>0, n \in \mathbb{Z}} c_{g^k}(mn) \frac{p^{mk} q^{nk}}{k} \right) \quad T_g(\tau) = \sum c_g(n) q^n$$

$$Z(3C, g; \tau) = q^{-1/3} \exp \left(- \sum_{k=1}^{\infty} \sum_{n=1}^{\infty} c_{g^k}(n^2) \frac{q^{nk}}{k} \right) \quad f_{3,g}(\tau) = \sum c_g(n) q^n$$

Math outlook

With Borcherds lifting machinery in place, can begin to take a more principled approach to realizing the MT series in weight $\frac{1}{2}$ as **generating functions for traces of singular moduli**. Does $f_{3,g}(\tau)$ encode (suitably defined) traces of $Z(3C, g; \tau)^3$?

Extension to other cases of generalized moonshine. Many (all?) of the weight 0 functions appearing in generalized monstrous moonshine can be written as Borcherds lifts of suitable skew-holomorphic Jacobi forms. For example, for each prime p dividing the order of the Monster, one can construct an index p skew-form $f^{(p)}(\tau) = \sum c^{(p)}(n)q^n$ via Rademacher series whose Borcherds lift is the hauptmodul j_{p+} for $\Gamma_0(p)_+$ (up to a constant)

$$j_{p+}(\tau) = q^{-h_p} \prod_{n=1}^{\infty} (1 - q^n)^{c^{(p)}(n^2)}$$

Relation between the two versions of Th moonshine in weight $\frac{1}{2}$?

Thanks!