



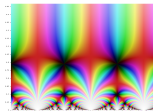
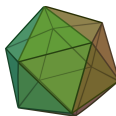
A supersymmetric index for a class of 2d σ -models with large $\mathcal{N} = 4$ superconformal symmetry

Based on 1804.09987 and WIP with Anne Taormina, Xin Tang

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Introduction & Motivation

Mathieu Moonshine appears when considering a quantity known as the *elliptic genus* of a $K3$ theory, which is an $\mathcal{N} = (4, 4)$ theory with $c = 6$.

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Definition

The Elliptic Genus of an $\mathcal{N} = (4, 4)$ conformal field theory is defined as

$$\varepsilon_{\mathcal{C}}(\tau, z) := \text{Tr}_{\mathcal{H}^R} \left((-1)^F q^{L_0 - \frac{c}{24}} \bar{q}^{\bar{L}_0 - \frac{\bar{c}}{24}} y^{2J_0^3} \right) \quad (1)$$

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$$\varepsilon_{\mathcal{M}}(\tau, z) := Z_{\tilde{R}}(\tau, z; \bar{\tau}, \bar{z} = 0). \quad (2)$$

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In terms of $\mathcal{N} = 4$ characters we can expand the elliptic genus of $K3$ as

$$\varepsilon_{K3}(\tau, z) = 24 \text{ch}_{l=0}^{\tilde{R}}(\tau, z) + 2h_2(\tau) q^{\frac{1}{8}} \hat{\text{ch}}_{l=1/2}^{\tilde{R}}(\tau, z) \quad (3)$$

Unitary Ramond HW Representations of A_γ

σ -models on group manifolds can possess a SCA larger than the usual $\mathcal{N} = 4$, called A_γ ¹. This is an $\mathcal{N} = 4$ SCA with an $SU(2) \oplus SU(2) \oplus U(1)$ Kac-Moody subalgebra and four free fermionic fields.

¹Philippe Spindel et al. "Complex structures on parallelised group manifolds and supersymmetric σ -models". In: *Physics Letters B* 206.1 (1988), pp. 71–74.

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Characters for A_γ are defined by

$$\text{Ch}^{A_\gamma, R} = \text{Tr}_{\mathcal{H}^R} (q^{L_0 - c/24} z_+^{2T_0^{+3}} z_-^{2T_0^{-3}} \chi^{iU_0}). \quad (4)$$

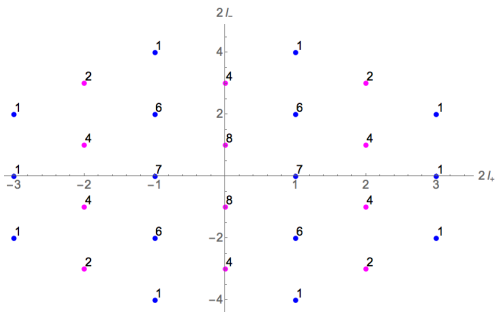
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σ -models on group manifolds can possess a SCA larger than the usual $\mathcal{N} = 4$, called A_γ^1 . This is an $\mathcal{N} = 4$ SCA with an $SU(2) \oplus SU(2) \oplus U(1)$ Kac-Moody subalgebra and four free fermionic fields.

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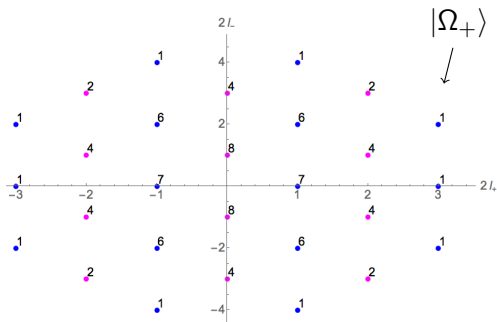
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Considering the norm $|\langle Q_0^{-k} G_0^{-k} | \Omega_+ \rangle|$ leads to a unitarity bound

$$(h - \frac{c}{24})k \geq u^2 + (l_+^+ + l_+^-)^2 \quad (5)$$

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The Question

Does there exist a story similar to that of Mathieu moonshine for this larger algebra?

The Index I_1

Massive characters of A_γ have a double zero at $z_+ = z_-$, while massless characters only have a single zero. This is due to the contribution of the zero modes of the free fermions.

$$\text{Ch}^{A_\gamma} = \text{Ch}^{A_{QU}} \times \text{Ch}^{\tilde{A}_\gamma} \quad (6)$$

²Sergei Gukov et al. "An index for 2D field theories with large $\mathcal{N} = 4$ superconformal symmetry". In: *arXiv preprint* (2004). eprint: [hep-th/0404023](https://arxiv.org/abs/hep-th/0404023).

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Definition

The index I_1 of a theory \mathcal{D} with partition function $Z^{\mathcal{D}}$, is given by

$$\begin{aligned} I_1(\mathcal{D})(q, z_+, z_-, \bar{q}, \bar{z}) &:= -\bar{z}_+ \frac{\partial}{\partial \bar{z}_-} Z^{\mathcal{D}}_{\mathcal{H}^{\bar{R}}} (q, z_+, z_-, \bar{q}, \bar{z}_+, \bar{z}_-) \Big|_{\bar{z}_+ = \bar{z}_- = \bar{z}}, \\ &= \text{Tr}_{\mathcal{H}^{\bar{R}}} \left(-F_R(-1)^F q^{L_0 - c/24} \bar{q}^{\bar{L}_0 - \bar{c}/24} z_+^{2T_0^+} z_-^{2T_0^-} \bar{z}^{2(\bar{T}_0^+ + \bar{T}_0^-)} \right), \end{aligned} \quad (7)$$

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Charges of Contributing States

The contribution to the index of a massless A_γ representation is given by³

$$I_1 \left(\text{Ch}_0^{A_\gamma, \tilde{R}}(k^+, k^-, l^+, l^-, u) \right) = (-1)^{2l^- - 1} q^{u^2/k} \Theta_{\mu, k}^-(\tau, \omega), \quad (8)$$

where $\mu = 2(l^+ + l^-) - 1$,

$$\Theta_{\mu, k}^- = q^{\mu^2/4k} \sum_{n \in \mathbb{Z}} q^{kn^2 + n\mu} (z^{2kn + \mu} - z^{-2kn - \mu}). \quad (9)$$

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From here we can read that contributing states must satisfy

$$L_0 - \frac{c}{24} = \frac{u^2}{k} + \frac{1}{k} \left((T_0^{+3} + T_0^{-3})^2 \right). \quad (10)$$

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The Zero Mode Subalgebra of A_γ and Supertableaux

In the Ramond sector, the zero mode subalgebra of A_γ is the direct sum of a $\mathfrak{u}(1)$ algebra and the simple Lie superalgebra $A(1|1)$,

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We can therefore study the branching of representations of A_γ into representations of (the complexification) of $\mathfrak{su}(2|2)$, which can be classified by supertableaux⁴.

⁴A Baha Balantekin and Itzhak Bars. "Dimension and character formulas for Lie supergroups". In: *Journal of Mathematical Physics* 22.6 (1981), pp. 1149–1162; Sam Fearn. "Young Supertableaux and the large $\mathcal{N} = 4$ superconformal algebra". In: *arXiv preprint* (2018). eprint: 1804.09987.

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$$I_1 \left(\begin{array}{|c|c|} \hline \diagup & m \\ \hline n & \\ \hline \end{array} \right) = (-1)^n (z^{-m-n-1} - z^{m+n+1}) \quad (12)$$



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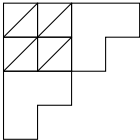
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The Sum Rules

The coset construction of A_γ has been used⁵ to construct character sum rules for \tilde{A}_γ ($k^- = 2$).

⁵Hiroshi Ooguri, Jens Lyng Petersen, and Anne Taormina. "Modular invariant partition functions for the doubly extended $\mathcal{N} = 4$ superconformal algebras". In: *Nuclear Physics B* 368.3 (1992), pp. 611–624; Jens Lyng Petersen and Anne Taormina. "Coset construction and character sum rules for the doubly extended $\mathcal{N} = 4$ superconformal algebras". In: *Nuclear Physics B* 398.2 (1993), pp. 459–495.

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$$\mathcal{H}^{WS} \otimes \widehat{\mathcal{H}}_\Lambda^{su(3)_{\tilde{k}^+}} = \bigoplus_i \left(\mathcal{H}^{\tilde{A}_\gamma}_{0, l_i^+, l_i^-} \otimes \mathcal{H}_{m_i}^{A_{3k}} \right) \bigoplus_j \left(\bigoplus_n \mathcal{H}^{\tilde{A}_\gamma}_{h_n, l_j^+} \otimes \mathcal{H}_{m_j}^{A_{3k}} \right)$$

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In the \tilde{R} sector, the sum rules for A_γ representations are of the form

$$\begin{aligned} & \frac{\theta_1(q, z_+ z_-) \theta_1(q, z_+^{-1} z_-)}{\eta^2(q)} \cdot \frac{\theta_1(q, z_- z_y) \theta_1(q, z_- z_y^{-1})}{\eta^2(q)} \chi_\Lambda^{su(3)}(q, z_+, z_y) \\ &= \sum_{L=0}^{k-2} \eta(q) M_\Lambda^L(q, z_y) \text{Ch}_0^{A_\gamma, \tilde{R}}(L; q, z_\pm) \\ &+ \sum_{2\tilde{l}^+=0}^{\tilde{k}^+-1} \sum_{n \in \mathbb{Z}_k} \hat{\text{Ch}}_m^{A_\gamma, \tilde{R}}(l^\pm; q, z_\pm) \eta(q) \chi_{-2a_1+2a_2+6\tilde{l}^++6n}^{3k}(q, z_y) F_{2\tilde{l}^+, n}^\Lambda(q), \end{aligned}$$

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A_γ Theories From Diagonal $\mathfrak{su}(3)$ Invariants

The sum rules give a way to construct modularly invariant partition functions for A_γ theories using $\widehat{\mathfrak{su}(3)}_{k^+}$ invariants.

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In order to calculate the index we restrict to the $\tilde{R}\tilde{R}$ sector of the theory where the (restricted) partition function is given by

$$Z_{\tilde{R},\tilde{R}}(q, z_+, z_-, z_y) = \sum_{\Lambda \in P_+^{\tilde{k}^+}} \left| \frac{\theta_1(q, z_+ z_-) \theta_1(q, z_+^{-1} z_-)}{\eta^2(q)} \cdot \frac{\theta_1(q, z_- z_y) \theta_1(q, z_- z_y^{-1})}{\eta^2(q)} \chi_\Lambda^{\widehat{\mathfrak{su}(3)}}(q, z_+, z_y) \right|^2, \quad (14)$$

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Labelling the $\tilde{R}\tilde{R}$ sector of the partition function of the diagonal theory as $Z_{\tilde{R},\tilde{R}}^{D_{\tilde{k}^+}}$ and the theory itself as $\mathcal{D}_{\tilde{k}^+}$, we can now calculate the index I_1 of this theory as,

$$\begin{aligned}
 I_1(\mathcal{D}_{\tilde{k}^+})(q, z_+, z_-, z_y; \bar{q}, \bar{z}, \bar{z}_y) &:= -\bar{z}_+ \frac{\partial}{\partial \bar{z}_-} Z_{\tilde{R},\tilde{R}}^{D_{\tilde{k}^+}} \Big|_{\bar{z}_+ = \bar{z}_-}, \\
 &= |\eta(q)|^2 \sum_{\Lambda \in P_+^{\tilde{k}^+}} \left(\sum_{L=0}^{k-2} M_\Lambda^L(q, z_y) \text{Ch}_0^{A_\gamma, \tilde{R}}(L; q, z_\pm) \right. \\
 &\quad \left. + \sum_{2\tilde{l}^+=0}^{\tilde{k}^+-1} \sum_{n \in \mathbb{Z}_k} \hat{M}_{2\tilde{l}^+, n}^\Lambda(q, z_+, z_-, z_y) F_{2\tilde{l}^+, n}^\Lambda(q) \right) \\
 &\quad \cdot I_1 \left(\sum_{L=0}^{k-2} M_\Lambda^L(\bar{q}, \bar{z}_y) \text{Ch}_0^{A_\gamma, \tilde{R}}(L; \bar{q}, \bar{z}_\pm) \right).
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The Functions $F_i(q)$ for $\tilde{k}^+ = 2$

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$$\begin{aligned} F_2(q) &\sim q^{2/5} \frac{f(-q^5)^2}{f(-q^2, -q^3)}, & F_4(q) &\sim q^{1/5} \frac{f(-q^5)^2}{f(-q, -q^4)}, \\ F_3(q) &\sim q^{-2/5} \Psi_1(q), & F_5(q) &\sim \Psi_0(q), \end{aligned} \quad (16)$$

where

$$f(a, b) = \sum_{n \in \mathbb{Z}} a^{n(n+1)/2} b^{n(n-1)/2}, \quad f(-a) = f(-a, -a^2), \quad (17)$$

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is the Ramanujan general theta function, and $\Psi_0(q)$ and $\Psi_1(q)$ are 5th order mock theta functions. Work to prove that these are indeed the functions $F_i(q)$ is ongoing.

Conclusions and Summary

- In the Mathieu Moonshine story, we consider the moduli space invariant elliptic genus of $K3$. This index gives the Witten Index of the right-movers, counting only massless reps.

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- Using the character sum rules and the diagonal $\widehat{\mathfrak{su}(3)}$ invariant we can construct partition functions for this class of A_γ theories and calculate their indices.
- The functions $F_i(q)$ describing the massive content of the partition function, agree in some cases with 5th-order mock theta functions.



Thanks for listening!

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$$\begin{aligned}L_0^{2n,2n} &= L_0 - 2n(T_0^{+3} + T_0^{-3}) + n^2, \\T_0^{2n,2n;+3} &= T_0^{+3} - nk^+, \\T_0^{2n,2n;-3} &= T_0^{-3} - nk^-. \end{aligned} \tag{18}$$

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Under this spectral flow, the massless condition

$$L_0 - \frac{c}{24} = \frac{u^2}{k} + \frac{1}{k} \left((T_0^{+3} + T_0^{-3})^2 \right), \tag{19}$$

is preserved.

Spectral Flow Orbits

There exists an automorphism of A_γ known as spectral flow which moreover induces an isomorphism of representations of A_γ . Under two-fold symmetric spectral flow with $n \in \mathbb{Z}$, the Virasoro and $SU(2)$ zero modes are transformed as

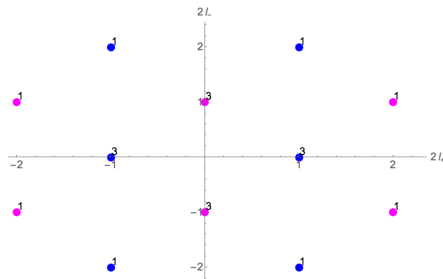
$$\begin{aligned}L_0^{2n,2n} &= L_0 - 2n(T_0^{+3} + T_0^{-3}) + n^2, \\T_0^{2n,2n,+3} &= T_0^{+3} - nk^+, \\T_0^{2n,2n,-3} &= T_0^{-3} - nk^-. \end{aligned} \tag{18}$$

Under this spectral flow, the massless condition

$$L_0 - \frac{c}{24} = \frac{u^2}{k} + \frac{1}{k} \left((T_0^{+3} + T_0^{-3})^2 \right), \tag{19}$$

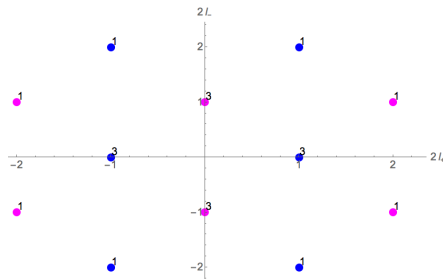
is preserved. The index l_1 is therefore seen to count two-fold symmetric spectral flow orbits of the extremely charged states.

A_γ in Supertableaux



Ground level for $k^+ = 3$,
 $k^- = 2$, $l^+ = l^- = 1$.

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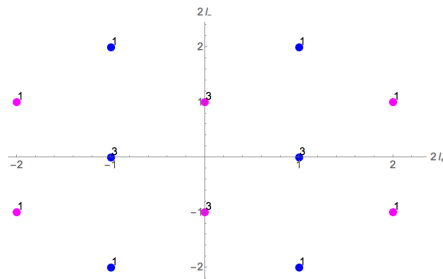


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In general the ground level is always described by a single tableau

$$\text{Ch}_0^{A_\gamma, R} = \left(2l^- \begin{array}{c} 2l^+ \\ \begin{array}{|c|c|} \hline \diagup & \square \\ \hline \square & \square \\ \hline \end{array} \end{array} \right) q^{h-c/24} + \dots \quad (21)$$

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such functions in the character sum rules, though symmetries in the character sum rules can be used to show that there are only

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Generically we label the independent such functions as $F_i(q)$. We have calculated the first ~ 15 terms of these q -series for $\tilde{k}^+ \in \{2, 3, 4, 5\}$ using Mathematica.