An Algorithmic Approach to Heterotic Compactification

Lara B. Anderson

Department of Physics, University of Pennsylvania,

and

Institute for Advanced Study, Princeton

Work done in collaboration with:

LBA, James Gray, Yang-Hui He, Andre Lukas

Vienna MCSP Workshop - October 6th, 2008

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Outline

Introduction

- Heterotic Phenomenology (and the necessary mathematics)
- Why we're interested (and the problems)
- The monad construction
 - The Calabi-Yau Spaces
 - Building vector bundles
 - Particle spectra
 - Bundle stability
- Finding physically relevant bundles An algorithmic approach
- Future directions Symmetry breaking, yukawa couplings, moduli stabilization.

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Challenge of Heterotic Phenomenology

- How close can string theory get to real world particle physics?? We need unification, symmetries, fermion masses, yukawa couplings, moduli stabilization, etc.
 - How generic is real world physics within string theory? What are the properties of 'realistic' models?
- Heterotic models are promising (gauge unification is automatic, N = 1 SUSY, etc.). But mathematical details (algebraic geometry, defining bundles and manifolds) are difficult.
- It's easy to come close to the real world, but very hard to get the details exactly right.
 - Any single heterotic model is likely to fail when confronted with detailed structure of SM physics

 $[\]Rightarrow \text{ want to study large numbers of models} \Rightarrow \text{ an algorithmic approach} \qquad \neg \land \bigcirc \\ \text{Lara Anderson (UPenn/IAS)} \qquad \text{ An Algorithmic Approach to Heterotic Compactificatio} \qquad \text{Vienna - Oct 6th, 08} \qquad 3/39$

We begin with the $E_8 \times E_8$ Heterotic string in 10-dimensions

- $\bullet\,$ One E_8 gives rise to the "Visible" sector, the other to the "Hidden" sector
- $\bullet\,$ Compactify on a Calabi-Yau 3-fold, X leads to $\mathcal{N}=1$ SUSY in 4D
- Also have a vector bundle V on X (with structure group $G \subset E_8$) V breaks E_8 to Low Energy GUT group
- The weakly coupled theory has been studied since the 80's (beginning with the so-called 'Standard Embedding'). The strongly coupled theory is dual to M-Theory on a manifold with boundary Hořava-Witten Theory -(w/ 5 branes in bulk)

- Finding the correct string vacuua to model realistic particle physics is a difficult task. How to choose? How to design the right model?
- A new approach:

Formulate an algorithmic and systematic search for the correct vacuum using computational algebraic geometry

- Produce a computer database of thousands of CY spaces and their topological data
- Construct broad, well-defined sets of vector bundles over them
- Scan through literally hundreds of billions of potential candidates in the vast landscape of string vacuua for those that are physically relevant
- How many are close to nature? Study these models...

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A more general choice of vector bundle can be made

- Take G = SU(n), n = 3, 4, 5 low energy gauge group
- 4D structure group, $H = \text{Commutant}(G, E_8)$

$E_8 ightarrow G imes H$	Residual Group Structure
$SU(3) \times E_6$	$248 ightarrow (1,78) \oplus (3,27) \oplus (\overline{3},\overline{27}) \oplus (8,1)$
$SU(4) \times SO(10)$	$248 \rightarrow (1, 45) \oplus (4, 16) \oplus (\overline{4}, \overline{16}) \oplus (6, 10) \oplus (15, 1)$
$SU(5) \times SU(5)$	$248 \rightarrow (1, 24) \oplus (5, \overline{10}) \oplus (\overline{5}, 10) \oplus (10, 5) \oplus (\overline{10}, \overline{5}) \oplus (24, 1)$

- We expect "Two-step" Symmetry breaking
 - 1. E_8 breaks to GUT group (E_6 , SO(10), or SU(5))
 - 2. Wilson lines break GUT symmetry

Wilson line $\Rightarrow SU(3)_c \times SU(2)_l \times U(1)_Y \times U(1)_{B-L}$ symmetry

• X: A Calabi-Yau 3-fold, X

- V: A holomorphic vector bundle, satisfying the Hermitian YM equations
- G: The structure group of $V (G \subset E_8)$
- H: The low energy 4D, $\mathcal{N} = 1$ GUT symmetry (H is the commutant of G in E_8)
- V + Wilson line leads to symmetry containing that of the MSSM
- Heterotic vacuua contain M5-branes which can wrap a holomorphic effective 2-cycle, $W \ (\in H_2(X,\mathbb{Z}))$, of X. Leads to anomaly cancellation condition

$$c_2(X) - c_2(V) = W_{M5}$$

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Stability

- Hermitian YM equations are a set of wickedly complicated PDE's $F_{ab} = F_{\overline{ab}} = g^{a\overline{b}}F_{\overline{b}a} = 0$
- We are saved by the Donaldson-Uhlenbeck-Yau Theorem: On each stable, holomorphic vector bundle V, there exists a Hermitian YM connection satisfying the HYM equations.
- The slope, $\mu(V)$, of a vector bundle is $\mu(V) \equiv \frac{1}{rk(V)} \int_X c_1(V) \wedge J^{d-1}$

where J is a Kahler form on X.

- V is Stable if for every sub-sheaf, \mathcal{F} , of V, $\mu(\mathcal{F}) < \mu(V)$
- Unfortunately, "conservation of misery" ⇒ stability still very hard to show!

In heterotic models, 4D particle spectra is determined by bundle cohomology:

Decomposition	Cohomologies
$SU(3) imes E_6$	$n_{27} = h^1(V), n_{\overline{27}} = h^1(V^*) = h^2(V), n_1 = h^1(V \otimes V^*)$
$SU(4) \times SO(10)$	$n_{16} = h^1(V), n_{\overline{16}} = h^2(V), n_{10} = h^1(\wedge^2 V), n_1 = h^1(V \otimes V^*)$
$SU(5) \times SU(5)$	$n_{10} = h^1(V^*), n_{\overline{10}} = h^1(V), n_5 = h^1(\wedge^2 V), n_{\overline{5}} = h^1(\wedge^2 V^*)$
	$n_1 = h^1(V \otimes V^*)$

• We need to compute various bundle cohomologies in order to proceed!

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The Plan...

- We need a large class of CY manifolds and a systematic way to construct bundles over them
 - \Rightarrow 7890 CICYs + Monad construction
- We require an explicit construction compatible with "Two-step" symmetry breaking, Wilson lines, etc.
 - \Rightarrow CICYs are the simplest and most explict form of CY construction. Relatively easy to

find discrete symmetries, Wilson lines, etc.

- Computerizability. Millions of models cannot be analyzed by hand, need an algorithmic approach that makes good use of existing technology in computational algebraic geometry.
 - \Rightarrow Teach computers how to analyze monads! (C code, Mathematica, Singular,

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- Need to be able to compute bundle cohomology (Koszul and spectral sequences)
- Need to be able to prove bundle stability (Hoppe's Criterion and generalization)
- Scan millions of bundles for physical suitability!
- How many bundles are there? What distributions? What properties?...

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Complete Intersection CYs

- CICYs: A product of projective space $\mathbb{P}^{n_1} \times \ldots \times \mathbb{P}^{n_m}$ and K defining polynomials $\{p_{j=1,\ldots,K}\}$
- The CY 3-fold is described by a configuration matrix (columns \leftrightarrow constraints).

$$\begin{bmatrix} \mathbb{P}^{n_1} & q_1^1 & q_1^2 & \dots & q_1^K \\ \mathbb{P}^{n_2} & q_2^1 & q_2^2 & \dots & q_2^K \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \mathbb{P}^{n_m} & q_m^1 & q_m^2 & \dots & q_m^K \end{bmatrix}_{m \times K}$$

• Favorable CICYs: Those for which $h^{1,1} = \text{no. of embedding } \mathbb{P}^n$'s (4515 manifolds)

 \Rightarrow The Kahler forms J on the CY descend from those on the ambient space.

Computationally useful!

• Line bundles on a "favorable" CY: $\mathcal{O}_X(k_1, k_2, ..., k_m)$

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• For this work, we consider monads defined by a short exact sequence of vector bundles (sheaves)

$$0 \to V \xrightarrow{f} B \xrightarrow{g} C \to 0$$

where short exact implies that ker(g) = im(f).

• The vector bundle V is defined as

$$V = ker(g)$$
 with $rk(V) = rk(B) - rk(C)$

• Where B and C are taken to be direct sums of line bundles

$$B = \bigoplus_{i=1}^{r_B} \mathcal{O}(b_r^i) \ , \qquad C = \bigoplus_{j=1}^{r_C} \mathcal{O}(c_r^j)$$

• The map g can be written as a matrix of polynomials. (e.g. on \mathbb{P}^n the ij-th entry is a homogeneous polynomial of degree $c_i - b_j$)

The monad construction is a powerful and general way of defining vector bundles. For example, every bundle on Pⁿ can be written as a monad on Chara Anderson (UPenn/IAS)
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To begin, we consider the most simple physical constraints:

- SU(n) bundles (Structure group SU(n), $c_1(V) = 0$)
- Anomaly cancellation condition
- Ind(V) = 3k for $k \in \mathbb{Z}$
 - $k>1 \Rightarrow$ need Wilson lines and discrete symmetries
- Stable bundles
- Monads must define bundles (i.e. defining exact sequences should produce bundles rather than just sheaves)

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The physical and Mathematical constraints can be written as constraints on the integers defining the line bundles of the monad

$$B = \bigoplus_{i=1}^{r_B} \mathcal{O}(b_r^i) , \qquad C = \bigoplus_{j=1}^{r_C} \mathcal{O}(c_r^j)$$

where

$$0 \to V \xrightarrow{f} B \xrightarrow{g} C \to 0$$

• Is this a finite class? What are the properties of the bundles defined by these constraints?

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Constraints

- $b_r^i \leq c_r^j$ for all $i, j, s \Rightarrow ker(g)$ defines a bundle.
- The map g can be taken to be *generic* so long as exactness of the sequence is maintained.
- $c_1(V) = 0 \Leftrightarrow$ $\sum_{i=1}^{r_B} b_i^r - \sum_{j=1}^{r_C} c_j^r = 0$
- Anomaly cancellation \Leftrightarrow

$$c_2(TX) - c_2(V) = c_2(TX) - \frac{1}{2}(\sum_{i=1}^{r_B} b_s^i b_t^i - \sum_{i=1}^{r_C} c_s^j c_i^t) J^s J^t \ge 0$$

• 3 Generations \Leftrightarrow

$$\begin{aligned} c_3(V) &= \frac{1}{3} (\sum_{i=1}^{r_B} b_r^{\ i} b_s^{\ i} b_t^{\ i} - \sum_{j=1}^{r_C} c_r^{\ j} c_s^{\ j} c_t^{\ j}) J^r J^s J^t \\ \text{is divisible by 3 (and compatible with the Euler number of X)}. \end{aligned}$$

 \bullet Stability places constraints on the signs of b_r^i and c_r^j

The mathematical technology of producing bundles and computing their spectra is difficult, so we begin with the most straightforward possible cases...

- There are 5 cyclic (*Pic*(X) = Z) CICYs
 [4|5], [5|2 4], [5|3 3], [6|3 2 2], [7|2 2 2 2]
- These are the simplest known CYs.
- We can find a complete classification of **a**ll physical monad bundles on these spaces.
 - Demanding SU(n) and anomaly-free bundles is sufficient to bound the problem
 - A finite class We find only 37 bundles over these 5 spaces

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Cyclic CICYs

- For the cyclic CYs, we find only positive monads to be physical (e.g. those for which $b_i, c_j > 0$)
 - $b_i, c_j \leq 0 \Rightarrow$ unstable
- Can compute the full spectra of these bundles using exact and spectral sequences (and results can be checked using Macaulay, Singular)
- No anti-generations (limits exotics)
- The Higgs content is dependent on the choice of map (where we are in moduli space)
- Using Hoppe's Criterion, we find that all positive monads on CICYs are stable!

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Monads on CICYS: An example

• For example consider the monad

$$0 o V \longrightarrow \mathcal{O}(1)^7 \stackrel{g}{\longrightarrow} \mathcal{O}(3) \oplus \mathcal{O}(2)^2 o 0$$

• this is a rank 4 bundle on [4|5]

$$g = \begin{pmatrix} x_i x_j & x_i^2 + \dots & \dots & \dots \\ x_i & x_j \dots & & \\ x_i & x_j \dots & & \end{pmatrix}$$

(2)

- SU(4) bundle, stable, anomaly-free
- $c_3 = -30 \Rightarrow \mathbb{Z}_5 \times \mathbb{Z}_5$ symmetry for Wilson lines
- Cohomology calculation gives us $n_{16} = 30$, $n_1 = 112$ (Number of Higgs depends on choice of map)

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Rank	$\{b_i\}$	$\{c_i\}$	$c_2(V)/J^2$	ind(V)	
3	(2,2,1,1,1)	(4, 3)	7	-60	
3	(2,2,2,1,1)	(5, 3)	10	-105	
3	(3,2,1,1,1)	(4, 4)	8	-75	
3	(1,1,1,1,1,1)	(2, 2, 2)	3	-15	
3	(2,2,2,1,1,1)	(3,3,3)	6	-45	
3	(3,3,3,1,1,1)	(4, 4, 4)	9	-90	
3	(2, 2, 2, 2, 2, 2, 2, 2, 2)	(4,3,3,3,3)	10	-90	
3	(2, 2, 2, 2, 2, 2, 2, 2, 2, 2)	(3,3,3,3,3,3)	9	-75	
4	(2,2,1,1,1,1)	(4, 4)	10	-90	
4	(1,1,1,1,1,1,1)	(3, 2, 2)	5	-30	
4	(2,2,2,1,1,1,1)	(4, 3, 3)	9	-75	
4	(2, 2, 2, 2, 2, 1, 1, 1, 1)	(3,3,3,3)	8	-60	
5	(1,1,1,1,1,1,1,1)	(3,3,2)	7	-45	
Lara And	erson (UPenn/IAS) An Algorithmic Approa	ch to Heterotic Compactificatio	✓ □→ < □→ Vienna -	E ▶ E ↔	۹ ۱۹

- To generalize these techniques beyond the cyclic manifolds, we begin by considering positive monads over all favorable CICYs
- How to compute the spectra? Need to develop tools to compute the cohomology of line bundles on CICYs
- For positive line bundles this is easy Kodaira Vanishing theorem: H^k(X, L) = 0 for all k > 0 if
 L = O(m₁, m₂, ..., m_n) with m_i > 0.
- But what about general mixed line bundles? e.g. $O(-m_1, -m_2, m_3, ...)$. Need new techniques...

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Computing line bundle cohomology on CICYs

- Need to combine the techniques of Spectral sequences and the Bott-Borel-Weil theorem
 - General Idea: Use information from the ambient space, A. Define define bundles L over A and use a Koszul resolution:

 $0 \to \mathcal{L} \otimes \wedge^{\kappa} N_X^* \to \mathcal{L} \otimes \wedge^{\kappa-1} N_X^* \to \ldots \to \mathcal{L} \otimes N_X^* \to \mathcal{L} \to \mathcal{L}|_X \to 0$

- The Spectral sequence for line bundle cohomology is given by $E_1^{j,k}(L) := H^j(A, L \otimes \wedge^k N_X^*), \ k = 0, \dots, K, \ j = 0, \dots, \dim(A) = \sum_{i=1}^m n_i.$
- This forms the first term of a spectral sequence- a complex defined by differential maps $d_i : E_i^{j,k} \to E_i^{j-i+1,k-i}$.
- The subsequent terms in the spectral sequence are defined by

•
$$E_{i+1}^{j,k}(L) = \frac{\ker(d_i:E_i^{j,k}(L) \to E_i^{j-i+1,k-i}(L))}{\operatorname{Im}(d_i:E_i^{j+i-1,k+i}(L) \to E_i^{j,k}(L))}$$

• The sequence converges to $E_{\infty}^{j,k}(L) = E_2^{j,k}(L)$. (For line bundles)

•
$$h^q(X, L|_X) = \sum_{m=0}^{K} \operatorname{rank} E_{\infty}^{q+m,m}(L)$$

Line bundle Cohomology

- By Bott-Borel-Weil, the entries in the tableau $E_1^{j,k}(L) := H^j(A, L \otimes \wedge^k N_X^*)$ can be represented as polynomial spaces (dimensions given by the Bott Formula)
- To compute $E_2^{j,k}(L)$, need to know ranks and kernels of maps $d_i: E_i^{j,k} \to E_i^{j-i+1,k-i}$
- Example: A co-dimension one tableau: $E_1^{j,k} = \begin{vmatrix} 0 & 0 \\ E_1^{j,0} & \stackrel{d_1^1}{\leftarrow} & E_j^{1,1} \\ 0 & 0 \\ \vdots & \vdots \end{vmatrix}$
- Need to compute the ranks and kernels of polynomial maps of the type d_1^1 .
- Example: For the line bundle l = O(-k, m) on the CICY, $X = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix}$
- Direct computation of the kernel of the map $d_1^1 \Rightarrow$ $h^q(X, \mathcal{O}_X(-k, m)) = \begin{cases} (k+1)\binom{m}{3} - (k-1)\binom{m+3}{3} & q = 0 & k < \frac{(1+2m)(6+m+m^2)}{3(2+3m(1-m))} \\ (k-1)\binom{m+3}{3} - (k+1)\binom{m}{3} & q = 1 & k > \frac{(1+2m)(6+m+m^2)}{3(2+3m(1-m))} \\ 0 & \text{otherwise} \end{pmatrix} \quad (a = 1 + k + 1) = k + 1 = k +$

- For the monads with strictly positive entries $(b^i, c^j > 0)$ the SU(n) and anomaly conditions are sufficient to bound the problem. The class is finite and all physical bundles can be classified.
- Over the CICYs we find ~ 7000 bundles and compute their spectra (using new techniques to compute the cohomology of line bundles on CICYs)
- No anti-generations!
- Unfortunately, we are limited by Wilson Lines and discrete symmetries of the Calabi-Yau
 - Number of generations $\Leftrightarrow c_3 = 3n, n \in \mathbb{Z}$

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- In order to produce exactly 3 generations, need to divide the CY by a discrete symmetry of size n (and ind(V) must divide Euler number of CY)
- If c_3 too large, no symmetries of the right order exist on the CY
- The 37 monads on the cyclic CYs have the smallest $c_3 \Leftrightarrow$ most plausible models for Wilson line symmetry breaking.
- Of the 7000 positive monad bundles found on CICYs there are only 21 models with ind(V) < 40

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Positive monads and 3-generations



Lara Anderson (UPenn/IAS)

An Algorithmic Approach to Heterotic Compactificatio

Vienna - Oct 6th, 08 26 / 39

Since the positive bundles on CICYs are highly restricted, in order to produce a large class for an algorithmic scan, we must extend our search...

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For those with entries greater than or equal to zero $(b^i, c^j \ge 0)$ the construction is much bigger (and more interesting!)

- Clearly not constrained as before, can produce unbounded sets of bundles Example: $B = \mathcal{O}(1,0)^3 \oplus \mathcal{O}(t-3,0)$ and $C = \mathcal{O}(t,0)$ is an anomaly-free bundle for each integer t > 1 on $\begin{bmatrix} \mathbb{P}^1 \\ \mathbb{P}^4 \end{bmatrix} \begin{bmatrix} 0 & 2 \\ 4 & 1 \end{bmatrix}$
- An infinite class? Isomorphisms?
- Much more physically suitable
 - smaller $c_3(V)$
 - Generically have a Higgs
- Can do checks of stability (scan for $H^{0,3}(X, V) = 0$)
- Can prove stability for some models.

Zero-entry distributions



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- An initial scan bounding $b_r^i, c_r^j < 20$ produces $\sim 100,000$ rank 3 bundles on CICYs with $h^{1,1} = 2$
- $\bullet\,$ Number of models with 3-generations and Euler number compatible with CY $= 17,255\,$
- Number of models with ind(V) < 20, 6982
- Initial scans show that many of these are stable

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Over a projective manifold X with Picard group $Pic(X) \simeq \mathbb{Z}$, let V be a vector bundle. If $H^0(X, [\bigwedge^p V]_{norm}) = 0$ for all p = 1, 2, ..., rk(V) - 1, then V is stable.

- Those manifolds where $Pic(X) \simeq \mathbb{Z}$ are called *cyclic*
- Where $[V]_{norm} = V(i) := V \otimes O_X(i)$ for a unique *i* such that $c_1(V(i)) \in [-rk(V) + 1, \dots, -1, 0]$
- normalize V so that the slope $\mu(V)$ is between -1 and 0.
- Hoppe's criterion applies directly to the 5 cyclic CYs
- Need a generalization to arbitrary CICYs?
- We will begin with this criterion and the cyclic manifolds...

Proof by contradiction:

- Suppose the bundle is unstable \Rightarrow a de-stabilizing sub-sheaf F (of rank n) w/ $\mu(F) \ge 0$.
- Take wedge powers to form a line bundle, $I = (\wedge^n F)^{**}$
- $c_1(F) \ge 0$ and cyclic space $\Rightarrow I$ has a section
- $\Rightarrow I \subset \wedge^n V$
- $\bullet \ \Rightarrow \wedge^n V \text{ has a section}$
- \Rightarrow $H^0(X, \wedge^n V) \neq 0$

So if $H^0(X, \wedge^n V) = 0$ for n = 1, 2, ..., rk(V) - 1 then stable

A proof of stability for monads on CICYs (to appear...)

- Stable "somewhere" in the Kahler cone?
- Rather than attempt to analyze all possible sub-sheaves, can we indirectly place bounds on them?
- Extend Hoppe's criterion \Rightarrow Consider potential sub-line bundles of $\wedge^k V$ for $k = 1, \dots, (n-1)$.
- Potential sub-line bundles are classified by $c_1(l) = (k, m)$ for l = O(k, m)
- Consider all such line bundles (i.e. first chern classes)
- Impose cohomological conditions to bound potential line bundle sub-sheaves, *l*. e.g. $Hom(I, \wedge^k V) \neq 0$ and $H^0(X, I) = 0$.
- Constrain possible sub-sheaves to the extent that we can show that there exists a well-defined stable region in the Kahler cone

Stability somewhere in the Kahler cone



- We have successfully constructed a LARGE class of vector bundles and can compute their properties in detail
- Previous attempts have been made to create classes of stable bundles (e.g. Friedman-Morgan-Witten). However, this is the first effort to create an extensive class of stable, physically relevant bundles suitable for algorithmic scans.
- So, far we have scanned for "higher-level" physical constraints i.e. particle spectra
- We can verify low-energy SUSY (i.e. bundle stability) for a sub-class
- We are now ready to impose more detailed physical constraints Wilson lines, discrete symmetries, etc.

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We are only at the beginning of this effort...

- Add Wilson lines, explore realistic 4D models (in progress)
- $\bullet\,$ Extend techniques to the 473,800,776 toric CY manifolds (in progress)
- Generalize these techniques to U(n) bundles
- Compute yukawa couplings? Fermion masses? (in progress)

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Finding a Higgs doublet

- Higgs at special points The "Jumping phenomena".
- Example: Specifically, let is consider the following SU(4) bundle on [4|5]: $0 \to V \to \mathcal{O}_X^{\oplus 2}(2) \oplus \mathcal{O}_X^{\oplus 4}(1) \xrightarrow{g} \mathcal{O}_X^{\oplus 2}(4) \to 0$ with $(x_{0,\dots,4}$ are the homogeneous coordinates on \mathbb{P}^4)

$$g = \begin{pmatrix} 4x_3^2 & 9x_0^2 + x_2^2 & 8x_2^3 & 2x_3^3 & 4x_1^3 & 9x_1^3 \\ x_0^2 + 10x_2^2 & x_1^2 & 9x_2^3 & 7x_3^3 & 9x_1^3 + x_2^3 & x_1^3 + 7x_4^3 \end{pmatrix} .$$
 (3)

• We can calculate that

$$n_{16} = h^1(X, V) = 90, \ n_{10} = h^1(X, \wedge^2 V) = 13$$

 $n_1 = 277$

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We want a stable holomorphic vector bundle V on $X \Rightarrow$

- For stable bundles on a CY 3-fold, X, stability implies that $H^0(X,V)=H^0(X,V^*)=0$
- In general: V with SU(n)

INDEX THEOREM and particle generations:

- **2** Serre Duality: $h^i(X, V) = h^{3-i}(X, V^* \otimes K_X)$
- \Rightarrow 3-families: $3 = -h^1(X, V) + h^1(X, V^*)$
- Unfortunately, "conservation of misery" ⇒ stability still very hard to show!

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