# Heterotic String Model Building

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Introduction

# **Heterotic String Theory**

Ten-dimensional massless fields:

- N = 1 Supergravity, and
- $E_8 \times E_8$  or  $Spin(32)/\mathbb{Z}_2$  gauge fields.

 $E_8 \times E_8$  is particularly promising, and I will focus on this case in the following.

Supersymmetric gauge field configurations have to satisfy the Hermitian Yang-Mills equations with slope zero,

$$F_{\mu
u}=0=F_{ar\muar
u},\quad g^{\muar
u}F_{\muar
u}=0.$$

One solution is to use the spin connection, but I will not use this in the following. The general case is called "nonstandard embedding".

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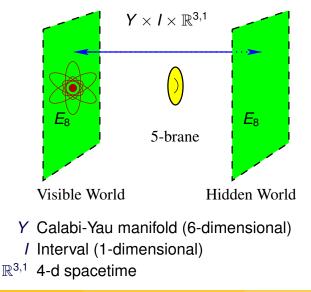
# $E_8 \times E_8$ Heterotic Strings

Desirable features of  $E_8 \times E_8$  heterotic strings:

- Favourable group theory:
  - Standard model $\subset$ Spin(10) $\subset$  $E_8$ Quarks & leptons $\subset$ 16 $\subset$ 248
- Straightforward to break E<sub>8</sub> gauge symmetry
- Matter & Yukawa couplings from perturbative strings.
- Gauge unification without Yukawa unification
- Natural hidden sector, ideal place for SUSY breaking and/or dark matter

Introduction

#### **Heterotic M-theory**







#### 3 A Heterotic Standard Model

#### Extra Higgs Pairs

#### **Geometric Data**

Generic  $E_8$  bundles would break gauge symmetry completely.

Only give vevs to gauge bosons in suitable subgroups:

Gauge instanton	Unbroken gauge group
$SU(3) \subset E_8$	$E_6$
$SU(4) \subset E_8$	<i>Spin</i> (10)
$SU(5) \subset E_8$	<i>SU</i> (5)

- U(n) bundle = vector bundle of rank *n*.
- SU(n) bundle = vector bundle with vanishing  $c_1$ .

#### **Geometric Data**

We need:

• A Calabi-Yau manifold Y.

Polynomial equations in projective spaces. SUSY  $\Leftrightarrow$  Kähler and  $c_1(TY) = 0$ .

Two vector bundles.

Three basic constructions:

- Linear sigma model ⇔ Monad bundles. (See other talks)
- Spectral covers.
- Extensions.

SUSY  $\Leftrightarrow$  slope-stable of slope 0.

#### **Bundles on a Torus**

Lets look at a simple example:

Torus = Elliptic curve = Calabi-Yau 1-fold.

Atiyah classified the indecomposable bundles:

- Line bundles
- Extensions of line bundles

## Line Bundles on a Torus

Recall the Jacobi theta function

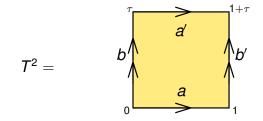
$$\vartheta(\mathbf{Z}; \tau) = \vartheta_{00}(\mathbf{Z}; \tau) = \sum_{n=-\infty}^{\infty} e^{2\pi i n \mathbf{Z} + \pi i n^2 \tau}$$

It satisfies

$$\vartheta(\mathbf{z}+\mathbf{1},\tau) = \vartheta(\mathbf{z},\tau)$$
  
 $\vartheta(\mathbf{z}+\tau,\tau) = \mathbf{e}^{-\pi i \tau - 2\pi i \mathbf{z}} \vartheta(\mathbf{z},\tau)$ 

**Vector Bundles** 

#### Line Bundles on a Torus



 $\vartheta$  is a section of a line bundle with transition functions

$$\phi_{\boldsymbol{a},\boldsymbol{a}'} = \boldsymbol{e}^{-\pi i \tau - 2\pi i z}, \quad \phi_{\boldsymbol{b},\boldsymbol{b}'} = \mathbf{1}$$

# Line Bundles on a Torus

In the fundamental region,  $\vartheta(z, \tau)$  has

- no poles, and
- a single zero at  $z = \frac{1+\tau}{2}$ .

#### Theta functions and line bundles

 $\vartheta(z;\tau)$  is a section of  $\mathfrak{O}_{T^2}\left(\frac{1+\tau}{2}\right)$ 

Note: 
$$c_1\left(\mathcal{O}_{T^2}\left(\frac{1+\tau}{2}\right)\right) = 1.$$

### Line Bundles on a Torus with $c_1 = 0$

If we want vanishing first Chern class, then we need as many zeros as poles.

- $\vartheta(z p, \tau)/\vartheta(z, \tau)$  has a zero at  $\frac{1+\tau}{2} + p$  and a simple pole at  $\frac{1+\tau}{2}$ .
- $\vartheta(z p \frac{1+\tau}{2}, \tau)/\vartheta(z \frac{1+\tau}{2}, \tau)$  has a zero at *p* and a simple pole at 0.
- Both are (meromorphic) sections of the same line bundle with transition functions

$$\phi_{\boldsymbol{a},\boldsymbol{a}'} = \boldsymbol{e}^{2\pi i \boldsymbol{p}}, \quad \phi_{\boldsymbol{b},\boldsymbol{b}'} = \mathbf{1}$$

Vector Bundles

### Line Bundles on a Torus with $c_1 = 0$

#### Theta functions and line bundles

 $\vartheta(z - p, \tau) / \vartheta(z, \tau)$  is a section of  $\mathfrak{O}_{T^2}(p - 0)$ 

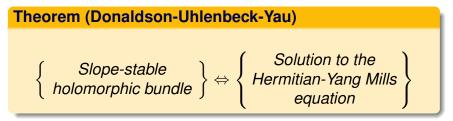
Note: Divisor = formal linear combination of points.

Every line bundle (with vanishing first Chern class) is of this form. Position of  $p \in T^2$  = modulus.

# Line Bundles on a Torus

For simplicity: 
$$c_1(\mathcal{L}) = 0 \iff \int F = 0$$
.

First Chern class fixes topology of the line bundle, but not its complex structure.



# Line Bundles on a Torus

All line bundles (with  $c_1 = 0$ ) are of the form

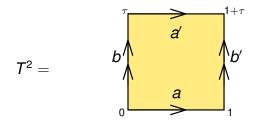
$$\mathcal{L} = \mathfrak{O}_{T^2}(p-0)$$

where p is a point in  $T^2$ .

- Algebraic point of view: There is a meromorphic section with a zero at 0 and a pole at *p*.
- Geometric point of view: The HYM connection has two U(1) holonomies, parametrize a point p ∈ T<sup>2</sup>.

**Vector Bundles** 

#### **Extension of Bundles**



 $O_{\mathcal{T}^2} = O_{\mathcal{T}^2}(0-0)$  has transition functions

$$\phi_{\mathbf{a},\mathbf{a}'} = \mathbf{1}, \quad \phi_{\mathbf{b},\mathbf{b}'} = \mathbf{1}$$

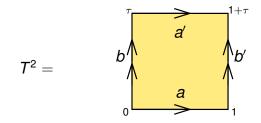
 $\mathcal{O}_{\mathcal{T}^2} \oplus \mathcal{O}_{\mathcal{T}^2}$  has transition functions

$$\phi_{\boldsymbol{a},\boldsymbol{a}'} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad \phi_{\boldsymbol{b},\boldsymbol{b}'} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

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**Vector Bundles** 

#### **Extension of Bundles**



Define a new bundle with transition functions

$$\phi_{\mathbf{a},\mathbf{a}'} = \begin{pmatrix} \mathbf{1} & \mathbf{0} \\ \mathbf{1} & \mathbf{1} \end{pmatrix}, \quad \phi_{\mathbf{b},\mathbf{b}'} = \begin{pmatrix} \mathbf{1} & \mathbf{0} \\ \mathbf{0} & \mathbf{1} \end{pmatrix}$$

#### **Extension of Bundles**

Define a new bundle with transition functions

$$\hat{\phi}_{a,a'} = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}, \quad \hat{\phi}_{b,b'} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

- Embedding  $f:(x)\mapsto(x,0)$
- Projection  $g:(y_1, y_2) \mapsto (y_2)$

Defines "extension" bundle

$$0 \longrightarrow \mathfrak{O}_{T^2} \stackrel{f}{\longrightarrow} \mathcal{A}^{(2)} \stackrel{g}{\longrightarrow} \mathfrak{O}_{T^2} \longrightarrow 0$$

For the experts:  $\text{Ext}^1(\mathcal{O}_{\mathcal{T}^2},\mathcal{O}_{\mathcal{T}^2}) = \mathbb{C}$ 

# Classification of Bundles on $T^2$

A rank-2 bundle<sup>1</sup> on  $T^2$  is one of the following two possibilities:

• 
$$\mathfrak{O}_{T^2}(p_1 - 0) \oplus \mathfrak{O}_{T^2}(p_2 - 0)$$

(Spectral cover)

• 
$$\mathfrak{O}_{T^2}(p-0)\otimes \mathcal{A}^{(2)}$$

(Extension)

#### <sup>1</sup> with $c_1 = 0$ and a HYM connection

#### **Spectral Covers on Calabi-Yau Threefolds**

Use elliptically fibered Calabi-Yau threefold over a base surface. Patch together sums of line bundles on each fiber. Local coordinates (x, y) on the base

$$\mathcal{V}|_{f_{(x,y)}} = \mathfrak{O}_{T^2}\Big(p_1(x,y) - 0\Big) \oplus \mathfrak{O}_{T^2}\Big(p_2(x,y) - 0\Big) \oplus \cdots$$

Bundle defined by the surface

$$\mathfrak{C}_V = \big\{ p_1(x,y) = 0 \big\} \cup \big\{ p_2(x,y) = 0 \big\} \cup \cdots$$

# **Slope and Stability**

Slope of a vector bundle

$$\mu(\mathcal{V}) = \frac{1}{\mathsf{rk}\,\mathcal{V}}\int \omega^2 \boldsymbol{c}_1(\mathcal{V})$$

Slope-stability:  $\mu(W) < 0$  for all subbundles W.

Linebundle	Slope
O(p(x,y))	$1 + O\big(\operatorname{Vol}(f)\big)$
$O\left(p(x,y)-0\right)$	$O(\operatorname{Vol}(f))$
$\mathcal{O}(-p(x,y))$	$-1 + Oig(\operatorname{Vol}(f)ig)$

# **Slope-Stability of Spectral Covers**

$$\mathcal{V}|_{f_{(x,y)}} = \mathfrak{O}_{T^2}\Big(p_1(x,y) - 0\Big) \oplus \mathfrak{O}_{T^2}\Big(p_2(x,y) - 0\Big)$$

SubbundleSlope $\mathcal{O}_{T^2}(p_i(x,y)-0)$  $O(\operatorname{Vol}(f))$ Not a bdle $\mathcal{O}_{T^2}(-q(x,y))$  $-1+O(\operatorname{Vol}(f))$ < 0</td>

- If  $Vol(f) \ll 1$ , and
- if C<sub>v</sub> is irreducible (p<sub>1</sub> and p<sub>2</sub> exchanged at branch points)

then  $\mathcal{V}$  is slope-stable.

#### **Extensions on Calabi-Yau Threefolds**

Rank-1 ingredients:

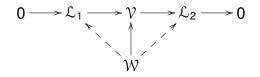
- Line bundles, defined by divisors (codimension 1)
- Sheaves, like bundles but with codimension ≥ 2 degenerations.

Extensions give higher rank bundles:

$$0 \longrightarrow \mathcal{L}_1 \longrightarrow \mathcal{V}^{(2)} \longrightarrow \mathcal{L}_2 \longrightarrow 0$$

Vector Bundles

# **Stability of Extensions**



• If the slope of sub-linebundles of  $\mathcal{L}_2$  is negative, and •  $\mu(\mathcal{L}_1) < 0$ 

then the extension bundle  $\mathcal{V}$  is slope-stable.

 $\Rightarrow$  Finite number of inequalities for the Kähler class









# Constructions

- Our "Heterotic Standard Model", MSSM via *SO*(10) [Braun-HuiHe-Pantev-Ovrut]
- MSSM via SU(5), using extensions of spectral covers [Bouchard-Donagi]
- Standard embedding + intermediate scale breaking [Greene-Kirklin-Miron-Ross]
- Orbifolds [Buchmuller-Hamaguchi-Lebedev-Ratz, Wingerter]
- U(n) bundles instead of SU(n) [Blumenhagen-Honecker-Weigand]
- Free fermions [Faraggi]

# **Group Theory**

The smallest representation that contains one generation of quarks and leptons:

Before 1998:  $\overline{\mathbf{5}} \oplus \mathbf{\underline{10}}$  of SU(5)

Since 1998: <u>**16**</u> of *Spin*(10)

But note: GUT is never a good description, Wilson line breaks gauge group at the GUT scale. Only "organizing principle".

# Particle Spectrum

The massless fields can be counted without knowing the metric and gauge connection explicitly, by computing "sheaf cohomology groups".

We found a Calabi-Yau manifold and slope-stable bundles with "nice" 4*d* low energy effective action:

- $SU(3)_C \times SU(2)_L \times U(1)_Y \times U(1)_{B-L}$
- 3 families of quarks and leptons
- No anti-families
- Anti-fivebrane breaks SUSY in hidden sector

# **Calabi-Yau Threefold**

 $\widetilde{X}$  is a complete intersection of 2 equations of degree (3,0,1) and (0,1,3) in  $\mathbb{P}^2 \times \mathbb{P}^1 \times \mathbb{P}^2$ .

 $\widetilde{X}$  is double elliptic fibration over  $\mathbb{P}^1$  with<sup>2</sup> free  $\mathbb{Z}_3 \times \mathbb{Z}_3$  group action.

 $X=\widetilde{X}/ig(\mathbb{Z}_3 imes\mathbb{Z}_3ig)= ext{Calabi-Yau}$  with  $\pi_1(X)=\mathbb{Z}_3 imes\mathbb{Z}_3$ 

<sup>2</sup>For suitable equations

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# **The Group Action**

Homogeneous coordinates

$$([x_0:x_1:x_2],[t_0:t_1],[y_0:y_1:y_2]) \in \mathbb{P}^2 \times \mathbb{P}^1 \times \mathbb{P}^2$$

 $\mathbb{Z}_3\times\mathbb{Z}_3$  group action

$$g_{1}: \begin{cases} [x_{0}:x_{1}:x_{2}] \mapsto [x_{0}:\zeta x_{1}:\zeta^{2}x_{2}]\\ [t_{0}:t_{1}] \mapsto [t_{0}:\zeta t_{1}]\\ [y_{0}:y_{1}:y_{2}] \mapsto [y_{0}:\zeta y_{1}:\zeta^{2}y_{2}] \end{cases}$$
$$g_{2}: \begin{cases} [x_{0}:x_{1}:x_{2}] \mapsto [x_{1}:x_{2}:x_{0}]\\ [t_{0}:t_{1}] \mapsto [t_{0}:t_{1}] \text{ (no action)}\\ [y_{0}:y_{1}:y_{2}] \mapsto [y_{1}:y_{2}:y_{0}] \end{cases}$$

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# Line Bundles on X

Sections of line bundles on  $\mathbb{P}^2\times\mathbb{P}^1\times\mathbb{P}^2$  are homogeneous polynomials

$$H^0(\mathfrak{O}(\boldsymbol{a}_1,\boldsymbol{b},\boldsymbol{a}_2)) = \mathbb{C}[\boldsymbol{x}_0,\boldsymbol{x}_1,\boldsymbol{x}_2]_{\boldsymbol{a}_1} \otimes \mathbb{C}[\boldsymbol{t}_0,\boldsymbol{t}_1]_{\boldsymbol{b}} \otimes \mathbb{C}[\boldsymbol{y}_0,\boldsymbol{y}_1,\boldsymbol{y}_2]_{\boldsymbol{a}_2}$$

Three  $\mathbb{Z}_3 \times \mathbb{Z}_3$ -invariant divisors  $\tau_1$ ,  $\tau_2$ ,  $\phi$  on  $\widetilde{X}$ . Define invariant line bundles  $\mathfrak{O}_{\widetilde{X}}(a_1\tau_1 + b\phi + a_2\tau_2)$ .

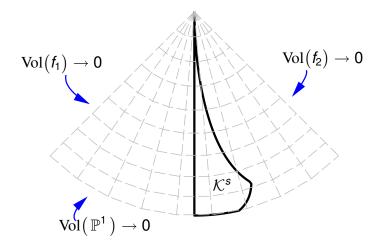
$$egin{aligned} \mathcal{H}^0 \Big( \mathfrak{O}_{\widetilde{X}}(oldsymbol{a}_1 au_1+oldsymbol{b}\phi+oldsymbol{a}_2 au_2) \Big) \ &= \mathcal{H}^0 \Big( \mathfrak{O}(oldsymbol{a}_1,oldsymbol{b},oldsymbol{a}_2) \Big) \Big/ \Big\langle oldsymbol{p}_{(3,1,0)} = oldsymbol{0}, \ oldsymbol{p}_{(0,1,3)} = oldsymbol{0} \Big
angle \end{aligned}$$

# **Calabi-Yau Threefold**

Three Kähler moduli  $t_1$ ,  $t_2$ ,  $t_3 \ge 0$  on X.

- One overall volume (radial part). Ignore in the following...
- Two-dimensional "cross-section" of Kähler cone (angular part).

Cross-section of the Kählercone:  $Vol(X) = t_1 t_2 (t_1 + t_2 + 6t_3)$ 



### Visible Sector Gauge Group

• Rank 4 vector bundle  $\mathcal{V}$  breaks visible  $E_8$  to Spin(10).

$$\begin{array}{l} \underline{\mathbf{248}} = (\underline{\mathbf{1}},\underline{\mathbf{45}}) \oplus (\underline{\mathbf{15}},\underline{\mathbf{1}}) \oplus (\underline{\mathbf{4}},\underline{\mathbf{16}}) \oplus (\overline{\mathbf{4}},\overline{\mathbf{16}}) \oplus (\underline{\mathbf{6}},\underline{\mathbf{10}}) \\ n_{\underline{\mathbf{16}}} = \dim H^1(\widetilde{X},\mathcal{V}), \quad n_{\underline{\mathbf{16}}} = \dim H^1(\widetilde{X},\mathcal{V}^{\vee}) \end{array}$$

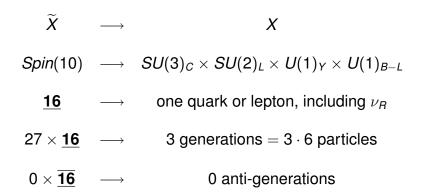
•  $\mathbb{Z}_3 \times \mathbb{Z}_3$  Wilson line breaks

 $Spin(10) \longrightarrow SU(3)_C \times SU(2)_L \times U(1)_Y \times U(1)_{B-L}$ 

Representations of  $\mathbb{Z}_3 \times \mathbb{Z}_3$  are characters  $\chi_1^i \chi_2^j$ ,  $0 \le i, j < 3$ 

$$\underline{\mathbf{16}} = \chi_1 \chi_2^2 (\underline{\mathbf{3}}, \underline{\mathbf{2}}, \mathbf{1}, \mathbf{1}) \oplus \chi_2^2 (\underline{\mathbf{1}}, \underline{\mathbf{1}}, \mathbf{6}, \mathbf{3}) \oplus \chi_1^2 \chi_2^2 (\overline{\underline{\mathbf{3}}}, \underline{\mathbf{1}}, -4, -1) \oplus \\ \oplus (\underline{\mathbf{1}}, \underline{\mathbf{2}}, -3, -3) \oplus \chi_1^2 (\overline{\underline{\mathbf{3}}}, \underline{\mathbf{1}}, \mathbf{2}, -1) \oplus \chi_2 (\underline{\mathbf{1}}, \underline{\mathbf{1}}, \mathbf{0}, \mathbf{3}).$$

# **Quarks and Leptons**



Each quark and lepton corresponds to a different 16.

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# **Doublet-Triplet Splitting**

On  $\widetilde{X}$ , there are

$$h^1(\widetilde{X},\wedge^2\mathcal{V})=4$$

<u>**10**</u>'s. Depending on the  $\mathbb{Z}_3 \times \mathbb{Z}_3$  group action on each <u>**10**</u>, get either Higgs, triplet, or nothing. In our "heterotic standard model", all triplets are projected out while one Higgs (with its conjugate) is kept.

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A Heterotic Standard Model

## **Doublet-Triplet Splitting**

All triplets are projected out while one Higgs (with its conjugate) is kept. How so?

$$\underline{\mathbf{248}} = \left(\underline{\mathbf{6}}, \underline{\mathbf{10}}\right) \oplus \cdots$$

Note that  $\underline{\mathbf{6}} = \wedge^2 \underline{\mathbf{4}} = \wedge^2 \overline{\underline{\mathbf{4}}}$ , so the number of  $\underline{\mathbf{6}}$ -charged zero modes is

$$n_{{f 10}}={\sf dim}\,H^1ig(\widetilde{X},\wedge^2{\cal V}ig)$$

$$\begin{split} \underline{\mathbf{10}} &= \chi_2^2 \big( \underline{\mathbf{1}}, \underline{\mathbf{2}}, \mathbf{3}, \mathbf{0} \big) \oplus \chi_1^2 \chi_2^2 \big( \underline{\mathbf{3}}, \underline{\mathbf{1}}, -\mathbf{2}, -\mathbf{2} \big) \\ & \oplus \chi_2 \big( \underline{\mathbf{1}}, \overline{\underline{\mathbf{2}}}, -\mathbf{3}, \mathbf{0} \big) \oplus \chi_1 \chi_2 \big( \overline{\underline{\mathbf{3}}}, \underline{\mathbf{1}}, \mathbf{2}, \mathbf{2} \big) \end{split}$$

Cohomology on X = Invariant cohomology on  $\widetilde{X}$ 

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# **Doublet-Triplet Splitting**

Cohomology on X = Invariant cohomology on  $\widetilde{X}$ 

- Cohomology groups on  $\widetilde{X}$  transform
- Phase factor from Wilson line

$$\begin{split} \boldsymbol{n}_{H} &= \dim \left( \chi_{2}^{2} H^{1}(X, \wedge^{2} \mathcal{V}) \right)^{\mathbb{Z}_{3} \times \mathbb{Z}_{3}} & \boldsymbol{n}_{\bar{H}} &= \dim \left( \chi_{2} H^{1}(X, \wedge^{2} \mathcal{V}) \right)^{\mathbb{Z}_{3} \times \mathbb{Z}_{3}} \\ \boldsymbol{n}_{C} &= \dim \left( \chi_{1}^{2} \chi_{2}^{2} H^{1}(X, \wedge^{2} \mathcal{V}) \right)^{\mathbb{Z}_{3} \times \mathbb{Z}_{3}} & \boldsymbol{n}_{\bar{C}} &= \dim \left( \chi_{1} \chi_{2} H^{1}(X, \wedge^{2} \mathcal{V}) \right)^{\mathbb{Z}_{3} \times \mathbb{Z}_{3}} \end{split}$$

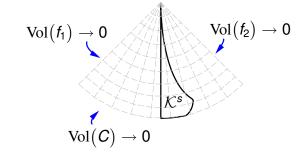
For our bundle,

$$H^{1}\left(\widetilde{X}, \wedge^{2} \mathcal{V}\right) = \chi_{2} \oplus \chi_{2}^{2} \oplus \chi_{1} \chi_{2}^{2} \oplus \chi_{1}^{2} \chi_{2}$$

 $\Rightarrow$  One Higgs Higgs-conjugate pair and no color triplets.

# **Hidden Sector**

- Unbroken  $E_8$  ( $\Leftrightarrow$  trivial bundle is slope-stable)
- Anti-fivebranes wrapped on curve  $C \simeq \mathbb{P}^1$ .
- *C* is rigid curve, shrinks at "bottom" face of Kähler cone.



• Flip of  $C \Leftrightarrow$  Decay into SUSY vacuum.

# **Anomaly Cancellation**

#### **Anomaly Cancellation**

The tangent bundle *TX*, visible bundle  $\mathcal{V}_{vis}$ , hidden bundle  $\mathcal{V}_{hid}$ , 5-brane curve  $\mathcal{W}$ , and anti-fivebrane curve  $\bar{\mathcal{W}}$  must satisfy

$$c_2(TX) - c_2(\mathcal{V}_{\mathsf{vis}}) - c_2(\mathcal{V}_{\mathsf{hid}}) = [\mathcal{W}] - [\bar{\mathcal{W}}]$$

- The anti-fivebrane must wrap a curve whose volume can shrink while keeping Vol(X) finite (rigid curve at the boundary of Mori cone).
- Stability region for  $\mathcal{V}_{vis}$  and  $\mathcal{V}_{hid}$  must allow shrinking  $\bar{\mathcal{W}}$ .
- Anomaly equation is in  $H^4(X, \mathbb{Z})$ , torsion matters.

# **Yukawa Textures**

The "massless" 4*d* fields can have Yukawa couplings.

We cannot (yet) compute the numeric values of the Yukawa couplings. But we can determine which are (classically) zero.

In our "heterotic standard model":

Only two of the three families have Yukawa couplings

 $\Rightarrow$  One light family.

• No  $\mu$ -term, avoids hierarchy problem.

# The $\mu$ -Problem

Without SUSY, quadratic divergencies of the Higgs scalar destabilize EW scale.

SUSY introduces new cubic coupling  $W_{\mu} = \lambda \phi H \bar{H}$ 

Expect  $\lambda \approx 1$  and  $\langle \phi \rangle \gg M_{EW} \Rightarrow EW$  scale destabilized.

Usual solution: postulate discrete symmetry.

But this is not necessary here;  $\lambda$  happens to be zero.

Note: Giudice-Masiero mechanism still generates required small Higgs mass.



2 Vector Bundles

### **3** A Heterotic Standard Model



## **Another Model**

- Same Calabi-Yau manifold as before
- Slightly different sheaves in the bundle construction (Does not change Chern classes).

Leads to:

- Again 3 generations, no anti-generations
- Triplets still projected out
- But: 2 Higgs and 2 conjugate Higgs.

## **Massless Fields**

Massless fields  $\Leftrightarrow$  Bundle-valued one-forms. Yukawa coupling

$$\mathbf{Y}_{ijk} = \int_{X} \mathsf{Tr} \left( \alpha_i \wedge \alpha_j \wedge \alpha_k \right)$$

In order for  $Y_{ijk} \neq 0$ , the three forms  $\alpha_i$ ,  $\alpha_j$ ,  $\alpha_k$  must have legs in

- fiber 1 direction,
- fiber 2 direction, and
- base  $\mathbb{P}^1$  direction.

### **Massless Fields**

Origin	Direction	Field	
<u>16</u>	Fiber 1	$Q_1, u_1, d_1, L_1, e_1, \nu_1$	
<u>16</u>	Base	_	
<u>16</u>	Fiber 2	$Q_{2,3}, u_{2,3}, d_{2,3}, L_{2,3}, e_{2,3}, \nu_{2,3}$	
<u>10</u>	Fiber 1	_	
<u>10</u>	Base	$H_1, \bar{H}_1$	
<u>10</u>	Fiber 2	$H_2, \bar{H}_2$	

# **Yukawa Couplings**

For example, up-quark mass matrix

$$\begin{pmatrix} 0 & \lambda_{u,12} \langle H_1 \rangle & \lambda_{u,13} \langle H_1 \rangle \\ \lambda_{u,21} \langle H_1 \rangle & 0 & 0 \\ \lambda_{u,31} \langle H_1 \rangle & 0 & 0 \end{pmatrix} \sim \begin{pmatrix} 0 & 0 & 0 \\ 0 & \lambda_1 \langle H_1 \rangle & 0 \\ 0 & 0 & \lambda_2 \langle H_1 \rangle \end{pmatrix}$$

 $\Rightarrow$  One light generation of quarks and leptons.

#### **Second Higgs Pair**

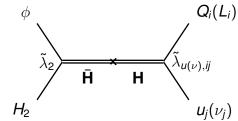
 $H_2$ ,  $\bar{H}_2$  has no Yukawa couplings except  $\mu$ -term

$$W_{\mu} = \lambda_1 \phi H_1 \bar{H}_2 + \lambda_2 \phi H_1 \bar{H}_2$$

# **Beyond Tree-Level**

The superpotential can have higher order terms:

 Integrating out massive Kaluza-Klein modes, for example



• Non-perturbative corrections.

But: Suppressed by  $\frac{1}{M_c}$ .

# $\mu$ -Term and Moduli Vevs

Classical  $\mu$ -term:

$$W_{\mu} = \lambda_1 \phi H_1 \bar{H}_2 + \lambda_2 \phi H_1 \bar{H}_2$$

Not all moduli  $\phi$  appear, but those that do must have really small vev. Standard  $\mu$ -problem, assume it is solved.

Quartic term

$$m{W}_{\mu}=rac{\lambda_{mnij}}{M_{m{c}}}\phi_{m{m}}\phi_{m{n}}m{H}_{m{i}}ar{m{H}}_{m{j}}$$

must be electroweak scale, hence

$$rac{\left( ilde{\lambda}^2
ight)^2}{M_c}\langle\phi_m
angle\langle\phi_n
angle\lesssim M_{
m EW} \quad \Leftrightarrow \quad ilde{\lambda}^2rac{\langle\phi
angle}{M_c}\lesssim \sqrt{rac{M_{
m EW}}{M_c}}pprox 10^{-7}$$

# **Simplified Model**

Take normal (non-SUSY) Standard Model and add

- $\bullet\,$  Single scalar  $\phi\,$
- second Higgs H<sub>2</sub>
- $\mathbb{Z}_2$  symmetry  $\phi \mapsto -\phi$ ,  $H_2 \mapsto -H_2$ .
- Dimension 5 operators

$$\mathcal{L}_{5} = \tilde{\lambda}_{u,ij} \frac{\phi}{M_{c}} \bar{Q}_{i} H_{2}^{*} u_{j} + \tilde{\lambda}_{d,ij} \frac{\phi}{M_{c}} \bar{Q}_{i} H_{2} d_{j} + \\ + \tilde{\lambda}_{\nu,ij} \frac{\phi}{M_{c}} \bar{L}_{i} H_{2}^{*} \nu_{j} + \tilde{\lambda}_{e,ij} \frac{\phi}{M_{c}} \bar{L}_{i} H_{2} e_{j} + \text{h.c.}$$

with couplings  $\lesssim 10^{-7}$ .

### Result

### We obtain FCNC-induced mass splittings of mesons

$F^0$	$\Delta M_{\scriptscriptstyle F}^{\scriptscriptstyle SM}/GeV$	$\Delta M_{F}^{Exp}/GeV$	$\Delta M_{F}^{ m 2-Higgs}/GeV$
$K^0$	$1.4 - 4.6  imes 10^{-15}$	$3.51\times10^{-15}$	$4.72\times10^{-19}$
$B_d^0$	$10^{-13} - 10^{-12}$	$3.26\times10^{-13}$	$.88  imes 10^{-20}$
$D^0$	$10^{-17} - 10^{-16}$	$<1.32\times10^{-13}$	$4.56\times10^{-21}$

(Assuming the same mass for both Higgs)

Flavor-changing neutral currents are far below the Standard Model contributions.

# Conclusion

- Heterotic strings are exciting.
- Can get suitable particle spectra.
- Yukawa couplings are not generic, in particular
  - $\mu$ -problem can be solved without extra symmetries.
  - Extra Higgs-pairs need not be dangerous.