

Dimensional reductions on $SU(3) \times SU(3)$ structures and $N = 1$ vacuum conditions

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Mathematical Challenges
in String Phenomenology



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Based on [arXiv:0707.3125](https://arxiv.org/abs/0707.3125) (with Adel Bilal) and [0804.0595](https://arxiv.org/abs/0804.0595)

Plan of the talk

- 1 Motivation
- 2 Supergravity and $SU(3) \times SU(3)$ structures
- 3 Truncation to a finite set of modes
- 4 $N=2$ data from Generalized Geometry
 - Special Kähler geometry
 - Scalar potential
- 5 Lifting $N = 1$ vacua

Motivation

Effective actions from Flux compactifications

Start from type II supergravity

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Compactification on Calabi-Yau 3-folds

$\hookrightarrow N = 2$ sugra in 4d

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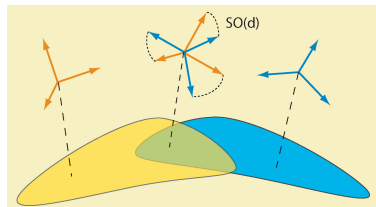
?? How $N = 2$ data are determined by Generalized Geometry??

Supergravity and $SU(3) \times SU(3)$ structures

Need a couple of
(possibly coincident)
internal spinors η^1, η^2



a couple of $SU(3)$
structures
for TM_6



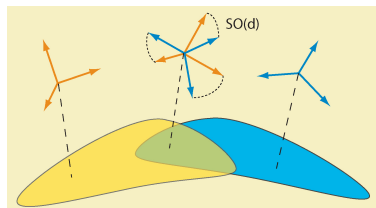
↑ structure group

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Best seen as an $SU(3)\times SU(3)$ structure on $TM_6 \oplus T^*M_6$

[Graña, Louis, Waldram '05, '06]

Structures on $T \oplus T^*$ are described by Generalized Geometry

[Hitchin '02]

Supergravity and $SU(3) \times SU(3)$ structures [recall talks by Witt, Koerber & Martucci]

Basic objects: $O(6,6)$ pure spinors Φ_+ and Φ_-

- polyforms : $\Phi_+ \in \wedge^{\text{even}} T^* M_6$, $\Phi_- \in \wedge^{\text{odd}} T^* M_6$
- generalize J and Ω of a CY
- encode the whole *internal* NSNS sector (g_{mn}, B_{mn}, ϕ)
- Φ_{\pm} can be built as $e^{-B}(\eta_+^1 \otimes \eta_{\pm}^{2\dagger})$
 \hookrightarrow polyforms through fierzing

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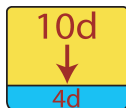
\hookrightarrow polyforms through fierzing

Polyforms natural also in the RR sector:

for IIA: $\mathbf{F} = F_0 + F_2 + \dots + F_8 + F_{10}$ (*democratic formulation*)

Expansion forms

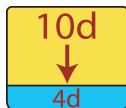
When
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⇒ need to truncate
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Expansion forms

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Truncation specified introducing a finite basis of (poly)forms

$$\Sigma_+ = \begin{pmatrix} \tilde{\omega}^A \\ \omega_A \end{pmatrix}, \quad \Sigma_- = \begin{pmatrix} \beta^I \\ \alpha_I \end{pmatrix}$$

and expanding Φ_{\pm} as:

$$\Phi_+ = X^A \omega_A - \mathcal{F}_A \tilde{\omega}^A, \quad \Phi_- = Z^I \alpha_I - \mathcal{G}_I \beta^I.$$

for a CY : $\Phi_+ = e^{iJ}$, $\Phi_- = \Omega$ and the forms are harmonic

Expansion forms

Σ_+ and Σ_- have to satisfy several constraints for a 4d, $N = 2$ supergravity to be defined (and the reduction proceed analogously to the CY case)

[Graña,Louis,Waldram '05,'06;
Minasian,Kashani-Poor'06;
DC,Bilal'07; DC'08]

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E.g. basis forms have to preserve a symplectic structure:

$$\int_{M_6} \langle \Sigma_+, \Sigma_- \rangle = \begin{pmatrix} 0 & \mathbb{I} \\ -\mathbb{I} & 0 \end{pmatrix}$$

where \langle , \rangle is the antisymmetric Mukai pairing :

$$\langle \alpha, \beta \rangle = [\lambda(\alpha) \wedge \beta]_6 \quad , \quad \lambda(\alpha_k) = (-1)^{\lfloor \frac{k+1}{2} \rfloor} \alpha_k$$

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Further condition :

$$\frac{\langle \Sigma_+, \Phi_+ \rangle}{\langle \Phi_+, \bar{\Phi}_+ \rangle} \quad \text{and} \quad \frac{\langle \Sigma_-, \Phi_- \rangle}{\langle \Phi_-, \bar{\Phi}_- \rangle} \quad \text{constant on } M_6$$

Expansion forms

In general Σ_{\pm} are **not closed** :

$$d\Sigma_- = \mathbb{Q}\Sigma_+$$

\mathbb{Q} : geometric charges \rightarrow more gaugings w.r.t.
CY with fluxes

\mathbb{Q} also accommodates nongeometric fluxes [Graña,Louis,Waldram '06]

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Examples?

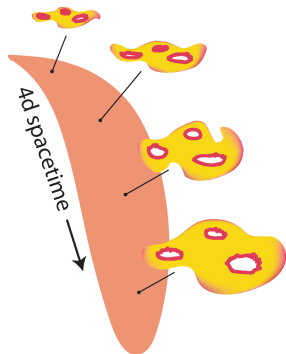
on coset spaces: $\frac{SU(3)}{U(1) \times U(1)}$, $\frac{G_2}{SU(3)}$, $\frac{Sp(2)}{S(U(2) \times U(1))}$, \dots

[Caviezel,Koerber,Kors,Lüst,
Tsimpis,Zagermann '08]

Not only: the reduction goes through *consistently* (solutions lift)

Special Kähler Geometry

Moduli space of CY manifolds



CY case :

$$\delta g_{mn} \leftrightarrow \delta J, \delta \Omega$$

(Kähler- & complex-structure deformations)

parameterize two Special Kähler manifolds.

Kähler potentials:

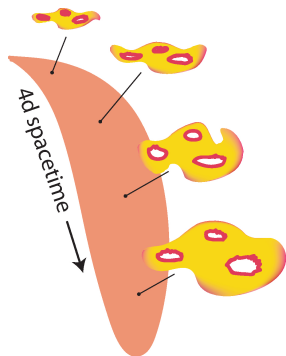
$$K_+ \sim \log \int J \wedge J \wedge J \quad \text{and} \quad K_- \sim \log i \int \Omega \wedge \bar{\Omega}$$



fits into 4d, $N = 2$ sugra

Special Kähler Geometry

Deformations of $SU(3) \times SU(3)$ structures



What about general $SU(3) \times SU(3)$ structures?

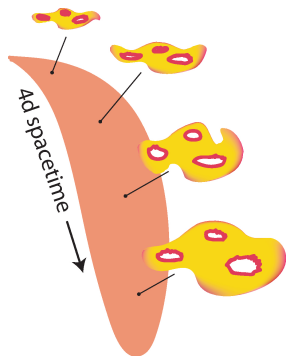
$\delta\Phi_+, \delta\Phi_-$ at a point of M_6



Special Kähler geometries [Hitchin'02]

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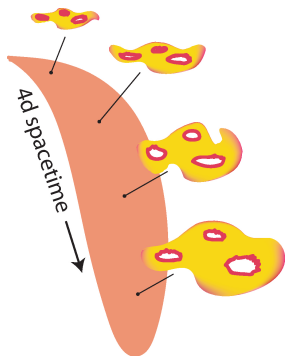
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Requirements on Σ_{\pm} assure this is inherited by the truncated 4d theory

Kähler potentials : $K_{\pm} = -\log i \int \langle \Phi_{\pm}, \bar{\Phi}_{\pm} \rangle$

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Kähler potentials : $K_{\pm} = -\log i \int \langle \Phi_{\pm}, \bar{\Phi}_{\pm} \rangle$

We computed:

$$\frac{e^{2\phi}}{8} \underbrace{\int \text{vol}_6 e^{-2\phi} g^{mn} g^{pq} (\delta g_{mp} \delta g_{nq} + \delta B_{mp} \delta B_{nq})}_{\text{metric on space of } g_{mn} \text{ and } B_{mn} \text{ deform.}} = \underbrace{\delta^{\text{holo}} \delta^{\text{anti}} K_+ + \delta^{\text{holo}} \delta^{\text{anti}} K_-}_{\text{sp. Kähler metrics for } \Phi_+ \text{ and } \Phi_- \text{ def.}}$$

\Downarrow
4d scalar kinetic terms

Special Kähler Geometry

Generalized diamond

Complex polyforms decompose in reps of $SU(3) \times SU(3)$:

$$\begin{array}{ccccccc} & & & & & & \mathbf{1, \bar{1}} \\ & & & & & & \\ & & & & & & \mathbf{1, 3} & \mathbf{\bar{3}, \bar{1}} \\ & & & & & & \mathbf{1, \bar{3}} & \mathbf{\bar{3}, 3} & \mathbf{3, \bar{1}} \\ & & & & & & \mathbf{1, 1} & \mathbf{\bar{3}, \bar{3}} & \mathbf{3, 3} & \mathbf{\bar{1}, \bar{1}} \\ & & & & & & \mathbf{\bar{3}, 1} & \mathbf{3, \bar{3}} & \mathbf{\bar{1}, 3} \\ & & & & & & \mathbf{3, 1} & \mathbf{\bar{1}, \bar{3}} \\ & & & & & & \mathbf{\bar{1}, 1} \end{array}$$

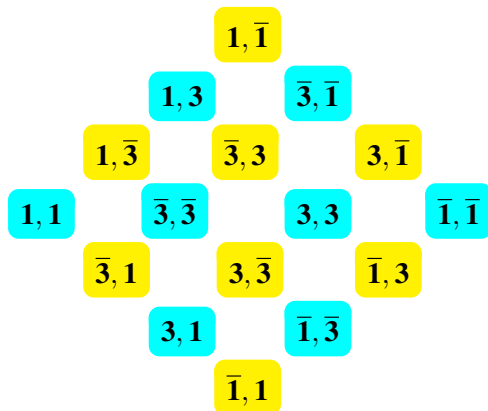
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Complex polyforms decompose in reps of $SU(3) \times SU(3)$:

even

odd



Special Kähler Geometry

Generalized diamond

$SU(3) \times SU(3)$ invariant polyforms :

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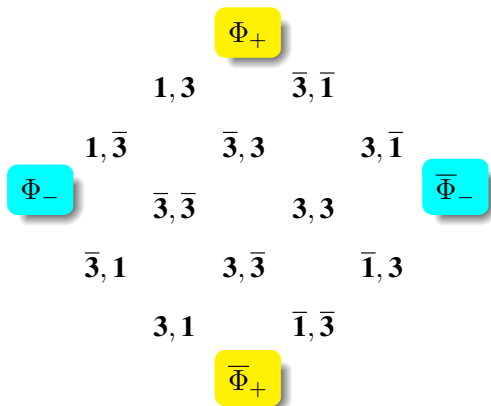
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acting with (anti)holomorphic $Spin(6)$ gamma matrices one can build a basis for the repr space (easy to include B)

Special Kähler Geometry

Deformations of $SU(3) \times SU(3)$ structures

Deformations of Φ_+ (analogous for Φ_-) :

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\hookrightarrow relation with δg_{mn} , δb_{mn} ?

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compatible Φ_+ , Φ_-

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Deformations of $SU(3) \times SU(3)$ structures

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$\downarrow \downarrow \downarrow$

$$\mathcal{J}_{\pm}^{\Lambda}_{\Sigma} = 4i \frac{\langle \text{Re } \Phi_{\pm}, \Gamma^{\Lambda}_{\Sigma} \text{Re } \Phi_{\pm} \rangle}{\langle \Phi_{\pm}, \bar{\Phi}_{\pm} \rangle} \quad \text{with} \quad [\mathcal{J}_+, \mathcal{J}_-] = 0$$

$$\mathcal{J}_{\pm} : T \oplus T^* \rightarrow T \oplus T^* \quad , \quad (\mathcal{J}_{\pm})^2 = -id_{T \oplus T^*}$$

generalized almost
complex structure

where $\Gamma^{\Lambda} = \begin{pmatrix} dx^m \wedge \\ \iota_{\partial_m} \end{pmatrix} : O(6,6)$ gamma matrices

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Metric on $T \oplus T^*$: $\mathcal{G} = -\mathcal{J}_+ \mathcal{J}_-$

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$\mathcal{J}_{\pm} : T \oplus T^* \rightarrow T \oplus T^*$, $(\mathcal{J}_{\pm})^2 = -id_{T \oplus T^*}$ generalized almost complex structure

where $\Gamma^{\Lambda} = \begin{pmatrix} dx^m \wedge \\ \iota_{\partial_m} \end{pmatrix}$: $O(6,6)$ gamma matrices

$$\text{Metric on } T \oplus T^* \quad : \quad \mathcal{G} = -\mathcal{J}_+ \mathcal{J}_- = \begin{pmatrix} g^{-1} B & g^{-1} \\ g - B g^{-1} B & -B g^{-1} \end{pmatrix}$$

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Deformations :

$$g^{mn} g^{pq} (\delta g_{mp} \delta g_{nq} + \delta B_{mp} \delta B_{nq}) = -\frac{1}{2} \text{Tr} [\delta \mathcal{G} \delta \mathcal{G}]$$

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$$\text{use : } \delta \mathcal{G} = -\delta \mathcal{J}_+ \mathcal{J}_- - \mathcal{J}_+ (\delta \mathcal{J}_-)$$

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Deformations of Φ_+ (analogous for Φ_-) :

$$\begin{array}{ccccc} & & \delta\Phi_+ & \rightarrow & \delta\mathcal{J}_+ = 0 \Rightarrow \delta\mathcal{G} = 0 \\ & \mathbf{1, 3} & & & \mathbf{\bar{3}, \bar{1}} \\ & & \delta\Phi_+ & & \delta\Phi_+ \\ \mathbf{1, 1} & \mathbf{\bar{3}, \bar{3}} & \mathbf{3, 3} & & \mathbf{\bar{1}, \bar{1}} \\ & \mathbf{\bar{3}, 1} & \mathbf{3, \bar{3}} & & \mathbf{\bar{1}, 3} \\ & \mathbf{3, 1} & \mathbf{\bar{1}, \bar{3}} & & \\ & & \mathbf{\bar{1}, 1} & & \end{array}$$

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$$\frac{e^{2\varphi}}{8} \int e^{-2\phi} \text{vol}_6 g^{mn} g^{pq} (\delta g_{mp} \delta g_{nq} + \delta B_{mp} \delta B_{nq}) = - \frac{\int \langle \delta\chi_-, \delta\bar{\chi}_- \rangle}{\int \langle \Phi_-, \bar{\Phi}_- \rangle} - \frac{\int \langle \delta\chi_+, \delta\bar{\chi}_+ \rangle}{\int \langle \Phi_+, \bar{\Phi}_+ \rangle}$$

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Matching : yes, provided we truncate these deformations

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Period matrices

Important ingredient : $\mathcal{G}_I = \mathcal{M}_{IJ} Z^J$, $D\mathcal{G}_I = \overline{\mathcal{M}}_{IJ} DZ^J$
↙ period matrix ↗

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$\mathcal{R} = \text{Re}\mathcal{M}$, $\mathcal{I} = \text{Im}\mathcal{M}$

$$\mathbb{M} \equiv \begin{pmatrix} \mathcal{I} + \mathcal{R}\mathcal{I}^{-1}\mathcal{R} & -\mathcal{R}\mathcal{I}^{-1} \\ -\mathcal{I}^{-1}\mathcal{R} & \mathcal{I}^{-1} \end{pmatrix} = \begin{pmatrix} -\int \langle \alpha, *_B \alpha \rangle & \int \langle \alpha, *_B \beta \rangle \\ \int \langle \beta, *_B \alpha \rangle & -\int \langle \beta, *_B \beta \rangle \end{pmatrix}$$

- uses $*_B \bullet := e^{-B} * \lambda(e^B \bullet)$
- generalizes a result valid for the harmonic 3-forms of CY
- parallel expression for even forms $\rightarrow \mathcal{N}$ & \mathbb{N}
(valid for CY as well)

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- generalizes a result valid for the harmonic 3-forms of CY
- parallel expression for even forms $\rightarrow \mathcal{N}$ & \mathbb{N}
- $*_B$ on the generalized diamond : (valid for CY as well)

$$\begin{array}{ccccc} & & i & & \\ & & i & -i & \\ & i & -i & i & \\ i & & -i & i & -i \\ & -i & i & -i & \\ & & i & -i & \\ & & -i & & \end{array}$$

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- generalizes a result valid for the harmonic 3-forms of CY
- parallel expression for even forms $\rightarrow \mathcal{N}$ & \mathbb{N}
(valid for CY as well)
- In e.g. IIA:
 - $\text{Im}\mathcal{N}$ and $\text{Re}\mathcal{N}$ define kinetic & top. terms for gauge fields
 - \mathbb{M} enters in the hyperscalar kinetic terms
 - Both \mathbb{M} and \mathbb{N} appear in the scalar potential

Scalar potential

$$\text{NSNS sector} \rightarrow \mathcal{V}_{\text{NS}} \sim \int_{M_6} \text{vol}_6 e^{-2\phi} \left(R_6 + 4\partial_m \phi \partial^m \phi - \frac{1}{12} H_{mnp} H^{mnp} \right)$$

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Recast in Generalized Geometry language:

- $[D_m, D_n] \eta \sim R_{mnpq} \gamma^{pq} \eta$
- derive formula relating R_6 and $\Phi_{\pm} \sim \eta_+^1 \otimes \eta_{\pm}^{2\dagger}$
- 'dress' it with ϕ and B

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$$\begin{aligned} \mathcal{V}_{\text{NS}} = & \frac{e^{4\phi}}{4} \int_{M_6} \langle d\Phi_+, *_B(d\bar{\Phi}_+) \rangle + \langle d\Phi_-, *_B(d\bar{\Phi}_-) \rangle \\ & - e^{4\phi} \int_{M_6} \frac{|\langle d\Phi_+, \Phi_- \rangle|^2 + |\langle d\Phi_+, \bar{\Phi}_- \rangle|^2}{i\langle \Phi, \bar{\Phi} \rangle} \end{aligned}$$

[DC, 0804.0595]

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$$\begin{aligned} \mathcal{V} = \mathcal{V}_{\text{NS}} + \mathcal{V}_{\text{RR}} &= \frac{e^{4\phi}}{4} \int_{M_6} \langle d\Phi_+, *_B(d\bar{\Phi}_+) \rangle + \langle d\Phi_-, *_B(d\bar{\Phi}_-) \rangle \\ &\quad - e^{4\phi} \int_{M_6} \frac{|\langle d\Phi_+, \Phi_- \rangle|^2 + |\langle d\Phi_+, \bar{\Phi}_- \rangle|^2}{i\langle \Phi, \bar{\Phi} \rangle} \\ &\quad + \frac{e^{4\phi}}{2} \int_{M_6} \langle G, *_B G \rangle \end{aligned}$$

[DC, 0804.0595]

where $G = G_0 + G_2 + G_4 + G_6$: internal RR field strengths

Scalar potential

$$\begin{aligned} \mathcal{V} &= \frac{e^{4\varphi}}{4} \int \langle d\Phi_+, *_B(d\bar{\Phi}_+) \rangle + \langle d\Phi_-, *_B(d\bar{\Phi}_-) \rangle \\ &\quad - e^{4\varphi} \int \frac{|\langle d\Phi_+, \Phi_- \rangle|^2 + |\langle d\Phi_+, \bar{\Phi}_- \rangle|^2}{i\langle \Phi, \bar{\Phi} \rangle} \\ &\quad + \frac{e^{4\varphi}}{2} \int \langle G, *_B G \rangle \end{aligned}$$

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- Put the reduction ansatz in $\mathcal{V} \rightarrow$
 \rightarrow find symplectically invariant 4d $N = 2$ potential

[D'Auria, Ferrara, Trigiante '07]

$$\begin{aligned}\mathcal{V} &= -2e^{2\varphi} [e^{K_+} X^T Q^T M Q \bar{X} + e^{K_-} Z^T \tilde{Q}^T N \tilde{Q} \bar{Z}] \\ &\quad - 8e^{2\varphi} e^{K_+ + K_-} \bar{Z}^T S_- Q (X \bar{X}^T + \bar{X} X^T) Q^T S_- Z \\ &\quad - \frac{e^{4\varphi}}{2} G^T N G \quad , \quad \text{where } X = \begin{pmatrix} X^A \\ \mathcal{F}_A \end{pmatrix}, Z = \begin{pmatrix} Z^I \\ \mathcal{G}_I \end{pmatrix}, G = \begin{pmatrix} G^A \\ \tilde{\mathcal{G}}_A \end{pmatrix}, S_{\pm} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}\end{aligned}$$

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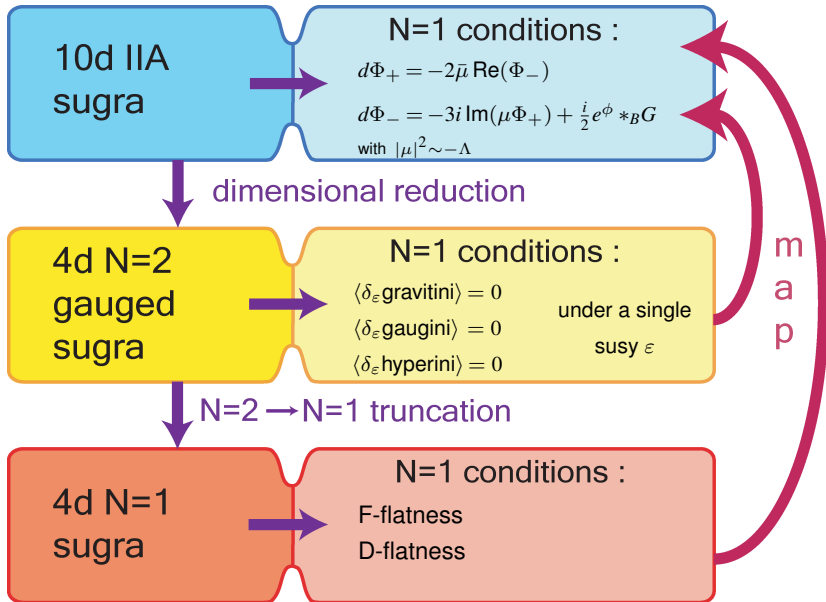
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[D'Auria, Ferrara, Trigiante '07]
- \mathcal{V} invariant under $\Phi_+ \leftrightarrow \Phi_-$ ('mirror' symmetry)
- \mathcal{V} above is relevant for $N = 2$ reductions.
Admits $N < 2$ generalization [Lüst, Marchesano, Martucci, Tsimpis '08]

Lifting $N = 1$ vacua



Lifting $N = 1$ vacua

10d level

FIRST $d\Phi_+ = -2\bar{\mu} \operatorname{Re}(\Phi_-)$ with $|\mu|^2 \sim -\Lambda$

SECOND $d\Phi_- = -3i\operatorname{Im}(\mu\Phi_+) + \frac{1}{2}e^\phi(c_-G + i*_B G)$

[Graña, Minasian, Petrini, Tomasiello '04, '05]

make contact with 4d :

- expand on the basis forms Σ_\pm
- separate in components

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[Graña, Minasian, Petrini, Tomasiello '04,'05]

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4d $N = 2$ level

$$\begin{aligned} \langle \delta_\varepsilon \text{hyperini} \rangle &= 0 \\ \langle \delta_\varepsilon \text{gravitini} \rangle &= 0 \end{aligned}$$



FIRST

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SECOND

Lifting $N = 1$ vacua

4d $N = 2 \rightarrow N = 1$ truncation (induced e.g. by O6 plane)

two $N = 2$ gravitini \rightarrow $N = 1$ gravitino

n_V $N = 2$ vector mult. \rightarrow $\begin{cases} n_C \leq n_V & \text{chiral mult. 'A'} \\ n_V - n_C & N = 1 \text{ vector mult.} \end{cases}$

hypermultiplets \rightarrow chiral mult. 'B'

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- Translate in $N = 1$ language identifying the $N = 1$ variables
- From susy variations \rightarrow read **F- and D-terms**

$N = 1$ conditions in $N = 1$ language

F-flatness for chiral mult. 'B' \iff **FIRST**

F-flatness for chiral mult. 'A' } \iff **SECOND**
D-flatness

Summary : Comparison with Calabi-Yau case

	CY & no fluxes	$SU(3) \times SU(3)$ + fluxes
4d action	$N = 2$ ungauged sugra	$N = 2$ gauged sugra charges: RR, NSNS-fluxes non-CYness $d\Sigma_- = \mathbb{Q}\Sigma_+$
Geometric moduli	$\delta J, \delta\Omega$	$\delta\Phi_+, \delta\Phi_-$ (include $\delta B, \delta\phi$)
Kähler potentials	$K_+ \sim \log \int J \wedge J \wedge J$ $K_- \sim \log i \int \Omega \wedge \bar{\Omega}$	$K_{\pm} = \log i \int \langle \Phi_{\pm}, \bar{\Phi}_{\pm} \rangle$
Scalar potential	$\mathcal{V} = 0$	$\mathcal{V} = \mathcal{V}(d\Phi_{\pm}, \text{fluxes})$
Susy vacua	trivially $N = 2$	nontrivial $N = 1$ conditions. Consistent with 10d eqs

Conclusions

- Type II reductions to 4d, $N = 2$ sugra require $SU(3) \times SU(3)$ str.
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- Correspondence between 10d and 4d $N = 1$ conditions :
first step towards proof of consistency

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- **Future directions**:
 - apply this general formalism to further explicit examples
 - first principles characterization of the expansion forms