

ESI - Mathematical Challenges in String Phenomenology - October 8th, 2008

Effective superpotentials for compact D5-brane Calabi-Yau geometries

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arXiv:0808.0761 [hep-th], work in progress

Masoud Soroush

Introduction & Motivation

c.f. Uranga's review

✓ Semi-realistic type II string model building

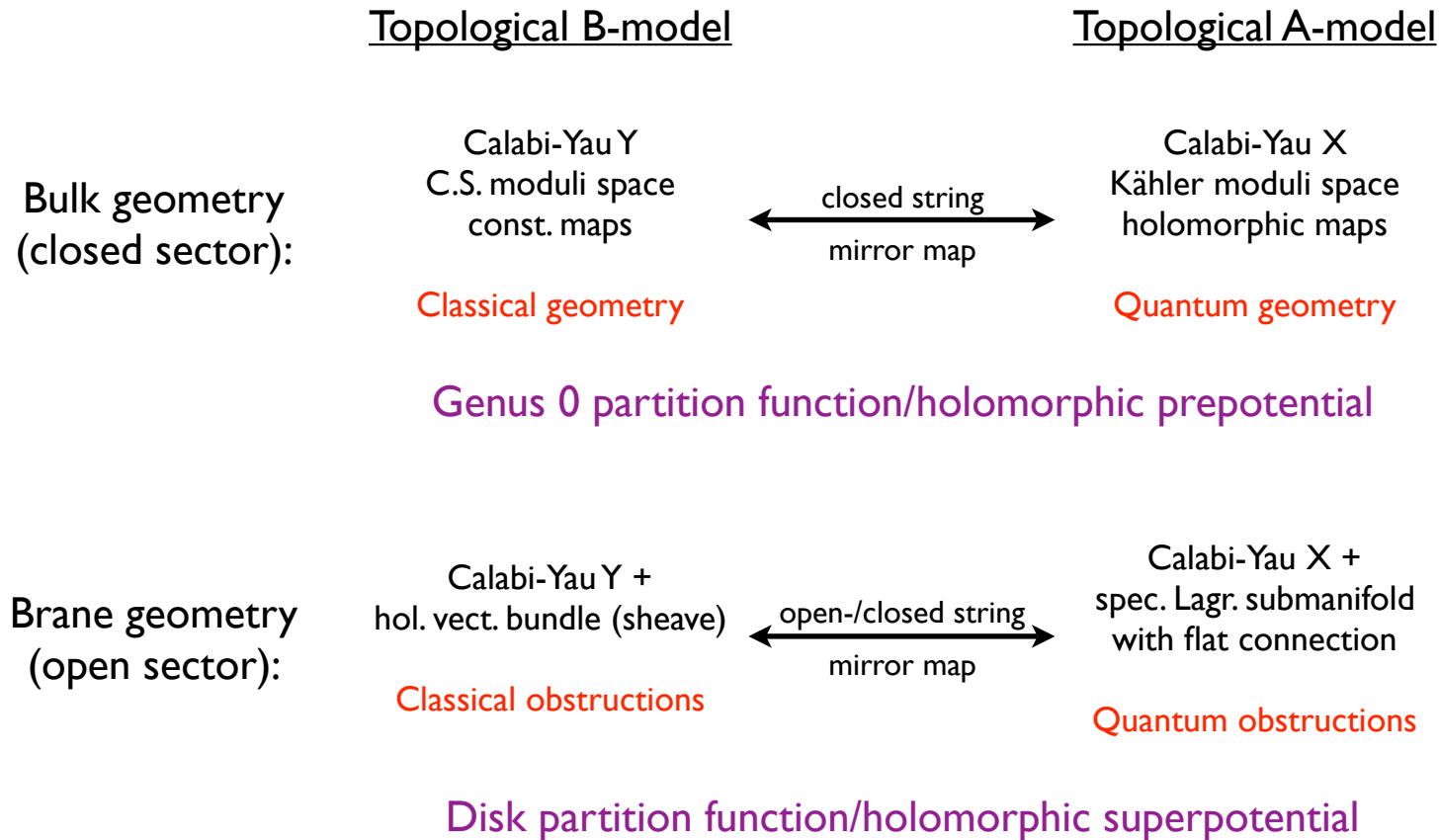
- Ingredients: Fluxes, D-branes, O-planes
- N=1 low energy effective description
 - ▶ String phenomenology & String cosmology
 - ▶ However:
 - Most results only in the large radius limit or for local models
 - Explicit computations often hard or impossible
- ▶ Quantitative results for the N=1 effective superpotential in type II compactifications

✓ Enumerative geometry in topological string theories with branes

- Tool: Mirror symmetry between the topological A- & B-model
- Compute the partition function of the topological A-model
 - ▶ “Count holomorphic curves” in the large radius regime
 - ▶ Equivariant invariant in the vicinity of orbifold points
- Disk instanton generated domain wall tensions
- However: Most results for the open sector only for non-compact geometries
- ▶ Topological disk partition function for compact D5-brane Calabi-Yau geometries

Introduction & Motivation

✓ Mirror symmetry



✓ Here: Focus on the holomorphic effective superpotential

Outline

1. Effective superpotentials
2. Relative three-form periods for D5-brane Calabi-Yau geometries
3. Explicit example
4. Conclusions

Effective superpotentials

Gukov, Vafa, Witten; Witten; Lerche, Mayr, Warner; Soroush, HJ

✓ Type IIB N=1 effective superpotentials

$$W_{\text{RR}}(z) = \int_Y \Omega(z) \wedge F^{(3)} = N \int_{\Gamma} \Omega(z) \quad \Gamma \in H_3(Y, \mathbb{Z})$$

$$W_{\text{D5}} = \int_S \Omega(z) \lrcorner (\zeta(u) \wedge \bar{\partial}\zeta(u)) = \hat{N} \int_{\hat{\Gamma}(u)} \Omega(z) \quad \partial\hat{\Gamma} \subset S$$

$$W(z, u) = \underline{N} \int_{\underline{\Gamma}(u)} \Omega(z), \quad \underline{\Gamma} \in H_3(Y, S, \mathbb{Z})$$

- The quanta \underline{N} specify RR three-form fluxes and wrapped D5-brane cycles (NS three form fluxes and NS5-branes are included via $\underline{N} \rightarrow \underline{N} + \tau \underline{M}$)
- 3-form fluxes and 5-branes are often related by geometric transitions

Ooguri, Vafa

✓ Type IIA N=1 effective superpotentials

$$W_{\text{D6}}(t, \hat{t}) = \sum_{\substack{d, \ell \\ k \geq 1}} \frac{n_{d, \ell}}{k^2} (e^{2\pi i t})^{dk} (e^{2\pi i \hat{t}})^{\ell k}$$

- Disk instanton generated superpotential wrapping classes of $H_2(X, L)$
- t : closed-string Kähler modulus, \hat{t} : open-string modulus (generator of $H_1(L)$)

Periods & Semi Periods

✓ Relative period integrals

$$\underline{\Pi}(z, u) = \int_{\underline{\Gamma}} \Omega(z) - \int_{\partial \underline{\Gamma}} \theta(u) = \int_{\underline{\Gamma}} \underline{\Omega}(z, u)$$

$$\begin{array}{ccc} \uparrow & \uparrow & \uparrow \\ H^3(Y) & H^2_{\text{var}}(S) & H^3(Y, S) \end{array}$$

- Moduli dependence encoded in the relative three form $\underline{\Omega}(z, u)$

✓ Flux three-form periods

- Symplectic A- and B-cycles: $X = \int_A \underline{\Omega}(z, u)$, $\mathcal{F}_X = \frac{\partial F}{\partial X} = \int_B \underline{\Omega}(z, u)$
- Flat periods: $\Pi = (1, t, \mathcal{F}_t, 2\mathcal{F} - t\mathcal{F}_t)$

✓ Brane semi-periods

- Semi-periods $\hat{\Gamma} = (\hat{\Gamma}_{\hat{X}} \hat{\Gamma}_W)$, $\partial \hat{\Gamma} \subset S$: $\hat{X} = \int_{\hat{\Gamma}_{\hat{X}}} \underline{\Omega}(z, u)$, $W_{\hat{X}} = \int_{\hat{\Gamma}_W} \underline{\Omega}(z, u)$
- Flat semi-periods: $\hat{\Pi} = (\hat{t}, W_{\hat{t}}, *)$

Variation of Mixed Hodge Structure

Lerche, Mayr, Warner; Soroush, HJ

- ✓ Relative three forms

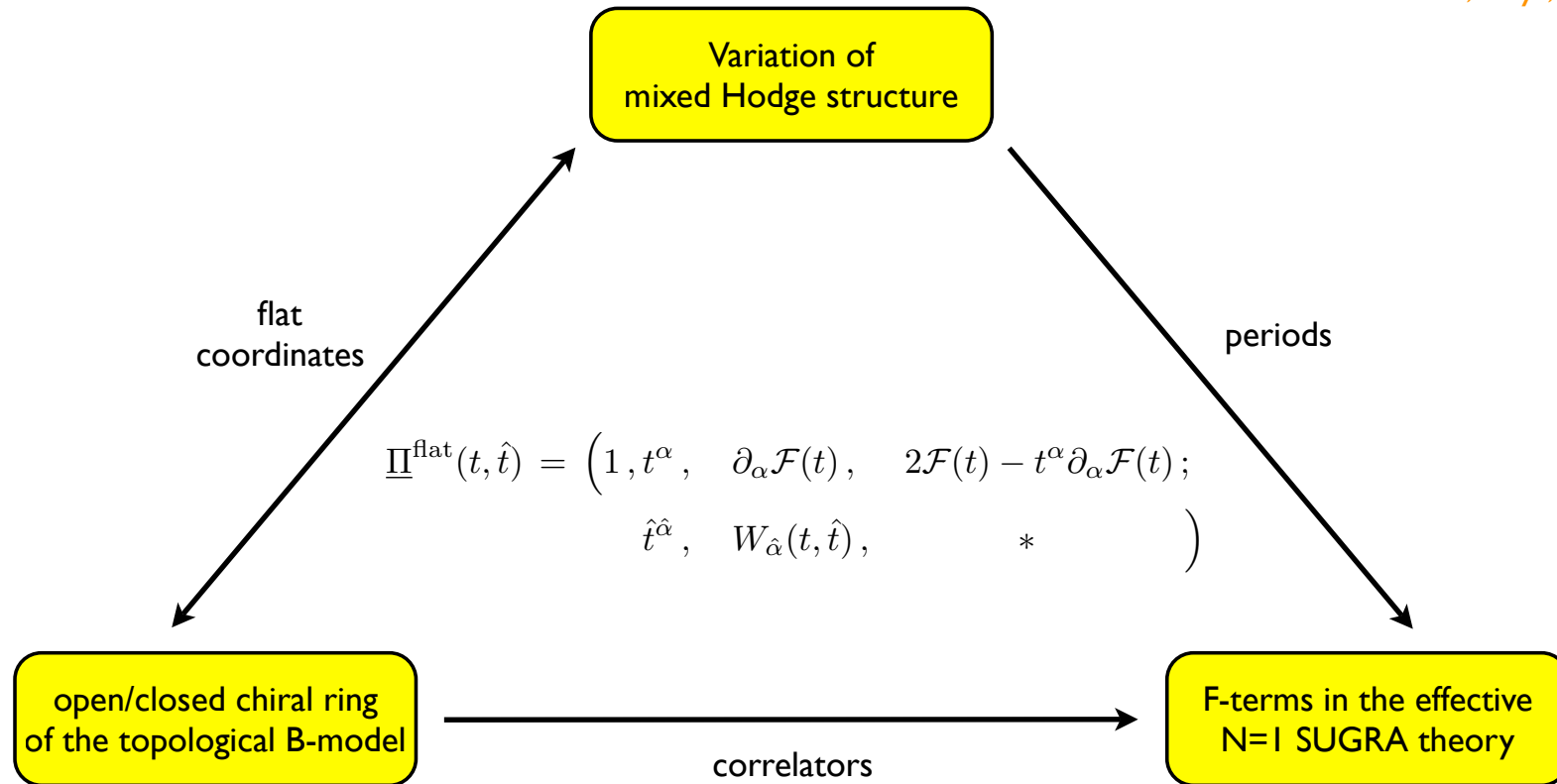
$$H^3(Y, S) \cong H^3(Y) \oplus H_{\text{var}}^2(S)$$

- ✓ Variation of mixed Hodge structure

$$\begin{array}{ccccccc} (3, 0)_Y & \xrightarrow{\partial_z} & (2, 1)_Y & \xrightarrow{\partial_z} & (1, 2)_Y & \xrightarrow{\partial_z} & (0, 3)_Y \\ & \searrow \partial_u & & \searrow \partial_u & & \searrow \partial_u & \\ & & (2, 0)_S & \xrightarrow{\partial_z, \partial_u} & (1, 1)_S & \xrightarrow{\partial_z, \partial_u} & (0, 2)_S \end{array}$$

N=1 special geometry

Lerche, Mayr, Warner



$$C_{\alpha\beta\gamma}(t) = \partial_\alpha \partial_\beta \partial_\gamma \mathcal{F}(t)$$

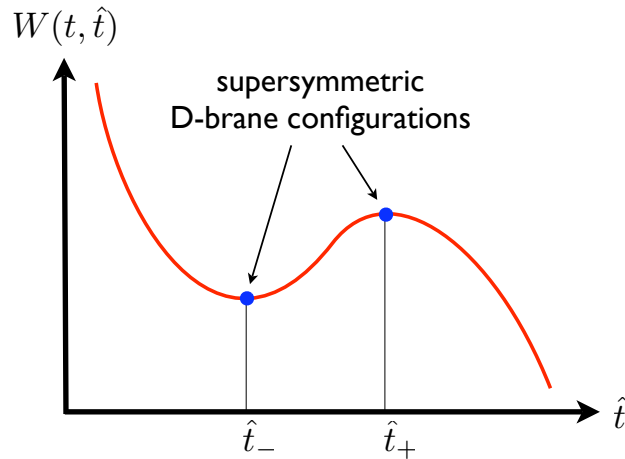
$$\hat{C}_{\alpha\hat{\beta}\hat{\gamma}}(t, \hat{t}) = \partial_\alpha \partial_{\hat{\beta}} W_{\hat{\gamma}}(t, \hat{t})$$

$$\mathcal{L}_{\hat{\alpha}}^{\text{SUGRA}} \supset \int d^2\theta W_{\hat{\alpha}}(t, \hat{t}) + \text{h.c.}$$

Effective superpotential

c.f. Herbst's review

✓ Variation of mixed Hodge structure



Correlators & obstructions:

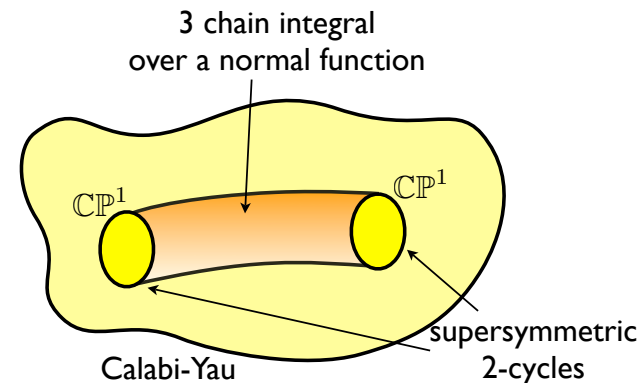
$$\Psi_{\hat{t}} \circlearrowleft \Psi_{\hat{t}} = \langle \Psi_{\hat{t}} \Psi_{\hat{t}} \Psi_{\hat{t}} \rangle \quad \text{open sector}$$

$$\Phi_t \circlearrowleft \Psi_{\hat{t}} = \langle \Psi_{\hat{t}} \Phi_t \rangle \quad \text{open/closed sector}$$

Walcher; Morrison, Walcher; Krefl, Walcher; Knapp Scheidegger

✓ Domain wall tension

$$\mathcal{T}(t) = W(t, \hat{t}_+) - W(t, \hat{t}_-)$$



▶ Flat coordinates encode "off-shell information" of the superpotential

Calabi-Yau and D5-brane geometry

Griffiths; Lerche, Mayr, Warner

✓ Relative cohomology groups for D5-brane geometries

- Holomorphic 2-cycles only at the critical points of the effective superpotential
- Replace (non-holomorphic) 2-cycles S by holomorphic hypersurfaces/divisor V
- Study the relative cohomology with respect to the divisor V

$$H^3(Y, S) \implies H^3(Y, V)$$

Soroush, HJ

✓ Calabi-Yau and D5-brane geometry

- Calabi-Yau (hypersurface) geometry and complex structure moduli dependence

| | |
|---|--|
| ambient (weighted) projective space | Calabi-Yau hypersurface |
| $x \in \mathbb{WP}_{(n_1, n_2, n_3, n_4, n_5)}^4$ | $P(x, z) \equiv 0, \deg P = n_1 + \dots + n_5$ |

- D5-brane divisor V of the Calabi-Yau and its open-string moduli dependence

| | |
|-------------------|--|
| defining equation | divisor V |
| $Q = Q(x, u)$ | $Q(x, u) \equiv 0 \ \& \ P(x, z) \equiv 0$ |

Explicit example

Walcher; Krefl, Walcher; Knapp, Scheidegger; Soroush, HJ

✓ Bulk & D5-brane geometry

- one complex structure modulus ψ , one open-string modulus ϕ

$$\mathbb{W}\mathbb{P}^4_{(1,1,1,1,4)}/(\mathbb{Z}_8)^2 \times \mathbb{Z}_2$$

$$P(\psi) = x_1^8 + x_2^8 + x_3^8 + x_4^8 + x_5^2 - 8\psi x_1 x_2 x_3 x_4 x_5 \quad Q(\phi) = x_5 - \phi x_1 x_2 x_3 x_4$$

✓ Discrete moduli spaces symmetries

$$\mathbb{Z}_8 \times \mathbb{Z}_2 : g_1 : \begin{pmatrix} \psi \\ \phi \end{pmatrix} \mapsto e^{2\pi i/8} \begin{pmatrix} \psi \\ \phi \end{pmatrix}, g_2 : \begin{pmatrix} \psi \\ \phi \end{pmatrix} \mapsto \begin{pmatrix} \psi \\ 8\psi - \phi \end{pmatrix}$$

✓ Supersymmetric D5-brane configurations & critical points

$$\phi_+ = 0, \quad C_+ = \{x_5 = 0, x_1 - \eta x_2 = 0, x_3 - \eta x_4 = 0\} \subset Y$$

$$\phi_- = 8\psi, \quad C_- = \{x_5 - 8\psi x_1 x_2 x_3 x_4 = 0, x_1 - \eta x_2 = 0, x_3 - \eta x_4 = 0\} \subset Y$$

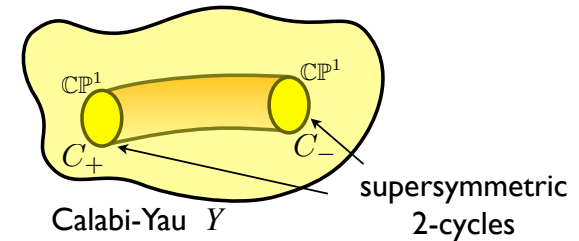
c.f. Herbst's review

✓ RS-branes at the Gepner point & matrix factorizations

$$(L_1, \dots, L_5) = (3, 3, 2, 1, 0)$$

$$Q_+(\psi) = \sum_{\ell=1}^5 \left(x_\ell^{L_\ell+1} \pi_\ell + x_\ell^{n_\ell-L_\ell+1} \bar{\pi}_\ell \right) + x_5 \pi_5 - 8\psi x_1 x_2 x_3 x_4 \bar{\pi}_5$$

$$Q_-(\psi) = \sum_{\ell=1}^5 \left(x_\ell^{L_\ell+1} \pi_\ell + x_\ell^{n_\ell-L_\ell+1} \bar{\pi}_\ell \right) - 8\psi x_1 x_2 x_3 x_4 \pi_5 + x_5 \bar{\pi}_5$$



Linear Gauss-Manin system

Lerche, Mayr, Warner; Soroush, HJ

✓ Variation of Mixed Hodge Structure

$$\begin{array}{ccccccc}
 (3,0)_Y & \xrightarrow{\partial_\psi} & (2,1)_Y & \xrightarrow{\partial_\psi} & (1,2)_Y & \xrightarrow{\partial_\psi} & (0,3)_Y \\
 & \searrow \partial_\phi & & \searrow \partial_\phi & & \searrow \partial_\phi & \\
 & & (2,0)_V & \xrightarrow{\partial_\psi, \partial_\phi} & (1,1)_V & \xrightarrow{\partial_\psi, \partial_\phi} & (0,2)_V
 \end{array}$$

$$\underline{\Omega}(z, u) \sim \int \frac{\log Q(\phi)}{P(\psi)} \Delta$$

✓ Basis of relative three-forms

$$\vec{\pi} \equiv (\underline{\Omega}, \partial_\psi \underline{\Omega}, \partial_\psi^2 \underline{\Omega}, \partial_\psi^3 \underline{\Omega}; \partial_\phi \underline{\Omega}, \partial_\psi \partial_\phi \underline{\Omega}, \partial_\psi^2 \partial_\phi \underline{\Omega})$$

✓ Linear system

$$\nabla_\psi \vec{\pi} \equiv (\partial_\psi - M_\psi) \vec{\pi} \simeq 0$$

$$\nabla_\phi \vec{\pi} \equiv (\partial_\phi - M_\phi) \vec{\pi} \simeq 0$$

$$M_\psi = \begin{pmatrix} \boxed{B_{4 \times 4}(\psi)} & \boxed{C_{4 \times 3}^\psi(\psi, \phi)} \\ \boxed{0_{3 \times 4}} & \boxed{D_{3 \times 3}^\psi(\psi, \phi)} \end{pmatrix}$$

$$M_\phi = \begin{pmatrix} \boxed{0_{4 \times 4}} & \boxed{C_{4 \times 3}^\phi(\psi, \phi)} \\ \boxed{0_{3 \times 4}} & \boxed{D_{3 \times 3}^\phi(\psi, \phi)} \end{pmatrix}$$

✓ Flatness & integrability

$$[\nabla_\psi, \nabla_\phi] = \partial_\phi M_\psi - \partial_\psi M_\phi + [M_\psi, M_\phi] = 0$$

Open/closed Picard-Fuchs equations

✓ Picard-Fuchs equations for the relative periods $\Pi(\psi, \phi) = \int \underline{\Omega}(\psi, \phi)$

$$\mathcal{L}_1 \underline{\Pi}(\psi, \phi) = \tilde{\mathcal{L}}_1 \partial_\phi \underline{\Pi}(\psi, \phi) = 0$$

$$\mathcal{L}_2 \underline{\Pi}(\psi, \phi) = \tilde{\mathcal{L}}_2 \partial_\phi \underline{\Pi}(\psi, \phi) = 0$$

$$\mathcal{L}_3 \underline{\Pi}(\psi, \phi) = \left(\mathcal{L}^{\text{bulk}} + \tilde{\mathcal{L}}_3 \partial_\phi \right) \underline{\Pi}(\psi, \phi)$$

✓ Solutions of the open/closed Picard-Fuchs equations

- Solutions in the vicinity of the $\mathbb{Z}_8 \times \mathbb{Z}_2$ -orbifold point

$$\underline{\Pi}^0(\psi) \sim {}_4F_3 \left[\begin{matrix} \frac{1}{8} & \frac{1}{8} & \frac{1}{8} \\ \frac{1}{4} & \frac{2}{4} & \frac{3}{4} \end{matrix} \right] \left((2\psi)^8 \right)$$

$$\underline{\Pi}^1(\psi) \sim \psi^2 \cdot {}_4F_3 \left[\begin{matrix} \frac{3}{8} & \frac{3}{8} & \frac{3}{8} \\ \frac{2}{4} & \frac{3}{4} & \frac{5}{4} \end{matrix} \right] \left((2\psi)^8 \right)$$

$$\underline{\Pi}^2(\psi) \sim \psi^4 \cdot {}_4F_3 \left[\begin{matrix} \frac{5}{8} & \frac{5}{8} & \frac{5}{8} \\ \frac{3}{4} & \frac{5}{4} & \frac{6}{4} \end{matrix} \right] \left((2\psi)^8 \right)$$

$$\underline{\Pi}^3(\psi) \sim \psi^6 \cdot {}_4F_3 \left[\begin{matrix} \frac{7}{8} & \frac{7}{8} & \frac{7}{8} \\ \frac{5}{4} & \frac{6}{4} & \frac{7}{4} \end{matrix} \right] \left((2\psi)^8 \right)$$

$$\underline{\Pi}^4(\psi, \phi) \sim \int {}_3F_2 \left[\begin{matrix} \frac{1}{4} & \frac{1}{4} & \frac{1}{4} \\ \frac{2}{4} & \frac{3}{4} \end{matrix} \right] \left(\frac{\phi^4}{4} (\phi - 8\psi)^4 \right) d\phi$$

$$\underline{\Pi}^5(\psi, \phi) \sim \int \phi(\phi - 8\psi) \cdot {}_3F_2 \left[\begin{matrix} \frac{2}{4} & \frac{2}{4} & \frac{2}{4} \\ \frac{3}{4} & \frac{5}{4} \end{matrix} \right] \left(\frac{\phi^4}{4} (\phi - 8\psi)^4 \right) d\phi$$

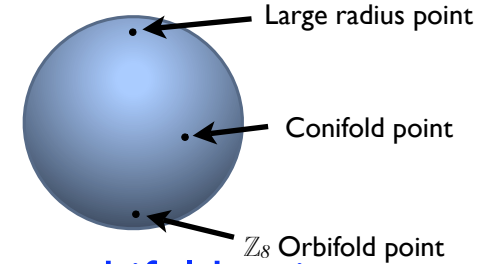
$$\underline{\Pi}^6(\psi, \phi) \sim \int \phi^2(\phi - 8\psi)^2 \cdot {}_3F_2 \left[\begin{matrix} \frac{3}{4} & \frac{3}{4} & \frac{3}{4} \\ \frac{5}{4} & \frac{6}{4} \end{matrix} \right] \left(\frac{\phi^4}{4} (\phi - 8\psi)^4 \right) d\phi$$

Flat relative periods

✓ Flat relative periods

$$\underline{\Pi}^{\text{flat}}(t, \hat{t}) = \left(1, t, \partial_t \mathcal{F}(t), 2\mathcal{F}(t) - t\partial_t \mathcal{F}(t); \hat{t}, W(t, \hat{t}), * \right)$$

Moduli space of the (mirror) bulk geometry



✓ Distinguished flat relative periods in the vicinity of the orbifold point

- Regularity in the vicinity of the $\mathbb{Z}_8 \times \mathbb{Z}_2$ -orbifold singularity
- Irreducible representations with respect to the $\mathbb{Z}_8 \times \mathbb{Z}_2$ -orbifold group

$$\mathbb{Z}_8 \times \mathbb{Z}_2 : g_1 : \begin{pmatrix} \psi \\ \phi \end{pmatrix} \mapsto e^{2\pi i/8} \begin{pmatrix} \psi \\ \phi \end{pmatrix}, g_2 : \begin{pmatrix} \psi \\ \phi \end{pmatrix} \mapsto \begin{pmatrix} \psi \\ 8\psi - \phi \end{pmatrix}$$

- The prepotential and superpotential arise as appropriate sections of the Hodge bundle

$$\left. \begin{array}{l} \underline{\Pi}^0 \sim 1 + c_0 \psi^8 + \dots \\ \underline{\Pi}^1 \sim \psi^2(1 + c_1 \psi^8 + \dots) \\ \underline{\Pi}^2 \sim \psi^4(1 + c_2 \psi^8 + \dots) \\ \underline{\Pi}^3 \sim \psi^6(1 + c_3 \psi^8 + \dots) \end{array} \right\} \Rightarrow t = \frac{\underline{\Pi}^1}{\underline{\Pi}^0} \quad \mathcal{F}(t) = \frac{1}{2} \frac{\underline{\Pi}^3}{\underline{\Pi}^0} + \frac{t}{2} \frac{\underline{\Pi}^2}{\underline{\Pi}^0}$$

$$\left. \begin{array}{l} \underline{\Pi}^4 \sim (\phi - 4\psi)(1 + \dots) \\ \underline{\Pi}^5 \sim \psi^2(\phi - 4\psi)(1 + \dots) \\ \underline{\Pi}^6 \sim \psi^4(\phi - 4\psi)(1 + \dots) \end{array} \right\} \Rightarrow \hat{t} = \frac{\underline{\Pi}^4}{\underline{\Pi}^0} \quad W(t, \hat{t}) = \frac{\underline{\Pi}^5}{\underline{\Pi}^0}$$

Mirror symmetry at the orbifold point

Aganagic, Bouchard, Klemm; Bouchard, Klemm, Mariño, Pasquetti; Soroush, HJ

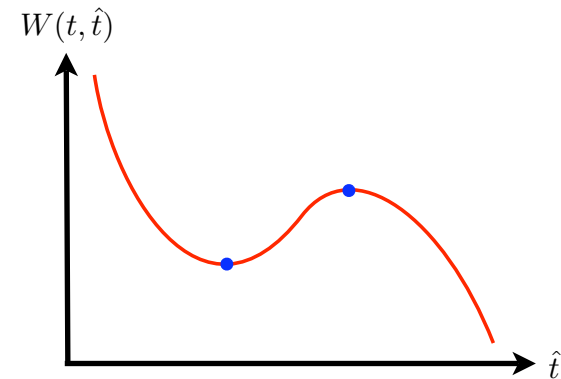
✓ Orbifold invariants of the topological B-model

- Orbifold sphere invariants:

$$\mathcal{F}(t) = \frac{1}{3!}t^3 + \frac{77}{7! \cdot 8}t^7 + \dots$$

- Orbifold disk invariants:

$$W(t, \hat{t}) = -4t\hat{t} + \frac{1}{3}\hat{t}^3 - \frac{5}{24}t^5\hat{t} + \dots$$



- Critical locus/leading order terms in agreement with matrix factorization techniques
- Sub-leading order contains “off-shell” information of the superpotential

✓ Orbifold disk Gromov-Witten invariants in the topological A-model

$$W(t, \hat{t}) = \sum_{k,n} \frac{1}{k!} N_{k,n}^{(0,1)} t^n \hat{t}^k$$

- Equivariant localization techniques for Calabi-Yau with Lagrangian submanifolds
- Fixes overall numerical normalizations
- ▶ However: Equivariant A-model computations only performed for local Calabi-Yau geometries

Domain wall tensions

Soroush, HJ

✓ Domain wall tensions in the vicinity of the orbifold point

- Critical loci for supersymmetric D5-brane configurations

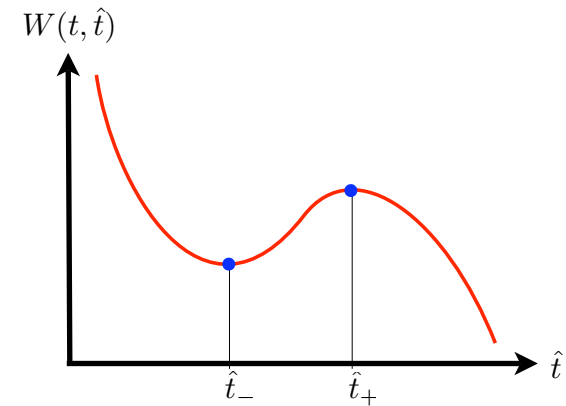
$$0 = \partial_{\hat{t}} W(t, \hat{t}) \Rightarrow \phi_+(t, \hat{t}_+) = 0, \phi_-(t, \hat{t}_-) = 8\psi(t)$$

- Domain wall tension period

$$\tau_{\text{orb}}(\psi) = \underline{\Pi}^5(\psi, \phi_+) - \underline{\Pi}^5(\psi, \phi_-)$$

- Inhomogeneous Picard-Fuchs equation

$$\mathcal{L}^{\text{bulk}}_{\tau_{\text{orb}}}(\psi) \sim \psi^{-1} \sqrt{\psi^8}$$



Walcher; Walcher, Krefl; Knapp, Scheidegger

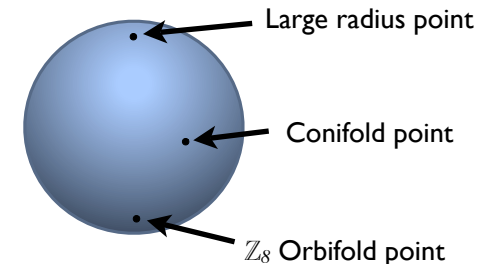
✓ Domain wall tensions period analytically continued to large volume

- Inhomogeneous large volume Picard-Fuchs equation

$$\mathcal{L}^{\text{bulk}}_{\tau_{\text{LV}}}(z) \sim \sqrt{z}, \quad z \sim \psi^{-8}$$

- ▶ Large volume domain wall disk instantons

Moduli space of the (mirror) bulk geometry



Conclusions

- ✓ **New techniques to compute $N=1$ effective superpotentials:**
 - Open-/closed string Picard-Fuchs equations for compact D5-brane geometries
 - $N=1$ effective superpotentials in flat coordinates beyond the leading order
- ✓ **Conceivable phenomenological applications:**
 - Insights into the vacuum structure of Type II compactifications with branes and fluxes
 - Non-perturbative superpotentials in the context of dynamical supersymmetry breaking
- ✓ **Open mirror symmetry & enumerative quantum geometry**
 - Techniques to compute disk invariants via open/closed string mirror symmetry
 - Mirror symmetry at the orbifold point for compact brane Calabi-Yau geometries
 - Domain wall tensions and their instanton expansions from flat effective superpotentials
- ✓ **Further directions and open questions**
 - Open-/closed-string mirror symmetry in the large volume regime
 - Study the structure of the open/closed chiral ring in the context of compact Calabi-Yaus
 - Higher genus topological open-string partition functions for compact Calabi-Yaus
 - (Equivariant) localization computations in the topological A-model

Residue integrals

Soroush, HJ

✓ Holomorphic relative three form

$$\underline{\Omega}(z, u) = \int \frac{\log Q(x, u)}{P(x, z)} \Delta, \quad \Delta = \sum_k (-1)^k n_k x_k dx_1 \wedge \dots \widehat{dx_k} \dots \wedge dx_5$$

✓ Variation of mixed Hodge structure

$$\int \frac{\log Q}{P} \Delta \quad \int \frac{p_1 \log Q}{P^2} \Delta \quad \int \frac{p_2 \log Q}{P^3} \Delta \quad \int \frac{p_3 \log Q}{P^4} \Delta$$

$$\begin{array}{ccccccc} (3,0)_Y & \xrightarrow{\partial_z} & (2,1)_Y & \xrightarrow{\partial_z} & (1,2)_Y & \xrightarrow{\partial_z} & (0,3)_Y \\ & \searrow \partial_u & & \searrow \partial_u & & \searrow \partial_u & \\ & & (2,0)_V & \xrightarrow{\partial_z, \partial_u} & (1,1)_V & \xrightarrow{\partial_z, \partial_u} & (0,2)_V \end{array}$$

$$\int \frac{q_{11}}{PQ} \Delta \quad \int \frac{q_{21}}{PQ^2} \Delta \quad \int \frac{q_{31}}{PQ^3} \Delta$$

$$\int \frac{q_{22}}{P^2Q} \Delta \quad \int \frac{q_{32}}{P^2Q^2} \Delta$$

$$\int \frac{q_{33}}{P^3Q} \Delta$$

✓ Equivalence relations

$$d\underline{\alpha} = \int \left[k \frac{(q \cdot \nabla P) \log Q}{P^{k+1}} - \frac{(\nabla q) \log Q}{P^k} - \frac{q \cdot \nabla Q}{P^k Q} \right] \Delta \quad d\underline{\beta} = \int \left[k \frac{q \cdot \nabla P}{P^{k+1} Q^\ell} + \ell \frac{q \cdot \nabla Q}{P^k Q^{\ell+1}} - \frac{\nabla q}{P^k Q^\ell} \right] \Delta$$