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Comments on non-perturbative effects in noncommutative $\mathcal{N} = 1$ supersymmetric gauge theories

Carlos Tamarit Degenhardt

Ongoing work with Kenneth Intriligator

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2 Non-perturbative superpotentials

U(1) instantons and gaugino condensation





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Motivation

Why $\mathcal{N} = 1$ noncommutative supersymmetric gauge theories?

- Free of dangerous IR quadratic divergences arising from non-planar diagrams.
- Supersymmetry allows to obtain some exact results.

Some previous results we will use

• "Anomalous" running of the wilsonian gauge coupling of non-traceless U(1) gauge couplings [Khoze, Travaglini]: $\frac{1}{g_{eff}^2} \equiv \frac{1}{g_{eff,p}^2} + \frac{1}{g_{eff,p}^2}$,

 $\frac{1}{g_{eff,p}^2} = \frac{b_0}{(4\pi)^2} \log k^2$: Planar contribution, for a U(N) theory identical to the corresponding ordinary SU(N) running. $\frac{1}{g_{eff,pp}^2} \sim \frac{-2b_0}{(4\pi)^2} \log k^2, k << \theta^{-1/2}$: Non-planar running (the scale $\theta^{-1/2}$ appears in the running!).

 There is strong motivation to believe that the effective actions are gauge invariant. Non-planar contributions are associated to operators involving Wilson loops. E. g., to lowest order in fields,

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[Raamsdonk, Armoni, López, Levell, Travaglini]

$$\begin{split} &\int \frac{d^4p}{(2\pi)^4} \mathcal{O}^{\mu\nu}(p) T(p) \mathcal{O}_{\mu\nu}(p), \\ &\mathcal{O}_{\mu\nu}(p) = \operatorname{tr} \int d^4x \, L_{\star} \big(F_{\mu\nu}(x) e^{\int_0^1 d\sigma \bar{p}^{\rho} A_{\rho}(x+\bar{p}\sigma)} \big) \star e^{ipx}, \bar{p}^{\mu} = \theta^{\mu\nu} p_{\nu}, \end{split}$$

- Planar U(1)_A anomalies are given by * product generalization of ordinary ones [C. P. Martin].
- U(N) theories, including N = 1, have instanton configurations [Nekrasov, Schwarz, Chu, Khoze, Travaglini].
- [Chu,Zamora]: Noncommutative superpotentials do not receive perturbative corrections.
- [Liao,Ruiz]: Goldstone's theorem is likely to hold, even in non-supersymmetric theories.

Some previous results we will debate about exact superpotentials at low energy

[Chu, Khoze, Travaglini]: Brief comments about validity of * product generalisation of ADS type superpotentials. For U(N) with F < N flavours:

$$W(M) \sim \left(\frac{\Lambda^{b_0}}{detM}\right)^{\frac{1}{N-F}}, \quad M_{\overline{i}j} = \tilde{Q}_{\overline{i}} \star Q_j.$$

• [Ardalan, Sadooghi]: Incompatible superpotentials obtained. They use different $U(1)_A$ selection rules for $k >> \theta^{-1/2}$ and $k << \theta^{-1/2}$.

We will support the validity of ADS type superpotentials, clarifying the roles of the planar and non-planar runnings of the U(1) gauge coupling.

We will also explicitly show how U(1) instantons generate gaugino condensation for pure $\mathcal{N} = 1$ NC U(1). We will discuss the possibility of instantons generating a gaugino mass term.





2 Non-perturbative superpotentials

U(1) instantons and gaugino condensation

Basic tools

- Classical Vacua (U(N) with F flavours Q
 _i, Q_i). Same as in ordinary theory. Vacua parametrised by gauge invariant "meson fields" M_{ij} = Q
 _i ★ Q_j. There are no baryon fields.
- Global anomalies:
 - Planar anomalies follow from invariance of functional integral measure under $\delta \Psi(x) = i\alpha(x) \star \gamma_5 \Psi(x)$.. The jacobian of the corresponding global transformations is given by the integral of the planar anomaly.
 - Planar anomalies are the \star product generalisation of ordinary anomalies $\Rightarrow U(1)_R$ is conserved, $U(1)_A$ is anomalous.
- Exact NSVZ β function. As pointed out by [Hollowood,Khoze,Travaglini], assumption of UV renormalisability + existence of instanton configurations \Rightarrow Exact NSVZ β function, given by commutative SU(N) expression (to be extrapolated to the N = 1 case).

• How does it fit with the "anomalous" running of the U(1) coupling?

 $\frac{1}{g_{eff}^2(k^2)}$ calculated by [Khoze,Travaglini] includes contributions from the running of 2 couplings:

- τ , coupling to operator $\int d^4x \, d\theta^2 W_{\alpha} \star W^{\alpha}$, which is renormalised by planar diagrams and whose β function is the nc NSVZ one. This running generates the holomorphic scale Λ in the usual way.
- *τ* coupling to the supersymmetric generalisation of the operator mentioned earlier involving Wilson loops:

$$\begin{split} &\int \frac{d^4 p}{(2\pi)^4} \mathcal{O}^{\mu\nu}(p) T(p) \mathcal{O}_{\mu\nu}(p), \\ &\mathcal{O}_{\mu\nu}(p) = \operatorname{tr} \int d^4 x \, L_{\star} \left(F_{\mu\nu}(x) e^{\int_0^1 d\sigma \bar{p}^{\rho} A_{\rho}(x+\bar{p}\sigma)} \right) \star e^{ipx}, \bar{p}^{\mu} = \theta^{\mu\nu} p_{\nu}, \end{split}$$

which is renormalised by nonplanar diagrams. The corresponding running is dependent on the noncommutative scale $\theta^{-1/2}$ and is unaffected by matter in the fundamental.

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- Confusion can arise because both operators have same lowest order in derivatives-fields contributions. In contrast to ordinary case, we seem to have more than one marginal operator!.
- Consequences for $U(1)_A$ selection rules. Selection rules arise from incorporating the anomalous path integral $U(1)_A$ jacobian into transformations of the couplings. Since the jacobian is given by the integral of the planar anomaly, an anomalous $U(1)_A$ transformation is associated to a change $Q \rightarrow e^{i\alpha}Q, \tilde{Q} \rightarrow e^{i\alpha}\tilde{Q} \Rightarrow \theta_{YM} \rightarrow \theta_{YM} - 2F\alpha$ which yields the usual selection rule (recall $\lambda = \mu e^{2i\pi\tau/b_0}, \tau = \frac{4\pi i}{\sigma^2} + \frac{\theta_{YM}}{2\pi}$) that

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leaves the quantum theory invariant. Note that, as opposed to [Ardalan,Sadooghi].

- b_0 is the one-loop coefficient of the NSVZ planar β function.
- Selection rules involve integral, not local, forms of the anomaly.
- Non-planar effects play no role in the selection rule.

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Generating exact superpotentials

• Quantum symmetries:

Local nc gauge symmetry: Superpotential dependent on $M_{ij} = \tilde{Q}_i \star Q_j$. Global $SU(F) \times SU(F) \times U(1)_R$: Superpotential dependent on det M.

Periodicity in θ : Superpotential dependent on Λ^{b_0} .

 F < N. Quantum symmetry+Selection rule: Same reasoning as in ordinary SU(N) theories.

$$W(M) \sim \left(rac{\Lambda^{b_0}}{detM}
ight)^{rac{1}{N-F}}, \quad M_{\overline{i}j} = ilde{Q}_{\overline{i}} \star Q_j.$$

F ≥ *N*. No superpotential: quantum moduli space. Can we have similar features as in ordinary SU(N) theories? NO:

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As in the commutative SU(N) case, we will have zeros in the planar NSVZ β function for τ for appropriate combinations of N, F.

BUT

the nonplanar running of the coupling $\tilde{\tau}$ is insensitive to F, we will never have a zero in the corresponding β function.

 \Rightarrow We can not expect conformal fixed points and electric-magnetic duality.

Also note that baryon operators can not be constructed, and the commutative SU(N) anomaly matchings in different points of the moduli space can not be reproduced.





2 Non-perturbative superpotentials

U(1) instantons and gaugino condensation

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Gaugino condensation in pure U(1) from holomorphicity

Pure $\mathcal{N} = 1$ noncommutative U(1) has an anomaly under $U(1)_R$. In contrast to the commutative U(1) case -but as in ordinary SU(N) theories- we get a selection rule:

$$\lambda \rightarrow e^{i\alpha}\lambda, \Lambda \rightarrow e^{2i\alpha/3}\Lambda$$

leaves the theory invariant. Thus, if no massless singlet are present, we generate

$$W_{eff} = a \Lambda^3$$

from which one can derive as in the commutative case

$$\langle \lambda \lambda \rangle = -32\pi^2 a \Lambda^3.$$

Generating gaugino condensation from instanton effects

U(1) nc instanton [Nekrasov, Schwarz, Chu, Khoze, Travaglini]

We choose x_1, x_2 as noncommuting coordinates: time is commutative.

$$z_0 \equiv x_4 + ix_3, \ z_1 \equiv x_1 + ix_2, \ [z_1, \overline{z}_1] = 2\theta.$$

Anti-instanton from noncommutative ADHM construction $(A_m) = \Phi^{\dagger \lambda} \partial_m \Phi_{\lambda},$

$$\Phi = \begin{bmatrix} \sqrt{2\theta_+}\bar{z}_0 \\ i\sqrt{2\theta_+}z_1 \\ z_0\bar{z}_0 + \bar{z}_1z_1 \end{bmatrix} \frac{1}{\sqrt{(z_0\bar{z}_0 + \bar{z}_1z_1)(z_0\bar{z}_0 + z_1\bar{z}_1)}}.$$

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Instanton field strength and Chern number

• Basis of anti-self-dual forms: $\alpha_3 \equiv \frac{i}{2}(dz_0 d\bar{z}_0 + dz_1 d\bar{z}_1)$, $\alpha_+ \equiv dz_0 dz_1$, $\alpha_- \equiv d\bar{z}_0 d\bar{z}_1$

$$F = \frac{1}{2}F_{mn}dx^{m}dx^{n} = 2i\Phi^{\dagger}f \begin{bmatrix} \alpha_{3} & \alpha_{-} & 0\\ \alpha_{+} & -\alpha_{3} & 0\\ 0 & 0 & 0 \end{bmatrix} \Phi, \quad f = \frac{1}{\bar{z}_{0}z_{0} + z_{1}\bar{z}_{1}},$$

 Second Chern number can be explicitly evaluated [Kim,Lee,Yang], yielding –1 as expected:

$$I=\frac{-1}{8\pi^2}\int F\wedge F=-1.$$

 Anomaly equation implies the existence of two fermionic zero modes.

$$D^{\mu}j_{\mu}^{5} = t_{2}(R_{f}) \cdot \frac{1}{16\pi^{2}} \epsilon^{\mu\nu\rho\sigma} F_{\mu\nu} \star F_{\rho\sigma}, \quad j_{\mu}^{5} = \psi_{\beta} \star \overline{\psi}_{\alpha}(\gamma_{\mu}\gamma_{5})_{\alpha\beta}.$$

$$\Rightarrow \operatorname{index}_{R_{f}}(\mathcal{D}) \equiv n_{L} - n_{R} = t_{2}(R_{f})I.$$

 Anti-instanton background: n_L = 0. Gauginos in U(1) "adjoint" t₂(ad) = 2⇒ two gaugino zero modes, standard "supersymmetry zero modes," superpartners of translations

$$\lambda_{\alpha}^{(\beta)} \sim F_{\alpha\beta}^{\text{inst}}.$$

There are no "superconformal zero modes" since there is not a dilatation modulus " ρ ".

• Zero mode counting implies that instantons generate non-zero $S_{\alpha\beta}(x,y) = \langle \lambda_{\alpha}(x)\lambda_{\beta}(y) \rangle$. With Pauli-Villars regulator M_{PV}

$$\mathcal{S}_{lphaeta} \sim \mathcal{M}_{PV}^3 \exp(-8\pi^2 g^{-2}(\mathcal{M}_{PV}) + i heta_{YM}) \int d^4 z \mathcal{F}_{lpha\gamma}^{inst}(x-z) \mathcal{F}_{eta\delta}^{inst}(y-z) \epsilon^{\gamma\delta},$$

On symmetry grounds,

$$S_{lphaeta}(x,y) = S(x-y)\epsilon_{lphaeta},$$

 $S(x-y) \sim \Lambda^3 \int d^4z F^{inst}_{lpha\gamma}(x-y-z)F^{inst}_{eta\delta}(-z)\epsilon^{lphaeta}\epsilon^{\gamma\delta}.$

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Gaugino condensate from instantons

Finally,

$$\langle \lambda_{\alpha}(\mathbf{x})\lambda^{\alpha}(\mathbf{x})\rangle \sim S(0) \sim \Lambda^{3}I = -\Lambda^{3}.$$

• Gaugino mass term? The instanton-generated gaugino condensation can be reproduced by a non-local effective 2-fermion interaction, such that:

$$S(x-y) = \int d^4z \int d^4z' \mathfrak{S}(x-z)m(z-z')\mathfrak{S}(z'-y).$$

 \mathfrak{S} : Free fermion propagator. Doing a derivative expansion, in principle this could include a mass term (as happens for example in ordinary QCD with one flavour).

$$m_{\lambda} = \int d^4 x (\partial_x)^2 S(x) \sim \Lambda^3 \int d^4 x d^4 z (\partial_x)^2 F^{inst}_{\alpha\beta}(x-z) (F^{inst})^{\alpha\beta}(z).$$

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Gaugino mass term?

If this were true, in the absence of massless fermions, the Goldstone theorem would imply that supersymmetry can not be broken, and therefore we would have a massive photon: nc $\mathcal{N} = 1U(1)$ would be confining. However, it seems that the corresponding integral is zero, so that instantons might only yield higher derivative terms.