# Toward A Theory Of Emergent Matters

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Bayrischzell Workshop 2011 Noncommutativity and physics: Spacetime Quantum Geometry Bayrischzell, May 20-23, 2011



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### Outline







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### This talk will be very speculative. So please be patient for some tough ideas.

### Lesson from Quantum Mechanics

Stability of atoms in classical mechanics

- Recall the Rutherford's solar system picture of the atom
- Classical electromagnetism predicts an intensive radiation from orbiting electrons
- Atoms are unstable and so our Universe too
- Quantum mechanics comes to rescue the stability of atoms  $[x^i, p_k] = i\hbar \delta_k^i$ : Noncommutative phase space
- Wave-particle duality in NC phase space:  $\lambda = \frac{2\pi\hbar}{p}$

### Quantum Field Theory

#### $\mathsf{QFT} = \mathsf{Special\ relativity} \oplus \mathsf{Quantum\ mechanics}$

- A particle is regarded as a point
- A particle has mass m and a stable  $(\Delta t \to \infty)$  particle is in an eigenstate of Hamiltonian
- Einstein relation:  $E = mc^2$ . A massive particle carries a huge energy !
- Nonsense for the point particle
- Layman's answer: Well, the concept of point particle is just an approximation. A particle has a tiny size and the huge energy may be stored there.
- If so, the stability problem of particle arises !
- Can the stability of particle be resolved by QFT ?

### Noncommutative Space: $\theta$ -deformation

Stability of atoms: NC phase space 🛛 Stability of particles: NC spacetime ?

- Gravity: Spacetime symmetry Electromagnetism: Internal symmetry
- Inner Automorphism in NC spacetime  $[y^a, y^b]_* = i\theta^{ab}$
- In NC spacetime, Internal symmetry ⇒ Spacetime symmetry
- Gauge/Gravity duality in NC spacetime
- Gravity is emergent  $\Rightarrow$  Spacetime should be emergent too !
- If spacetime is emergent, every structure supported on spacetime should be emergent too !

### Background Independent Quantum Gravity

O-dimensional IKKT Matrix model: No a priori spacetime structure

$$S_{IKKT} = -\frac{1}{8\pi g_s} \text{Tr}[\Phi^a, \Phi^b]^2$$
(1)

Algebraic relations:

$$[\Phi^{[a}, [\Phi^{b}, \Phi^{c]}]] = 0, \qquad [\Phi_{a}, [\Phi^{a}, \Phi^{b}]] = 0.$$
(2)

- Specify a vacuum:  $\Phi^a_{\text{vac}} = \frac{y^a}{\kappa} \Leftrightarrow \langle B_{ab} \rangle_{\text{vac}} = (\theta^{-1})_{ab}$  which defines  $\mathbf{R}^d_{NC}$ :  $[y^a, y^b]_{\star} = i\theta^{ab}$  where  $a, b = 1, \cdots, d$ .
- Expand  $\Phi^a \equiv \frac{\theta^{ab}}{\kappa} \widehat{D}_b$  where  $\widehat{D}_a = (B_{ab}y^b + \widehat{A}_a(y))$ .
- IKKT Matrix model = NC U(1) gauge theory on R<sup>d</sup><sub>NC</sub>

$$S_{NC} = \frac{1}{4g_{YM}^2} \int d^d y (\hat{F}_{ab} - B_{ab}) \star (\hat{F}^{ab} - B^{ab})$$
(3)

where  $\widehat{F}_{ab} = \partial_a \widehat{A}_b - \partial_b \widehat{A}_a - i [\widehat{A}_a, \widehat{A}_b]_{\star}$ .

### **Emergent Geometry**

#### Emergent geometry from NC gauge theory

- The relation between orthonormal frmaes E<sub>a</sub> ∈ Γ(TM), E<sup>a</sup> ∈ Γ(T\*M) in Einstein gravity and gauge theory bases X<sub>a</sub> ∈ Γ(TM), V<sup>a</sup> ∈ Γ(T\*M): X<sub>a</sub> = λE<sub>a</sub> and E<sup>a</sup> = λV<sup>a</sup> where λ<sup>2</sup> = det<sup>-1</sup> V<sub>b</sub><sup>a</sup>.
- $ds^2 = E^a \otimes E^a = \lambda^2 \delta_{ab} V_c^a V_d^b dy^c dy^d$  where  $V_c^a X_b^c = \delta_b^a$ .

#### Einstein equations from NC gauge fields

• 
$$\{D_a, \{D_b, D_c\}_{\theta}\}_{\theta} = \widehat{D}_a \widehat{F}_{bc} \Leftrightarrow X_{\widehat{D}_a \widehat{F}_{bc}} = [X_a, [X_b, X_c]]$$

- Bianchi identity  $\widehat{D}_{[a}\widehat{F}_{bc]} = 0 \Leftrightarrow R_{[abc]d} = 0.$
- Equations of motion  $\widehat{D}_a \widehat{F}^{ab} = 0 \Leftrightarrow R_{ab} \frac{1}{2} g_{ab} R = 8\pi G T_{ab}$ . where  $T_{ab} = T_{ab}^{(M)} + T_{ab}^{(L)}$ .
- $\exists$  natural concept of Emergent Time:  $(M, \omega = B)$ Hamiltonian vector field  $X_H : \iota_{X_H}\omega = dH \Rightarrow \frac{df}{dt} = X_H(f) = \{f, H\}_{\omega^{-1}}$

### Gravity As A Large N Duality

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 $\textit{U(N \to \infty)}$  Yang-Mills theory on  $\mathsf{R}^{3,1} = \mathsf{NC}~\textit{U}(1)$  gauge theory on  $\mathsf{R}^{3,1} \times \mathsf{R}^6_{\textit{NC}}$ 

$$S = -\frac{1}{g_{YM}^2} \int d^4 z \text{Tr} \left( \frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \frac{1}{2} (D_\mu \Phi^a)^2 - \frac{1}{4} [\Phi^a, \Phi^b]^2 \right)$$
(4)

where we introduced 6 adjoint scalar fields  $\Phi^a$ .

- Consider a vacuum  $\Phi^a = y^a$ ,  $a = 1, \dots, 6$  and  $A_\mu = 0$  where  $[y^a, y^b]_\star = i\theta^{ab} : \mathbf{R}_{NC}^6$ .
- Any background independent NC gauge theory can be expressed only in terms of covariant objects, i.e.,  $D_{\mu}(z, y) = \partial_{\mu} iA_{\mu}(z, y)$  and  $\Phi^{a}(z, y) = y^{a} + \theta^{ab} \widehat{A}_{b}(z, y)$ .

$$S = -\frac{1}{4g_{YM}^2} \int d^{10}X (F_{MN} - B_{MN})^2$$
 (5)

with  $F_{MN} = \partial_M A_N - \partial_N A_M - i[A_M, A_N]_*$ .

### Ward geometry

(Ward, CQG 7 (1990) L217)

- Vector fields  $\Leftrightarrow$  NC fields  $X^M = (D_\mu, \Phi^a)$ :  $\operatorname{ad}_{X^M}[f] \equiv [X^M, f]_\star = -i\theta^{ab} \frac{\partial X^M}{\partial y^b} \frac{\partial f}{\partial y^a} + \cdots \equiv X^a_M(z, y)\partial_a f(z, y) + \mathcal{O}(\theta^3).$
- Let  $y^a$  be local coordinates on  $M_6$ , then locally,

$$A_{\mu}(z) = A^{a}_{\mu}(z, y) \frac{\partial}{\partial y^{a}}, \qquad X_{a}(z) = X^{b}_{a}(z, y) \frac{\partial}{\partial y^{b}}$$

•  $f^{-1}(X_1, \dots, X_4, X_1, \dots, X_6)$  forms an orthonormal frame and hence defines a metric on  $\mathbb{R}^{3,1} \times M_6$  where f is a scalar, a conformal factor, defined by  $f^2 = \omega(X_1, \dots, X_6)$ 

#### Emergent geometry from large N matrices

$$ds^{2} = f^{2} \eta_{\mu\nu} dz^{\mu} dz^{\nu} + f^{2} \delta_{ab} V^{a}_{c} V^{b}_{d} (dy^{c} - \mathbf{A}^{c}) (dy^{d} - \mathbf{A}^{d})$$
(6)

where  $\mathbf{A}^a = A^a_\mu dz^\mu$  and  $V^a_c X^c_b = \delta^a_b$ 

### Topological origin of matters

- A remarkable aspect of the large N gauge theory (53) is that it admits a rich variety of topological objects.
- Consider a stable class of time-independent solutions satisfying our asymptotic boundary condition and so matrices  $\Phi^a(x)$  are nondegenerate along  $S^3 = R^3 \cup \{\infty\}$  and so  $\Phi^a$  defines a well-defined map

$$\Phi^a: \mathbf{S}^3 \to GL(N, \mathbf{C}) \tag{7}$$

from  $\mathbf{S}^3$  to the group of nondegenerate complex  $N \times N$  matrices.

- If Φ<sup>a</sup> ∈ π<sub>3</sub>(GL(N, C)), the solution Φ<sup>a</sup> will be stable under small perturbations, and the corresponding nontrivial element of π<sub>3</sub>(GL(N, C)) represents a topological invariant.
- In the stable regime where  $N > \frac{3}{2}$ , the homotopy groups of  $GL(N, \mathbb{C})$  or U(N) define a generalized cohomology theory, known as K-theory K(X). In our case, this group is given by

$$K(\mathbf{R}^{3,1}) = \pi_3(GL(N, \mathbf{C})) = \mathbf{Z}.$$
(8)

### Atiyah-Bott-Shapiro: Clifford Modules

- ABS theorem: K-theory generators in (8) can be constructed in terms of Clifford module.
- The construction uses the gamma matrices  $\Gamma^{\mu}: S_{+} \to S_{-}$  to satisfy the Dirac algebra  $\{\Gamma^{\mu}, \Gamma^{\nu}\} = 2\eta^{\mu\nu}$  of the Lorentz algebra SO(3, 1) and  $S_{\pm}$  be two irreducible spinor representations of Spin(3, 1).
- Introduce a Dirac operator  $\mathcal{D}: V \times S_+ \to V \times S_-$  such that  $\mathcal{D} = \Gamma^{\mu} p_{\mu} + \cdots$  acting on a Hilbert space V as well as a spinor vector space  $S_{\pm}$ .
- The ABS construction implies that the Dirac operator  $\mathcal{D}$  is a generator of  $\pi_3(U(N))$  as a nontrivial topology in momentum space  $(\mathbf{p}, \omega)$  and acts on low lying excitations near the vacuum which carry K-theory charges and so are stable.
- ABS construction shows that the Dirac operator  $\mathcal{D}$  acts on collective (coarse-grained) modes of the solution (7) satisfying the Dirac equation

$$i\Gamma^{\mu}(\partial_{\mu} - ieA_{\mu} - iA_{\mu}^{a}T^{a})\chi + \dots = 0.$$
(9)

### **Emergent Matters from Stable Geometries**

An explicit construction of Dirac operator depends on the topological class of the solution (7).

Noncommutative U(1) instanton as Calabi-Yau 2-fold

- NC U(1) instantons from  $\Phi^a(a = 1, 2, 3, 4) \cong$  Calabi-Yau 2-folds
- SU(2) holonomy group of CY 2-folds = SU(2) gauge group for (fermionic) zero modes  $\chi$
- NC U(1) instanton formed in extra dimensions may appear as a four-dimensional chiral fermion in SU(2) representation. Leptons ?

#### Noncommutative Hermitian U(1) instanton as a Calabi-Yau 3-fold

- NC Hermitian U(1) instantons from  $\Phi^a(a = 1, \cdots, 6) \cong$  Calabi-Yau 3-folds
- SU(3) holonomy group of CY 3-folds = SU(3) gauge group for (fermionic) zero modes  $\chi$
- NC Hermitian *U*(1) instanton formed in extra dimensions may appear as a four-dimensional chiral fermion in *SU*(3) representation. Quarks ?

## Thank you for your attention and patience.

Suppose that a particle exists with position q<sup>i</sup> and velocity q<sub>i</sub> satisfying commutation relations

$$[\boldsymbol{q}^{i}, \boldsymbol{q}^{j}] = \boldsymbol{0}, \qquad [\boldsymbol{q}^{i}, \dot{\boldsymbol{q}}_{j}] = i\hbar\delta^{i}_{j}. \tag{10}$$

- Feynman asks a question: What is the most general form of forces appearing in the Newton's equation consistent with the commutation relation (10) ?
- $m\frac{d\mathbf{v}}{d\mathbf{t}} = e(\mathbf{E} + \mathbf{v} \times \mathbf{B})$

• For SU(2) or SU(3) interactions, the quantum particle dynamics is defined by a symplectic structure on  $T^* \mathbf{R}^3 \times F$  where the coordinates  $T^a$  of F satisfy the commutation relations  $[T^{a}, T^{b}] = if^{abc}T^{c}, \quad [q^{i}, T^{a}] = 0$ 

- The generators of the SU(n) symmetry on the Fock space:  $T^a = a_i^{\dagger} \lambda_{i\nu}^a a_k$
- $i\Gamma^{\mu}(\partial_{\mu} iA^{a}_{\mu}T^{a})\chi + \cdots = 0$  where  $\chi$  is a quark, e.g., for n = 3.