

# The experimental status of General Relativity

Claus Lämmerzahl



Center for Applied Space Technology and Microgravity (ZARM)  
University of Bremen



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# The Bremen drop tower



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## Space Science

- Fundamental Physics
- Key Technologies
- Control systems
- Space technology
- Micro satellites

## Fluid mechanics

- Fluid dynamics
- Energy and propulsion
- Computational fluid dynamics
- Experimental fluid mechanics



# Main theme of this talk

Gravity can only be explored through the motion of test particles

## Test particles

- Orbits and clocks
- Massive particles and light

## What is gravity depends on the structure of the equation of motion

- Existence of inertial systems
- Order of differential equation
- Dependence on particle parameters

# Outline

- 1 Testing Newton's laws
  - Newton's first law: Inertial systems
  - Newton's second law: The law of inertia
  - Newton's third law: Law of reciprocal action

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  - Universality of Free Fall
  - Universality of Gravitational Redshift
  - Tests of local Lorentz invariance

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  - Binary systems
  - Gravitational waves
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# Non-existence of inertial systems: Finsler geometry

## Motivation

- generic generalization of GR
- model for violation of Lorentz invariance
- counter example for Schiff's conjecture
- has been discussed within Quantum Gravity ([Jacobson, Liberati & Mattingly](#))
- Very Special Relativity ([Cohen & Glashow](#))

## Equation of motion

General structure of equation of motion in Finsler geometry

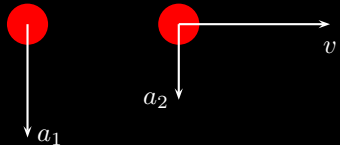
$$0 = \frac{d^2 x^\mu}{ds^2} + \Gamma(x, \dot{x}) \frac{dx^\rho}{ds} \frac{dx^\sigma}{ds}$$

connection depends on velocity

# Finsler geometry

## Main characteristics of geodesic motion

- Geodesic equation fulfills **Universality of Free Fall**
- $\Gamma(x, \dot{x})$  cannot be transformed to zero  $\forall \dot{x}$ 
  - $\Rightarrow$  **gravity cannot be transformed away locally**
  - $\Leftrightarrow$  Einstein's elevator does not hold
  - $\Leftrightarrow$  no inertial system (there are always forces present)
- Condition to be able to transform away gravity is stronger than pure UFF.
- Acceleration toward the Earth depends on horizontal velocity.
- Speculation:
  - violation of UGR
  - temperature dependent  $G = G(T)$



# Parametrizing deviations from Riemann/Minkowski

## Model

### Deviation from Riemann/Minkowski

$$ds^{2r} = (g_{\mu_1\mu_2} \cdots g_{\mu_{2r-1}\mu_{2r}} + \phi_{\mu_1 \dots \mu_{2r}}) dx^{\mu_1} \cdots dx^{\mu_{2r}}$$

Additional assumption:  $\phi_{\mu_1 \dots \mu_{2r}}$  possesses spatial indices only (from light propagation)

## Consequences

- Modified tangent space  $\Rightarrow$  violation of Lorentz invariance
- Velocity-dependent gravity

if we have Finslerian gravity, then we also have Finslerian kinematics

Finslerian structure in manifold  $\Leftrightarrow$  Finslerian structure in tangent space  
 Finslerian gravity  $\Leftrightarrow$  Finslerian Special Relativity





# Finsler violation of Lorentz invariance

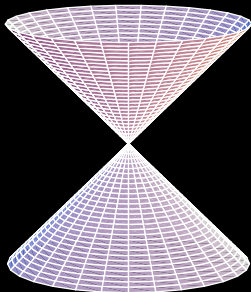
## Light propagation

•

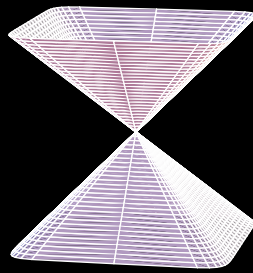
$$0 = \left( g_{\mu_1 \mu_2} \cdots g_{\mu_{2r-1} \mu_{2r}} + \phi_{\mu_1 \dots \mu_{2r}} \right) l^{\mu_1} \dots l^{\mu_{2r}}$$

- anisotropy of light propagation
- Michelson–Morley yields  $\phi \leq 10^{-17}$  (C.L., Lorek & Dittus, GRG 2009)

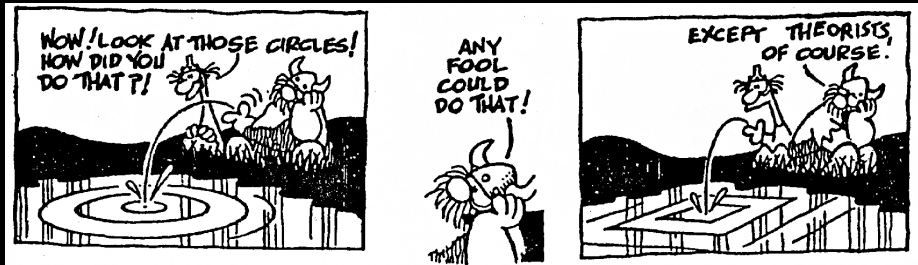
Minkowskian light cone



Finslerian light cone



# Finsler violation of Lorentz invariance



# Finslerian gravity

## Finsler gravity

For spherically symmetric Finsler geometry:

- 3rd Kepler law for circular orbits

$$\frac{r^3}{T^2} = \left(1 - \frac{A(r)}{r^4}\right) \frac{GM}{4\pi^2}$$

- radial free fall

$$\frac{d^2r}{dt^2} = - \left(1 - B(r)\right) \frac{GM}{r^2}$$

- Free fall “sees” another gravitational “constant” than planetary bound orbits
- Free fall experiment and planetary and satellite and planetary observations

$$A(r), B(r) \leq 10^{-9} \quad \text{in a certain range}$$

- may apply to Pioneer anomaly or galactic rotation curves

(C.L. & Perlick 2012)

# Quantum mechanics in Finsler space

## Finslerian Hamilton operator

$$H = -\frac{1}{2m} (\Delta^2 + \phi^{ijkl} \partial_i \partial_j \partial_k \partial_l)^{\frac{1}{2}} \approx -\frac{1}{2m} \Delta \left( 1 + \frac{1}{2} \frac{\phi^{ijkl} \partial_i \partial_j \partial_k \partial_l}{\Delta^2} \right)$$

(Göklü, Herrmann, Müntinga, C.L. 2010)

## Effects

- Hughes–Drever:  $H_{\text{tot}} = H + \boldsymbol{\sigma} \cdot \mathbf{B}$   
may yield estimate  $\phi \leq 10^{-30}$
- Atomic interferometry, atom–photon interaction

$$\delta\phi \sim H(p+k) - H(p) = \frac{k^2}{2m} + \frac{1}{m} \left( \delta^{il} + \frac{\phi^{ijkl} p_j p_k}{p^2} \right) p_i k_l$$

modified Doppler term: gives different Doppler term while rotating the whole apparatus

Problem: Finslerian version of Einstein field equations

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# Order of equation of motion?

Usual framework

$$L = L(t, \mathbf{x}, \dot{\mathbf{x}}) \quad \Rightarrow \quad \frac{d}{dt} (m\dot{\mathbf{x}}) = \mathbf{F}(t, \mathbf{x}, \dot{\mathbf{x}})$$

Most important equation in physics!

More general equations?

- $\mathbf{p} = m\dot{\mathbf{x}}$  is a constitutive law. Can be more general (as is many cases)

$$\mathbf{p} = \mathbf{f}(\dot{\mathbf{x}}, \ddot{\mathbf{x}}, \dddot{\mathbf{x}}, \dots)$$

Then equations of motion of higher order

- Influence of external fluctuations (e.g. space–time fluctuations, gravitational wave background): generalized Langevin equation with extra force term

$$\int_0^t C(t-t')\dot{\mathbf{x}}(t')dt'$$

# Order of equation of motion?

## Generalized framework

$$L = L(t, \mathbf{x}, \dot{\mathbf{x}}, \ddot{\mathbf{x}}) \quad \Rightarrow \quad \epsilon \frac{d^4}{dt^4} \dot{\mathbf{x}} = \mathbf{F}(t, \mathbf{x}, \dot{\mathbf{x}}, \ddot{\mathbf{x}})$$

Gauge principle is used to introduce interactions

## Our specific model

$$L(t, \mathbf{x}, \dot{\mathbf{x}}, \ddot{\mathbf{x}}) = L_0(t, \mathbf{x}, \dot{\mathbf{x}}, \ddot{\mathbf{x}}) \underbrace{- q_0 A_a \dot{x}^a}_{\text{1st order gauge fields}} + \underbrace{q_1 A_{ab} \dot{x}^a \dot{x}^b}_{\text{2nd order gauge fields}}$$

with (Pais–Uhlenbeck oscillator)

$$L_0(t, \mathbf{x}, \dot{\mathbf{x}}, \ddot{\mathbf{x}}) = -\frac{\epsilon}{2} \ddot{\mathbf{x}}^2 + \frac{m}{2} \dot{\mathbf{x}}^2 \quad \text{dim} \epsilon = \text{kg s}^2$$

2nd order gauge field = space–time metric

C.L. & Rademaker 2009

# Equation of motion

simplest case: constant electric field

$$\epsilon \ddot{\mathbf{x}} + m\ddot{\mathbf{x}} = q\mathbf{E}_0$$

solution in 1D with initial conditions  $x(0) = 0$ ,  $\dot{x}(0) = 0$ ,  $\ddot{x}(0) = 0$ , and  $\dddot{x}(0) = 0$

$$x(t) = \frac{q}{m} E_0 \left( \frac{1}{2} t^2 + \frac{\epsilon}{m} (\cos(\omega t) - 1) \right) \quad \text{small deviation}$$

$$\dot{x}(t) = \frac{q}{m} E_0 \left( t - \sqrt{\frac{\epsilon}{m}} \sin(\omega t) \right) \quad \text{small deviation}$$

$$\ddot{x}(t) = \frac{q}{m} E_0 (1 - \cos(\omega t)) \quad \mathcal{O}(1) \text{ deviation}$$

$$\dddot{x}(t) = \frac{q}{m} E_0 \sqrt{\frac{m}{\epsilon}} \sin(\omega t) \quad \omega = \sqrt{\frac{m}{\epsilon}} \quad \text{large deviation}$$

- $x(t)$  shows *zitterbewegung*
- Limit  $\epsilon \rightarrow 0$  does not exist

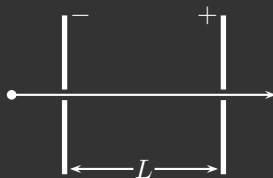


Search for  $\epsilon$ 

Accelerated flight

Flight through accelerator

$$\frac{\langle \dot{x}(L) \rangle - \dot{x}_0}{\dot{x}_0} = \frac{\epsilon}{4m} \frac{\dot{x}_0^2}{L^2}$$



Ion interferometric measurement of acceleration

phase shift

$$\Delta\phi = A(\omega) \mathbf{k} \cdot \ddot{\mathbf{x}}(\omega) T^2$$

with transfer function  $A(\omega)$ 

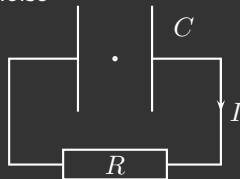
$$A(\omega) = \frac{\sin^2(\omega t)}{\omega}$$

Search for  $\epsilon$ 

## Electronic devices

*Zitterbewegung* of a charged particle induces voltage noise

$$\frac{1}{2}C\langle U^2 \rangle_t = m\langle \dot{x}^2 \rangle = \frac{1}{2}\epsilon \left( \frac{q}{m} E_0 \right)^2$$



Consistent estimate  $\epsilon \leq 10^{-50} \text{ kg s}^2$  (not good compared with Quantum Gravity scale)

# Linearity of law of inertia

Why is the relation between acceleration and force linear? (motivation: MOND)

It is a definition

$m\mathbf{a} = \mathbf{F}$ : exploration of forces through observation of orbits

Meaningful question 1: Test linearity

Taking elements of the field equation into account:

- If  $\mathbf{F} = -\nabla U$  with  $U = M/r$ , then one can ask

$$M \rightarrow \alpha M \quad \stackrel{?}{\implies} \quad \mathbf{a} \rightarrow \alpha \mathbf{a}$$

- Test of field equation/dynamics in the **weak field/small acceleration** domain
- Same for gravity and electromagnetism?
- Experiments
  - **Abramovici & Vager, PRD 1986**  $F = q\Delta\phi/L$ , down to  $10^{-9} \text{ m/s}^2$
  - **Gundlach et al, PRL 2007** down to  $10^{-14} \text{ m/s}^2$

# Linearity of law of inertia

These questions are motivated by MOND

Meaningful question 2: Free fall experiment

- MOND – dark matter: requires a certain frame of reference (galactic frame)
- MOND–situation possible on Earth once a year for 0.1 s within 1 l volume (Ignatiev, PRL 2007)
- Until now there is no (laboratory) test of MOND — MOND needs space

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# Active and passive mass

Gravitationally bound two-body system (Bondi, RMP 1957)

$$m_{1i}\ddot{\mathbf{x}}_1 = m_{1p}m_{2a} \frac{\mathbf{x}_2 - \mathbf{x}_1}{|\mathbf{x}_2 - \mathbf{x}_1|^3}$$

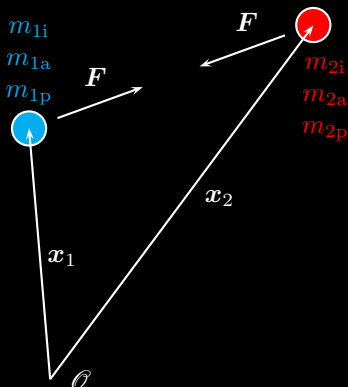
$$m_{2i}\ddot{\mathbf{x}}_2 = m_{2p}m_{1a} \frac{\mathbf{x}_1 - \mathbf{x}_2}{|\mathbf{x}_1 - \mathbf{x}_2|^3}$$

center-of-mass and relative coordinate

$$\mathbf{X} := \frac{m_{1i}}{M_i}\mathbf{x}_1 + \frac{m_{2i}}{M_i}\mathbf{x}_2$$

$$\mathbf{x} := \mathbf{x}_2 - \mathbf{x}_1$$

$M_i = m_{1i} + m_{2i} =$  total inertial mass. Then



# Active and passive mass

Decoupled dynamics of relative coordinate

$$\ddot{\mathbf{X}} = \frac{m_{1p}m_{2p}}{M_i} C_{21} \frac{\mathbf{x}}{|\mathbf{x}|^3} \quad \text{with} \quad C_{21} = \frac{m_{2a}}{m_{2p}} - \frac{m_{1a}}{m_{1p}}$$

$$\ddot{\mathbf{x}} = -\frac{m_{1p}m_{2p}}{m_{1i}m_{2i}} \left( m_{1i} \frac{m_{1a}}{m_{1p}} + m_{2i} \frac{m_{2a}}{m_{2p}} \right) \frac{\mathbf{x}}{|\mathbf{x}|^3}$$

- $C_{21} = 0$ : ratio of the active and passive masses are equal for both particles
- $C_{21} \neq 0$ :  $\Rightarrow$  self-acceleration of center of mass

Interpretation

$$\ddot{\mathbf{X}} \neq 0 \Leftrightarrow C_{12} \neq 0 \Leftrightarrow$$

- Violation of law of reciprocal action or of *actio = reactio* for gravity
- The gravitational field created by masses of same weight depends on its composition. Has the same status as the Weak Equivalence Principle.

Requires experimental tests ...

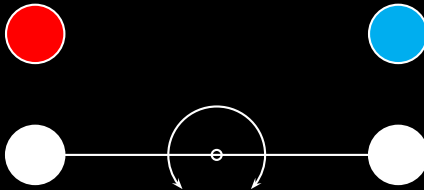
# Experiment testing $m_{ga} = m_{gp}$

## Measurement of relative acceleration

Step 1: Take two masses with  $m_{pg1} = m_{pg2}$  (equal weight)

Step 2: Test active equality of these two masses with torsion balance

Experimental setup: Torsion balance with equal passive masses reacting on  $m_{ag1}$  and  $m_{ag2}$



No effect has been seen:  $C_{12} \leq 5 \cdot 10^{-5}$  (Kreuzer, PR 1868)



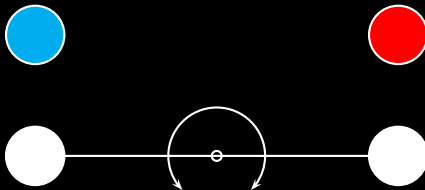
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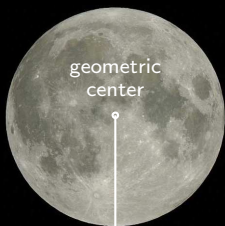
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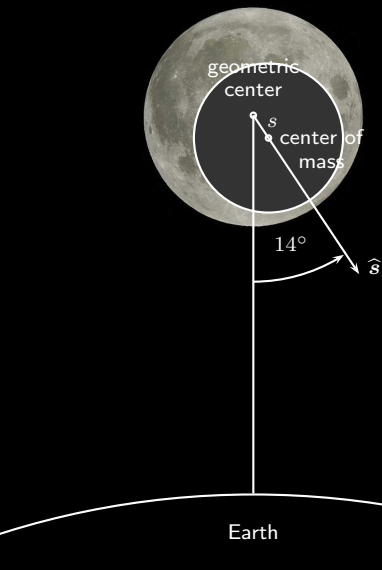
Measurement of center-of-mass  
acceleration



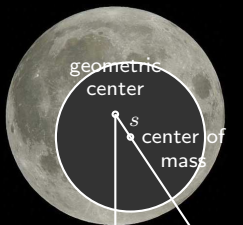
Earth

Experiment testing  $m_{ga} = m_{gp}$ 

Measurement of center-of-mass acceleration



# Experiment testing $m_{ga} = m_{gp}$



14°

 $\hat{s}$ 

Earth

Measurement of center-of-mass acceleration

$$\frac{F_{\text{self}}}{F_{\text{EM}}} = C_{\text{Al-Fe}} \frac{M_{\text{M}}}{M_{\oplus}} \frac{r_{\text{EM}}^2}{r_{\text{M}}^2} \frac{s}{r_{\text{M}}} \frac{\rho}{\Delta\rho} \hat{s}$$

Effect of tangential part: increase of orbital angular velocity

$$\frac{\Delta\omega}{\omega} = 6\pi \frac{F_{\text{self}}}{F_{\text{EM}}} \sin 14^\circ \text{ per month}$$

From LLR  $\frac{\Delta\omega}{\omega} \leq 10^{-12}$  per month

$$\Rightarrow C_{\text{Al-Fe}} \leq 7 \cdot 10^{-13}$$

**Bartlett & van Buren, PRL 1986**  
 significant improvement with new LLR data and moon orbiter data possible

# Active and passive charges: Dynamics

C.L., Macias, Müller, PRA 2007

Dynamics of two electrically bound particles ( $\mathbf{E}$  = external electric field)

$$m_{1i}\ddot{\mathbf{x}}_1 = q_{1p}q_{2a}\frac{\mathbf{x}_2 - \mathbf{x}_1}{|\mathbf{x}_2 - \mathbf{x}_1|^3} + q_{1p}\mathbf{E}(\mathbf{x}_1),$$

$$m_{2i}\ddot{\mathbf{x}}_2 = q_{2p}q_{1a}\frac{\mathbf{x}_1 - \mathbf{x}_2}{|\mathbf{x}_1 - \mathbf{x}_2|^3} + q_{2p}\mathbf{E}(\mathbf{x}_2),$$

- Similar phenomena
- New feature: Active and passive neutrality
- Very good neutrality measurements  $\Rightarrow C_{12} \leq 10^{-21}$
- Other approach through fine structure constant for H and He<sup>+</sup>
- Also: active and passive magnetic moment

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# The present situation

All predictions of General Relativity are experimentally well tested and confirmed

## Foundations

### The Einstein Equivalence Principle

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- Universality of Gravitational Redshift
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Gravity is a metrical theory



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## Predictions for metrical theory

- Solar system effects
  - Perihelion shift
  - Gravitational redshift
  - Deflection of light
  - Gravitational time delay
  - Lense–Thirring effect
  - Schiff effect
- Strong gravitational fields
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## Consequences

BH, binary systems, lensing, ...



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## Implication

Gravity is a metrical theory



## Predictions for metrical theory

- Solar system effects
  - Perihelion shift
  - Gravitational redshift
  - Deflection of light
  - Gravitational time delay
  - Lens-Thirring effect
  - Schiff effect
- Strong gravitational fields
  - Binary systems
  - Black holes
- Gravitational waves



## Consequences

BH, binary systems, lensing, ...



**General Relativity**

# Outline

- 1 Testing Newton's laws
  - Newton's first law: Inertial systems
  - Newton's second law: The law of inertia
  - Newton's third law: Law of reciprocal action
- 2 Experimental tests of foundations of GR
  - **Universality of Free Fall**
  - Universality of Gravitational Redshift
  - Tests of local Lorentz invariance
- 3 The consequences of the experiments
- 4 Solar system tests: The search for the gravitational field equations
- 5 The full theory
- 6 Testing the full theory
  - Black Holes
  - Binary systems
  - Gravitational waves
  - Test of Newton potential
- 7 Problems in Gravity theories?

# Description of tests of the Universality of Free Fall

## Haugan formalism (Haugan, AP 1979)

- Ansatz: Hamiltonian

$$E = mc^2 + \frac{1}{2}m \left( \delta_{ij} + \frac{\delta m_{ij}}{m} \right) v^i v^j + m \left( \delta_{ij} + \frac{\delta m_{gij}}{m} \right) U^{ij}(\mathbf{x})$$

- Canonical equations

$$a^i = \delta^{ij} \partial_j U(\mathbf{x}) + \frac{\delta m_i^{ij}}{m} \partial_j U(\mathbf{x}) + \delta^{ij} \frac{\delta m_{gkl}}{m} \partial_j U^{kl}(\mathbf{x}),$$

- For diagonal mass tensors  $\delta m_{ij} = \delta m_i \delta_{ij}$ ,  $\delta m_{gij} = \delta m_g \delta_{ij}$ :

$$a^i = \delta^{ij} \frac{m_g}{m_i} \partial_j U$$

- Comparison of acceleration of two different particles: Eötvös coefficient

$$\eta = \frac{a_2 - a_1}{\frac{1}{2}(a_2 + a_1)} = \frac{(m_g/m_i)_2 - (m_g/m_i)_1}{\frac{1}{2}((m_g/m_i)_2 + (m_g/m_i)_1)}$$



# UFF and charge

## Standard theory

- In standard theory from ordinary coupling (deWitt & Brehme, AP 1968)  
 $a^\mu = \alpha \lambda_C R^\mu{}_\nu v^\nu \sim 10^{-35} \text{ m/s}^2$

## Anomalous coupling

- Anomalous coupling (Dittus, C.L., Selig, GRG 2004)

$$H = \frac{\mathbf{p}^2}{2m} + mU(\mathbf{x}) + \kappa e U(\mathbf{x}) = \frac{\mathbf{p}^2}{2m} + m \left( 1 + \kappa \frac{e}{m} \right) U(\mathbf{x}).$$

- Charge dependent anomalous gravitational mass
  - Can be generalized to charge dependent anomalous inertial mass (e.g. Rohrlich 2000)
- ⇒ Charge dependent Eötvös factor
- It is possible to choose  $\kappa$ 's such that for neutral composite matter UFF is fulfilled while for **isolated charges** UFF is violated

# UFF and spin

## Standard theory

- In standard theory from ordinary coupling:  $a^\mu = \lambda_C R^\mu{}_{\nu\rho\sigma} v^\nu S^{\rho\sigma} \Rightarrow$  violation of UFF at the order  $10^{-20} \text{ m/s}^2$ , beyond experiment

## Anomalous coupling

- Speculations: violation  $P$ ,  $C$ , and  $T$  symmetry in gravitational fields ([Leitner & Okubo 1964](#), [Moody & Wilczek 1974](#)) suggest

$$V(r) = U(r) [1 + A_1(\boldsymbol{\sigma}_1 \pm \boldsymbol{\sigma}_2) \cdot \hat{\mathbf{r}} + A_2(\boldsymbol{\sigma}_1 \times \boldsymbol{\sigma}_2) \cdot \hat{\mathbf{r}}] ,$$

- One body (e.g., the Earth) is unpolarized  $\rightarrow$

$$V(r) = U(r) (1 + A\boldsymbol{\sigma} \cdot \hat{\mathbf{r}}) .$$

Hyperfine splittings of H ground state:  $A_p \leq 10^{-11}$ ,  $A_e \leq 10^{-7}$

- [Hari Dass 1976, 1977](#), includes velocity of the particles

$$V(r) = U_0(r) \left[ 1 + A_1 \boldsymbol{\sigma} \cdot \hat{\mathbf{r}} + A_2 \boldsymbol{\sigma} \cdot \frac{\mathbf{v}}{c} + A_3 \hat{\mathbf{r}} \cdot \left( \boldsymbol{\sigma} \times \frac{\mathbf{v}}{c} \right) \right]$$

# Tests of UFF

## 1 Tests with bulk matter

Method	Grav field	Accuracy	Experiment
Torsion pendulum	Sun	$\eta \leq 2 \cdot 10^{-13}$	Adelberger 2006

## 2 Tests with quantum matter

Method	Grav field	Accuracy	Experiment
Atom interferometry	Earth	$\eta \leq 10^{-9}$	Chu, Peters 1999

## 3 Gravitational self energy

Method	Grav field	Accuracy	Experiment
Torsion pendulum and LLR	Sun	$\eta \leq 1.3 \cdot 10^{-3}$	Baessler et al 1999

## 4 Charged particles

Method	Grav field	Accuracy	Experiment
Free fall of electron	Earth	$\eta \leq 10^{-1}$	Witteborn & Fairbank 1967

# Tests of UFF

## 3 Gravitational self energy

Method	Grav field	Accuracy	Experiment
Torsion pendulum and LLR	Sun	$\eta \leq 1.3 \cdot 10^{-3}$	Baessler et al 1999

## 4 Charged particles

Method	Grav field	Accuracy	Experiment
Free fall of electron	Earth	$\eta \leq 10^{-1}$	Witteborn & Fairbank 1967

## 5 Particles with spin

Method	Grav field	Accuracy	Experiment
Weighting polarized bodies	Earth	$\eta \leq 10^{-8}$	Hsie et al 1989

## 6 Anti-particles

Method	Grav field	Accuracy	Experiment
Free fall of anti-Hydrogen	Earth	$\eta \leq 10^{-3} - 10^{-5}$	(estimate)

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# Tests of the Universality of Gravitational Redshift

## Description

- Gravitational redshift

$$\nu(x_1) = \left( 1 - (1 + \alpha_{\text{clock}}) \frac{U(x_1) - U(x_0)}{c^2} \right) \nu(x_0)$$

may depend on used clock

- Comparison of two colocated clocks

$$\frac{\nu_1(x_1)}{\nu_2(x_1)} \approx \left( 1 - (\alpha_{\text{clock2}} - \alpha_{\text{clock1}}) \frac{U(x_1) - U(x_0)}{c^2} \right) \frac{\nu_1(x_0)}{\nu_2(x_0)}.$$

- Null test
- Tested quantity:  $\alpha_{\text{clock2}} - \alpha_{\text{clock1}}$
- Need of large differences in the gravitational potential

# Tests of the Universality of Gravitational Redshift

## Tests

Comparison	Accuracy	Experiment
Cs – Resonator	$2 \cdot 10^{-2}$	Turneaure & Stein 1987
Mg – Cs (fine structure)	$7 \cdot 10^{-4}$	Godone et al 1995
Resonator – I <sub>2</sub> (electronic)	$4 \cdot 10^{-2}$	Braxmaier et al 2002
Cs – H-Maser (hf)	$2.5 \cdot 10^{-5}$	Bauch et al 2002
Cs – Hg	$5 \cdot 10^{-6}$	Fortier et al 2007

# Clocks

- Atomic clocks
  - Based on principal transitions
  - Based on fine structure
  - Based on hyperfine transitions
- Molecular clocks
  - Based on rotational transitions
  - Based in vibrational transitions
- Light clocks
- Gravitational clocks
  - Planetary motion
  - Binary systems
- Rotation
  - Earth
  - Pulsars
- Decay of particles

All based on **different** physical principles, laws.

Clocks of different nature exhibit a different dependence on fundamental constants



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# Postulates

## Postulates of SR

Postulate 1: The velocity of light is constant.

Postulate 2: The relativity principle.

## The meaning of the postulates

- $c$  does not depend on
  - the velocity of the source  $\Rightarrow$  **uniqueness** of  $c$
  - the velocity of the observer
  - the direction of propagation
  - its frequency and polarization
- The meaning of  $c$ 
  - For all particles the velocity of light is the limiting velocity
 
$$c = c_+ = c_- = c_\nu = v_p^{\max} = v_e^{\max} = v_{\text{grav}}$$
  - $c$  is universal and can, thus, be interpreted as **geometry**
- **All** physics is the same in **all** inertial systems
  - Experimental results do not depend on the orientation of the laboratory
  - Experimental results do not depend on the velocity of the laboratory

# Postulates

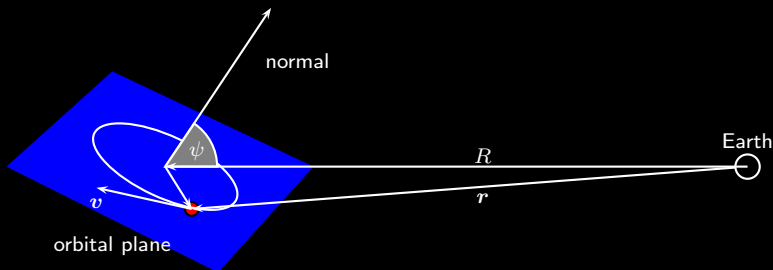
## Postulates of SR

Postulate 1: The velocity of light is constant.

Postulate 2: The relativity principle.

## The meaning of the postulates

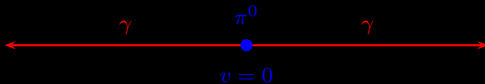
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  - the velocity of the observer
  - the direction of propagation
  - its frequency and polarization
- The meaning of  $c$ 
  - For all particles the velocity of light is the limiting velocity
 
$$c = c_{\text{ph}} = c_{\text{gr}} = v_{\nu}^{\text{max}} = v_p^{\text{max}} = v_e^{\text{max}} = v_{\text{grav}}$$
  - $c$  is universal and  $c \neq 0$ , thus, be interpreted as **geometry**
- **All** physics is the same in **all** inertial systems
  - Experimental results do not depend on the orientation of the laboratory
  - Experimental results do not depend on the velocity of the laboratory

Independence of  $c$  from the velocity of the source

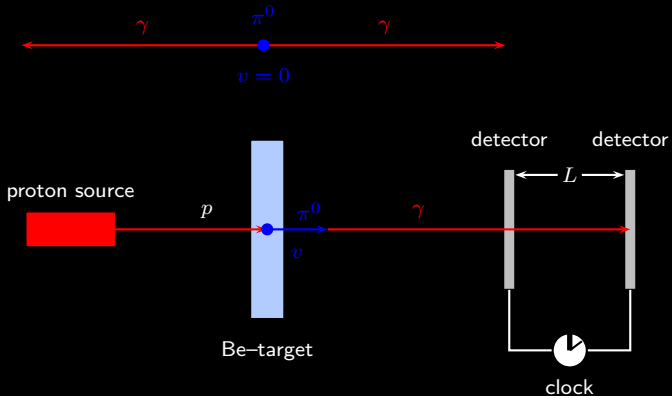
## Description and result (Brecher, PRL 1977)

- Model  $c' = c + \kappa v$
- Time of flight consideration: May happen
  - Reversal of chronological order (one light ray may overtake the other)
  - Multiple images
  - ...
- Result  $|\kappa| \leq 10^{-11}$

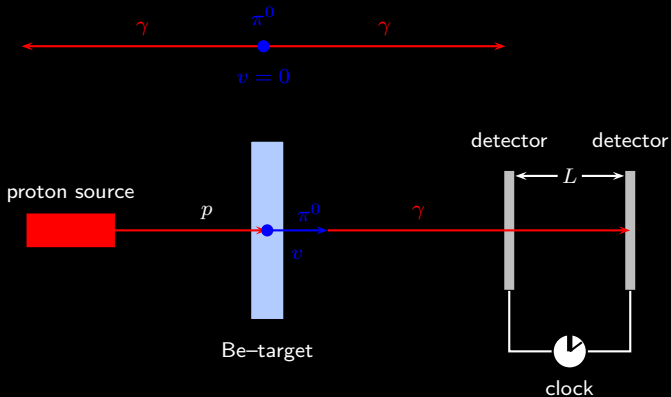
# Independence of $c$ from the velocity of the source



# Independence of $c$ from the velocity of the source



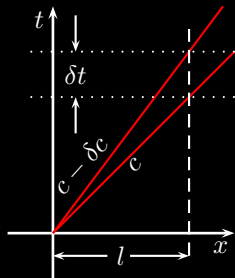
# Independence of $c$ from the velocity of the source



## Description and result (Alväger et al 1964)

- Model  $c' = c + \kappa v$
- Result  $|\kappa| \leq 10^{-6}$  at  $v = 0.99975 c$

# Tests of the universality of $c$



Polarization / dispersion of light

Method: Comparison of arrival times of light with different polarization / different frequency from distant galaxies

## Result

Carroll, Field and Jackiw 1992, Kauffmann and Haugan 1995, Kostelecky and Mathews 2002

$$\left| \frac{c_+ - c_-}{c_+} \right| \leq 10^{-32}$$

Schafer 1999, Biller 1999

$$\left| \frac{c_\nu - c_{\nu'}}{c_\nu} \right| \leq 6 \cdot 10^{-21}$$



# Tests of the universality of $c$

Velocity of neutrinos

Method: Comparison of arrival times of photons and neutrinos from supernova SN1987a

Result

Longo 1987, Stodolsky 1988

$$\left| \frac{c - v_\nu}{c} \right| \leq 10^{-8}$$

Limiting velocity of protons

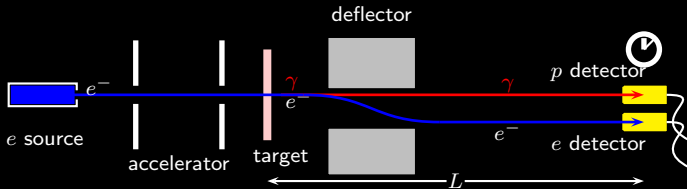
Result

Coleman and Glashow 1998

$$\left| \frac{v_p^{\max} - c}{c} \right| \leq 10^{-21}$$

# Tests of the universality of $c$

## Limiting velocity of electrons



## Result

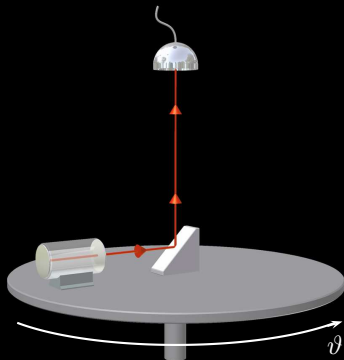
Giurogossian et al, PRD 1965

$$\left| \frac{v_e^{\max} - c}{c} \right| \leq 10^{-6}$$

Other tests by Brown et al, PRD 1963, Alspector et al, PRL 1976, Kalbfleisch et al, PRL 1976 with result of same order of accuracy

# Test of isotropy of $c$

- Interference experiment – Michelson–Morley ....
- Measuring frequency of light in rotating resonator



## Model independent description

- Light wave  

$$\varphi = Ae^{i(k_+ \cdot x - \omega t)} + Be^{i(k_- \cdot x - \omega t)}$$
- Dispersion relation  $\omega = k_{\pm} c_{\pm}$
- Boundary conditions
- Effective: 2-way velocity of light

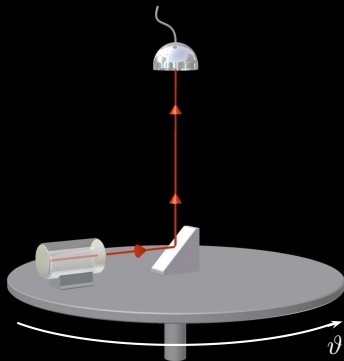
$$\frac{2}{c} = \frac{1}{c_+} + \frac{1}{c_-}$$

- Observable frequency

$$\nu = \frac{m}{2L} c$$

# Test of isotropy of $c$

- Interference experiment – Michelson–Morley ....
- Measuring frequency of light in rotating resonator



## Model independent description

- Light wave  

$$\varphi = Ae^{i(k_+ \cdot x - \omega t)} + Be^{i(k_- \cdot x - \omega t)}$$
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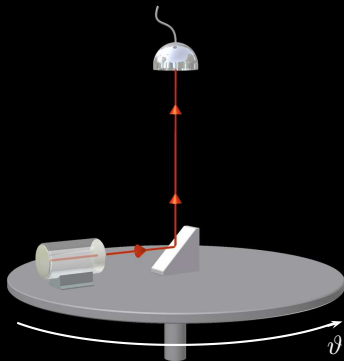
$$\frac{2}{c} = \frac{1}{c_+} + \frac{1}{c_-}$$

- Observable frequency

$$\nu(\vartheta) = \frac{m}{2L} c(\vartheta)$$

# Test of isotropy of $c$

- Interference experiment – Michelson–Morley ....
- Measuring frequency of light in rotating resonator



## Result

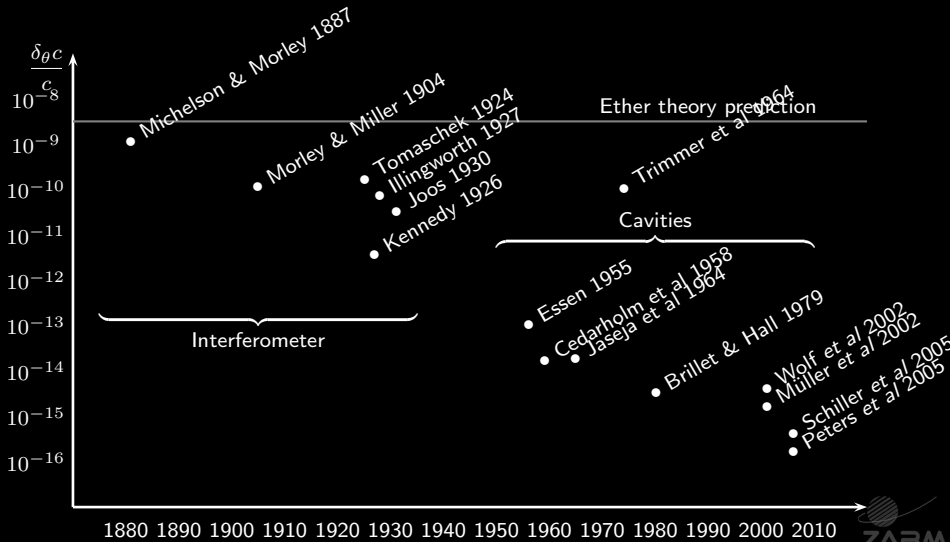
- Herrmann, Peters et al, PRD 2010
- Cryogenic resonators, one rotating, one fixed
- Result

$$\left| \frac{\Delta_{\vartheta} c}{c} \right| \leq 10^{-17}$$

## Interpretation (Müller et al 2004)

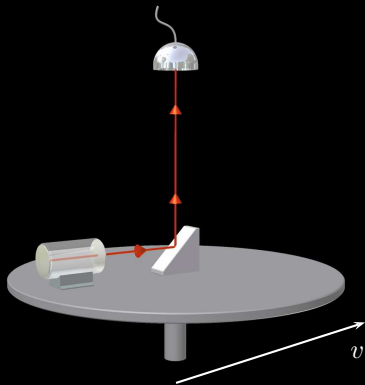
- Velocity of light
- Property of solid
- Electron properties (in  $L$ )

# Summary: Test of isotropy of $c$



# Test of independence of $c$ from laboratory velocity

- Interference experiment – Kennedy–Thorndike ....
- Measuring frequency of light in moving resonator



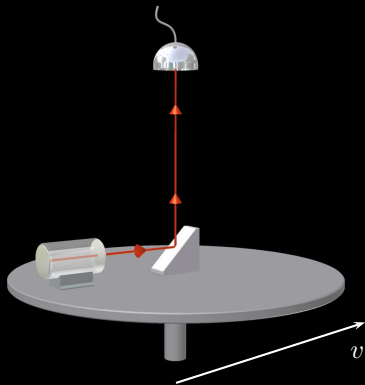
Model independent description

- Same description as above
- Observable frequency

$$\nu = \frac{m}{2L}c$$

# Test of independence of $c$ from laboratory velocity

- Interference experiment – Kennedy–Thorndike ....
- Measuring frequency of light in moving resonator



## Model independent description

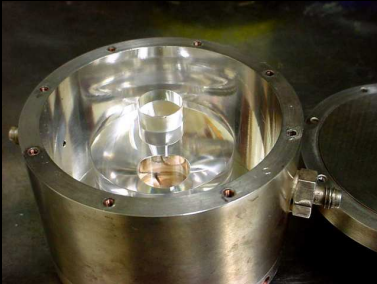
- Same description as above
- Observable frequency

$$\nu(v) = \frac{m}{2L} c(v)$$



# Test of independence of $c$ from laboratory velocity

## Cavity-clock comparison



$$p = 5$$

$$m = 3$$



$$p = 5$$

$$m = 30$$



$$p = 5$$

$$m = 100$$

Whispering gallery modes

### Method and result

- Wolf et al, PRL 2004
- Whispering gallery modes
- Comparison with H-maser
- Result

$$\left| \frac{\Delta_{vc}}{c} \right| \leq (4.5 \pm 4.5) \cdot 10^{-16}$$

- $\delta v \leftrightarrow$  rotation of Earth

# Hughes–Drever experiments

The model

Modified Schrödinger equation

$$i\hbar\partial_t\psi = -\frac{\hbar^2}{2m} (\delta^{ij} + \alpha^{ij}) \partial_i\partial_j\psi$$

Leads to a splitting of the Zeeman singlett

# Hughes–Drever experiments

The model

Modified Schrödinger equation

$$i\hbar\partial_t\psi = -\frac{\hbar^2}{2m} (\delta^{ij} + \alpha^{ij}) \partial_i\partial_j\psi$$

Leads to a splitting of the Zeeman singlett

Experiments

experiment	method	estimate
Hughes et al 1960	NMR with ${}^7\text{Li}$	$ \alpha^{ij}  \leq 10^{-20}$
Drever 1961	NMR with ${}^7\text{Li}$	$ \alpha^{ij}  \leq 2 \times 10^{-23}$
Prestage et al. 1985	NMR with ${}^9\text{Be}^+$	$ \alpha^{ij}  \leq$
Lamoreaux et al. 1986, 1989, 1990	NMR with ${}^{201}\text{Hg}$	$ \alpha^{ij}  \leq 2 \times 10^{-28}$
Chupp et al 1989	NMR with ${}^{21}\text{Ne}$	$ \alpha^{ij}  \leq 5 \times 10^{-30}$

# Methods for testing time-dilation

General: Comparison of identical clocks in different motion

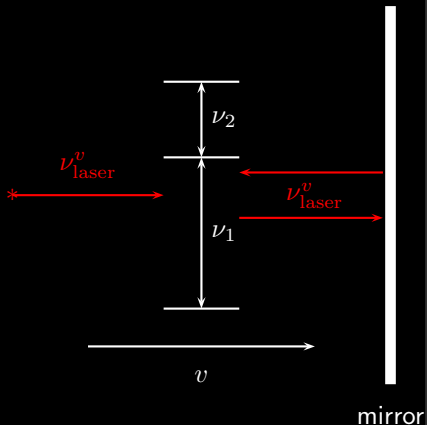
- Transport of macroscopic clocks
- Photon absorption / emission
- Two-photon absorption
- Rotor experiments
- Saturation spectroscopy
- Particle decay

# Test of time-dilation: Transport of clocks

## The Experiment by Hafele and Keating 1968



# Test of time-dilation: 2 Photon absorption



## Description

- Resonance condition for  $v = 0$

$$2\nu_{\text{laser}}^{v=0} = \nu_1 + \nu_2$$

- Resonance condition for  $v \neq 0$

$$\nu_1 + \nu_2 = \nu_+ + \nu_-$$

$$\nu_{\pm} = \nu_{\text{laser}}^v (1 \pm v) \sqrt{1 - v^2}$$

- Consequence

$$\nu_{\text{laser}}^{v=0} = \nu_{\text{laser}}^v \sqrt{1 - v^2}$$

with

$$v = \frac{\nu_+ - \nu_-}{\nu_+ + \nu_-}$$

- No need of synchronization

# Further tests

- Sagnac effect
- Experiments testing  $E = mc^2$
- Test of dispersion (mass of photon, QG induced anomalous dispersion)
- ...

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# Metric theory

## Implication

Gravity = Riemannian geometry = space-time metric  $g_{\mu\nu}$

- proper time  $ds^2 = g_{\mu\nu} dx^\mu dx^\nu$
- paths  $D_\nu v = 0 \quad \leftrightarrow \quad \frac{d^2 x^\mu}{ds^2} + \{\rho\sigma\}^\mu \frac{dx^\rho}{ds} \frac{dx^\sigma}{ds} = 0$
- Dirac, Maxwell, ...

## Predictions

All metric theories imply

- gravitational redshift
- light deflection
- perihelion shift
- gravitational time delay
- Lense-Thirring effect
- Schiff effect
- geodetic precession

Einstein's theory is singled out by certain values for these effects

How to find Einstein's theory?  $\rightarrow$  PPN

# PPN formalism

## Physical situation

Spherically symmetric metric  $\Rightarrow$

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = g_{tt} dt^2 - g_{rr} dr^2 - r^2 d\vartheta^2 - r^2 \sin^2 \vartheta d\varphi^2$$

$g_{tt}, g_{rr} \leftrightarrow$  Gravitational field equations: **not known**

## Parametrization for

- asymptotically flat
- weak fields:  $g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}, \quad h_{\mu\nu} \ll 1$

$$g_{00} = -1 + 2\alpha \frac{U}{c^2} - 2\beta \frac{U^2}{c^4}, \quad U = \text{Newton potential}$$

$$g_{0i} = 0$$

$$g_{ij} = (1 + 2\gamma) \frac{U}{c^2}$$

# PPN formalism

## Physical situation

Axially symmetric metric  $\Rightarrow$

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = g_{tt} dt^2 - g_{rr} dr^2 - r^2 d\vartheta^2 - r^2 \sin^2 \vartheta d\varphi^2 - g_{t3} dt d\varphi$$

$g_{tt}, g_{rr}, g_{ti} \leftrightarrow$  Gravitational field equations: **not known**

## Parametrization for

- asymptotically flat
- weak fields:  $g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}, \quad h_{\mu\nu} \ll 1$

$$g_{00} = -1 + 2\alpha \frac{U}{c^2} - 2\beta \frac{U^2}{c^4}, \quad U = \text{Newton potential}$$

$$g_{0i} = 4\mu \frac{(\mathbf{J} \times \mathbf{r})_i}{c^3 r^3}$$

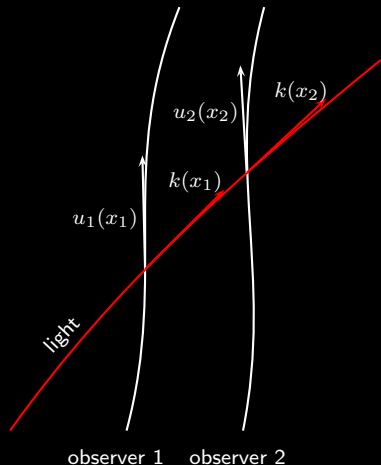
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# Gravitational redshift



gravitational redshift for stationary observer

$$\Rightarrow \frac{\nu_2}{\nu_1} \approx 1 - (U(r_2) - U(r_1))$$

Pound & Rebka, PRL 1960: confirmation  $\sim 1\%$

Vessot, Levine et al, GRG 1978, PRL 1980: GP-A, confirmation  $\sim 10^{-4}$

# Post-Newton

## Equations of motion

Relativistic approximation of geodesic equation, PPN equation of motion

$$\frac{d^2 x^i}{dt^2} = \delta^{ij} \left( 1 - 2(\beta + \gamma)U + \gamma \delta_{lk} \frac{dx^l}{dt} \frac{dx^k}{dt} \right) \partial_j U - 2\partial_j U (1 + \gamma) \frac{dx^j}{dt} \frac{dx^i}{dt}$$

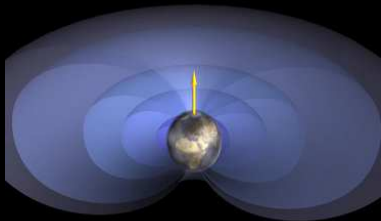
## Consequences

- Perihelion shift

$$\left| \frac{2(1 + \gamma) - \beta}{3} - 1 \right| \leq 10^{-4}$$

- Light deflection (VLBI, [Eubanks et al 2001](#))  $|\gamma - 1| \leq 10^{-4}$
- Gravitational time delay (Cassini, [Bertotti et al 2005](#))  $|\gamma - 1| \leq 2 \cdot 10^{-5}$

# The gravitomagnetic field



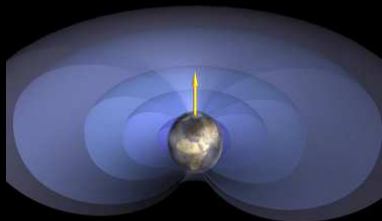
## The gravitomagnetic field

- Space-time component of metric

$$g_{0i} = -\frac{G}{2} \frac{(\mathbf{r} \times \mathbf{J})^i}{r^3}$$

- Genuine post-Newtonian gravitational field
- Analogue of magnetic field

# The gravitomagnetic field



## Notions

- Angular momentum

$$\mathbf{L} = \mathbf{r} \times \mathbf{p}$$

- Runge–Lenz vector

$$\mathbf{A} = \mathbf{L} \times \dot{\mathbf{r}} + Gm \frac{\mathbf{r}}{r}$$

## The gravitomagnetic field

- Space–time component of metric

$$g_{0i} = -\frac{G}{2} \frac{(\mathbf{r} \times \mathbf{J})^i}{r^3}$$

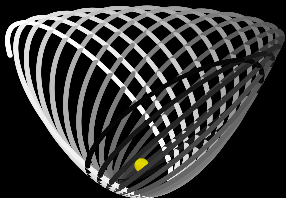
- Genuine post–Newtonian gravitational field
- Analogue of magnetic field

## Main consequences

- $\frac{d}{dt} \mathbf{L} \neq 0$  (Newton: = 0)
- $\frac{d}{dt} \mathbf{A} \neq 0$  (Newton: = 0)



# Lense–Thirring effect



A particular orbit showing the Lense–Thirring effect

## Observations

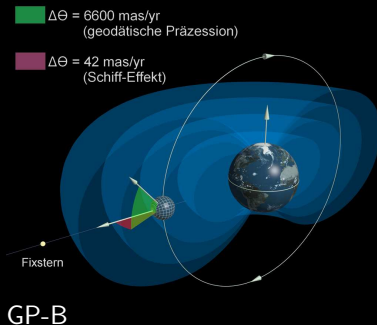
- Observed quantities

$$\dot{\Omega} = \frac{2GJ}{c^2 a^3 (1 - e^2)^{3/2}}$$

$$\dot{\omega} = -\frac{6GJ \cos i}{c^2 a^3 (1 - e^2)^{3/2}}$$

- Measurement with LAGEOS satellites, together with data from CHAMP and GRACE
- Result: confirmation with approx 10 % error ([Ciufolini 2005](#))
- **LARES** launch 2010, improved mission scenario

# Schiff effect



## Description

- Dynamics of direction of spin  
 $D_v S = 0$
- Compared with direction given by distant stars
- Effective dynamics

$$\dot{S} = \Omega \times S$$

with

$$\Omega = \nabla \times g$$

- Ongoing data analysis
- Originally aimed accuracy:  
0.1 mas/a  $\sim$  0.5%

# Result

## Result

Within the range of experimental accuracy, all tests are compatible with

$$\beta = 1, \quad \gamma = 1, \quad \dots$$

All measured values of PPN parameters are compatible with Einsteins General Relativity.

Unfortunately, **no complete operational foundation of Einstein's equation is known**  
Deviations from Einstein different from PPN: Finslerian gravity (**Perlick & C.L. 2012**)

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- 6 Testing the full theory
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  - Gravitational waves
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## General Relativity



# Possible tests beyond PPN

- test particle motion in strong field regime of given solution
- gravitationally bound systems (binary systems)  
includes gravitational waves

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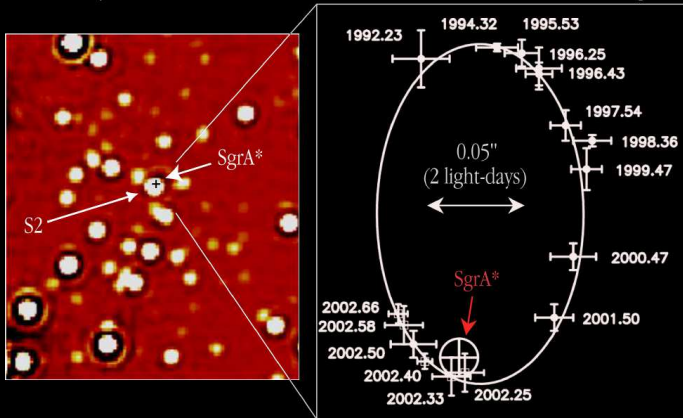
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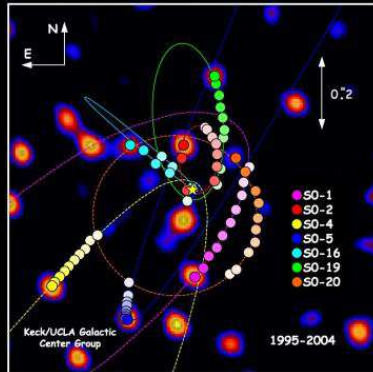
# Black Hole at the Center of the Milky Way

Most important prediction: Black Holes → Search for Black Holes



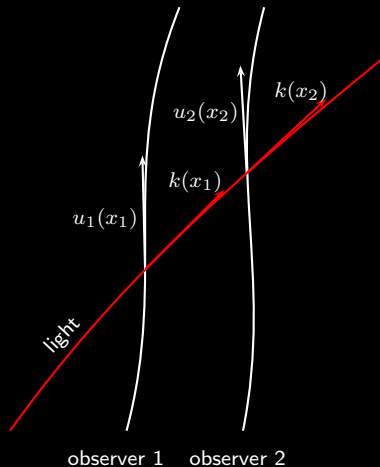
# Black Hole at the Center of the Milky Way

Most important prediction: Black Holes → Search for Black Holes



**Black Hole:** mass  $3.7 \cdot 10^6 M_{\odot}$  (Yusuf-Zasdeh *et al. Astrophys. J.* **644**, 198 (2006))  
 angular velocity  $\sim 1/17$  min  
 R. Genzel (1995 – 2006)

# Gravitational redshift



stationary gravitational field

$\Rightarrow k(\xi) = \text{const}$ ,  $\xi$  Killing vector

$\Rightarrow$  gravitational redshift for stationary observer  $u \sim \xi$

$$\begin{aligned} \frac{\nu_2}{\nu_1} &= \frac{k(u_2)}{k(u_1)} \\ &= \sqrt{\frac{g_{tt}(r_2)}{g_{tt}(r_1)}} \\ &= \sqrt{\frac{1 - \frac{2M}{r_2}}{1 - \frac{2M}{r_1}}} \end{aligned}$$

may approach  $\infty$

# Orbits in Schwarzschild space-time

Equations of motion

Geodesic equation

$$D_v v = 0 \quad \leftrightarrow \quad \frac{d^2 x^\mu}{ds^2} + \left\{ \begin{matrix} \mu \\ \rho\sigma \end{matrix} \right\} \frac{dx^\rho}{ds} \frac{dx^\sigma}{ds} = 0$$

Solution for orbits (**Hagihara 1931**)

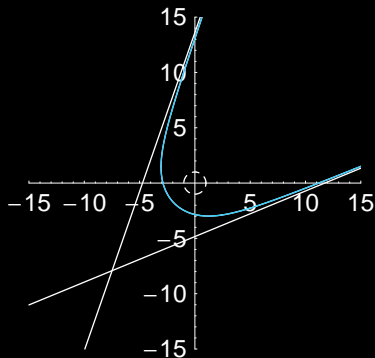
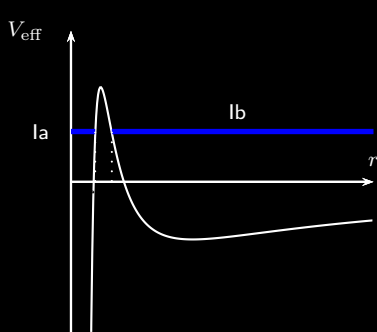
$$r(\varphi) = \frac{2m}{\frac{1}{3} + \wp\left(\frac{\varphi}{2}; g_2, g_3\right)}, \quad r(\varphi) = \frac{2m}{\frac{1}{3} + \wp\left(\frac{\varphi}{2} + i\omega_2; g_2, g_3\right)}$$

$\omega_1$  and  $\omega_2$  periods of  $\wp$

Applications in:

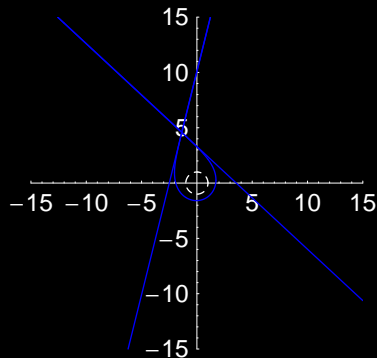
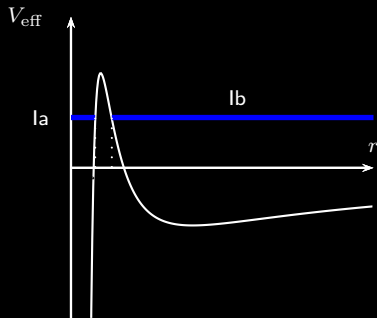
- motion of stars around black holes
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## Orbits in Schwarzschild space-time



pseudo-hyperbolic — quasi hyperbolic

## Orbits in Schwarzschild space-time



pseudo-hyperbolic — quasi hyperbolic

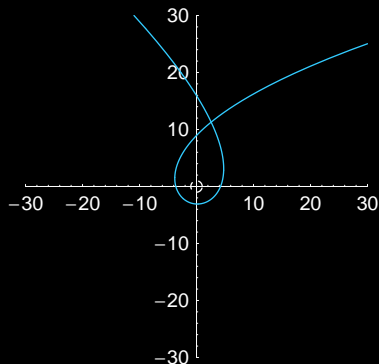
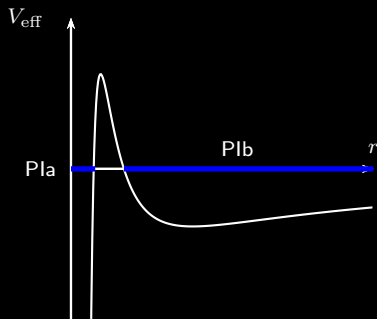
# Particle deflection

## Deflection angle

$$\varphi_{1,2} = \frac{2}{\sqrt{e_1 - e_3}} F(\alpha, k), \quad \alpha = \arcsin \sqrt{-\frac{e_3 + \frac{1}{3}}{e_2 - e_3}}, \quad k = \sqrt{\frac{e_2 - e_3}{e_1 - e_3}}$$

- Can be represented as function of  $r_{\min}$  (impact parameter) and  $E$ .
- This can be used to perform a well-defined approximation for small  $r_S/r_{\min}$ .

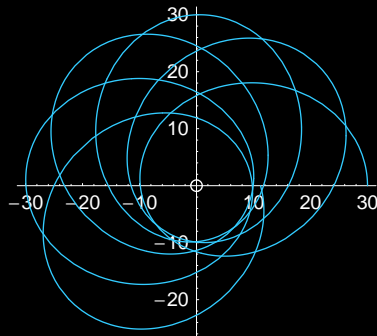
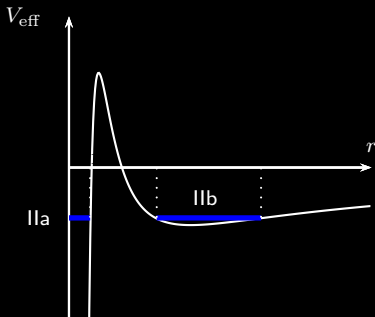
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pseudo parabolic — quasi parabolic



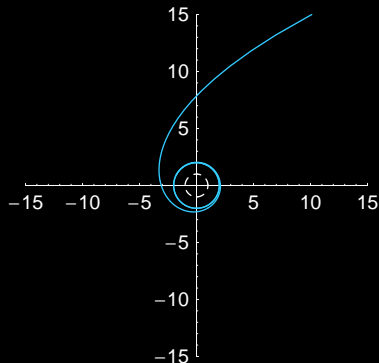
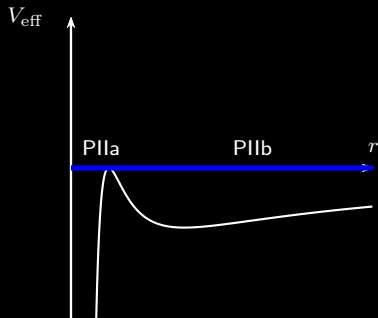
## Orbits in Schwarzschild space–time



pseudo-elliptic — quasi elliptic: perihelion shift:  $\delta\varphi = \omega_1 - 2\pi$

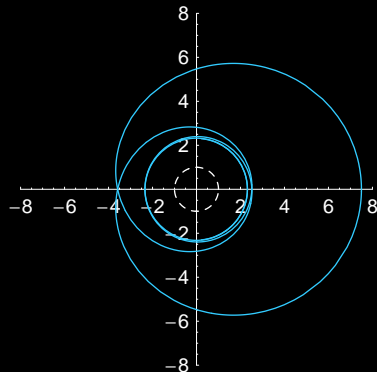
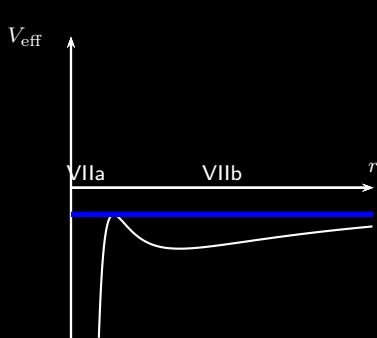
Application: Quasar QJ287:  $\delta\varphi \approx 39^\circ$  per revolution (Valtonen et al 2008)

## Orbits in Schwarzschild space-time



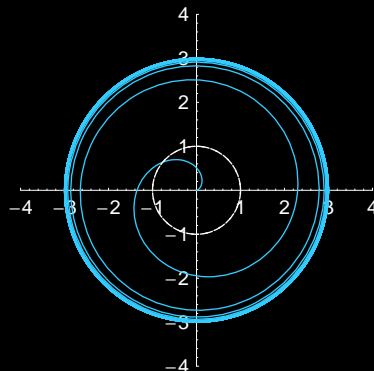
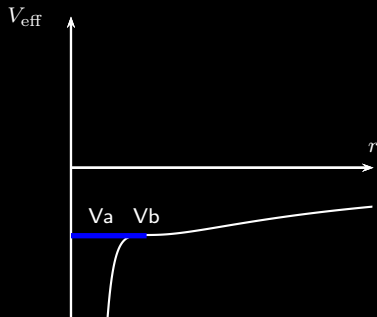
finite parabolic spiral — infinite parabolic spiral

## Orbits in Schwarzschild space-time



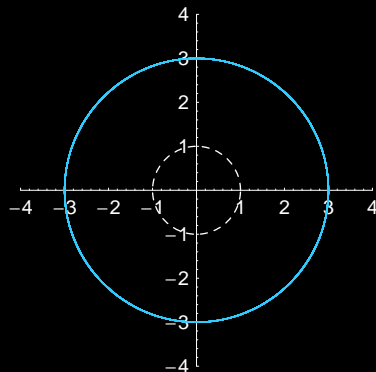
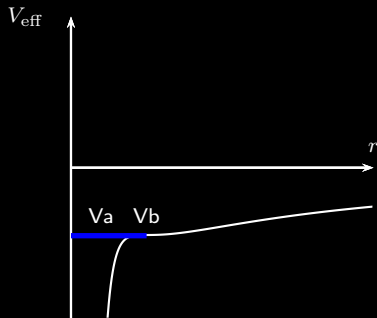
spiral — double spiral (Poincarés double circle limit)

## Orbits in Schwarzschild space-time



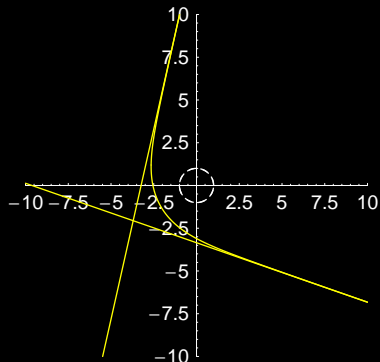
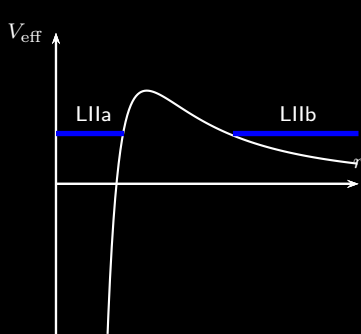
spiral — circle (ISCO)

## Orbits in Schwarzschild space-time



spiral — circle (ISCO)

# Light rays in Schwarzschild space-time



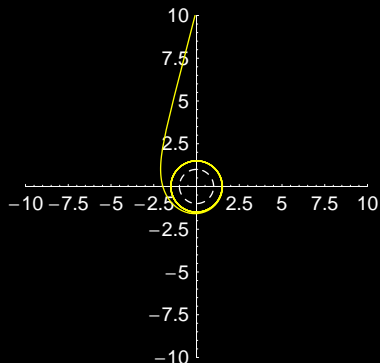
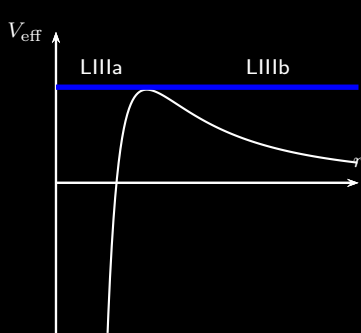
pseudo hyperbolic — quasi-hyperbolic

# Light deflection

Deflection angle

$$\Delta\varphi = \frac{4}{\sqrt{e_1 - e_3}} F(\alpha, k), \quad \sin \alpha = \sqrt{-\frac{e_3 + \frac{1}{3}}{e_2 - e_3}}, \quad k = \sqrt{\frac{e_2 - e_3}{e_1 - e_3}}$$

# Light rays in Schwarzschild space-time



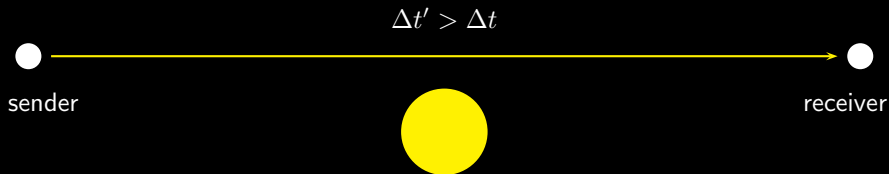
finite pseudo-hyperbolic spiral — infinite quasi-hyperbolic spiral — ISCO



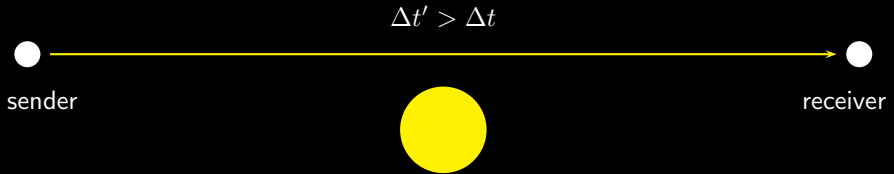
# Gravitational time delay



# Gravitational time delay



# Gravitational time delay



## Caution

- Within an exact framework for gravitational effects there is no definition or identification of points with and without gravitational field  
 $\Rightarrow$  there is no notion of a gravitational time delay
- Within exact treatment there is only a combined effect due to gravitational time delay, redshift, kinematical time delay (Doppler effect) and light bending
- There is no way to isolate a gravitational time delay – only possible asymptotically, in weak field approximation

# Further solutions

Analytic solution of geodesic equation in

- Schwarzschild space–time (Hagihara, JJAG 1931)
- Kerr space–time (Chandrasekhar 1983)
- Reissner–Nordström (Chandrasekhar 1983)
- Schwarzschild–de Sitter (Hackmann & C.L., PRL 2008, PRD 2008)
- Kerr–de Sitter (Hackmann & C.L. 2009)
- Reissner–Nordström–de Sitter (Hackmann, Kagramanova, Kunz, & C.L. 2008)
- NUT–de Sitter (Hackmann, Kagramanova, Kunz, & C.L. 2008)
- Schwarzschild / Kerr with string (Hackmann, Hartmann, et al. 2010a, 2010b)
- higher dimensions (Enolskii, Hackmann et al. 2011)
- Observables (Hackmann & C.L. 2012)

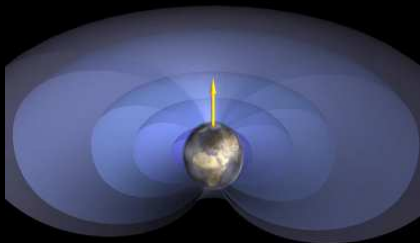
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astrophysically relevant

# The gravitomagnetic field



## The gravitomagnetic field

- Kerr metric
- orbits in Kerr metric, analytical expressions for:
- Perihelion shift
- Lense–Thirring effect
- combined effects
- ...

## Applications in:

- motion of stars around black holes
- EMRI
- accretion discs

# Gravitomagnetic clock effect

- rotating gravitating mass  $\Rightarrow$  Kerr solution

$$ds^2 = \dots + \frac{2Mr}{r^2 + a^2 \cos^2 \vartheta} a \sin^2 \vartheta d\varphi dt + \dots$$

- geodesic equation for circular orbits in equatorial plane

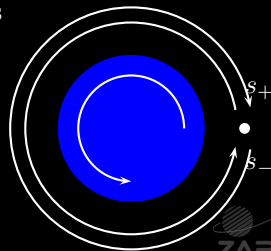
$$\frac{d\varphi}{dt} = \pm\Omega_0 + \Omega_{\text{Lense-Thirring}}$$

- proper time difference of two counterpropagating clocks

$$s_+ - s_- = 4\pi \frac{J}{M} \sim 10^{-7} \text{ s}$$

does not depend on  $G$  and on  $r$

decreases with inclination, vanishes for polar orbits



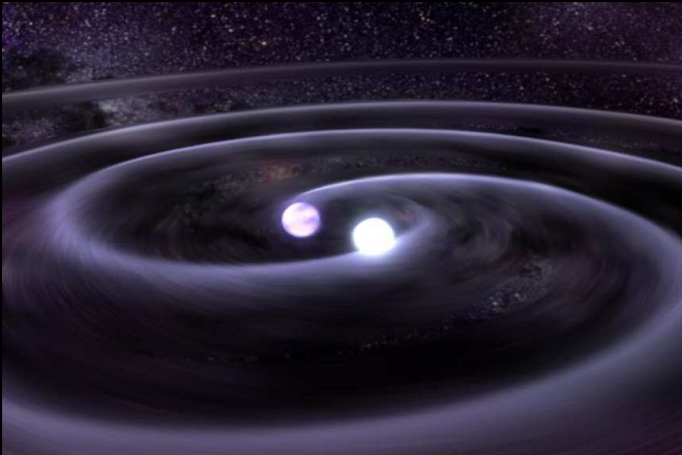
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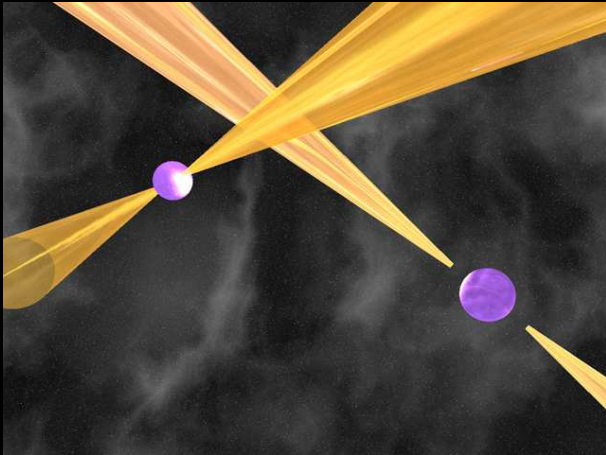
# Binary systems

Strong field regime  $\rightarrow$  access to more PPN parameters, nonlinearities, spin-spin effects (Kramer et al, Science 2006) ...



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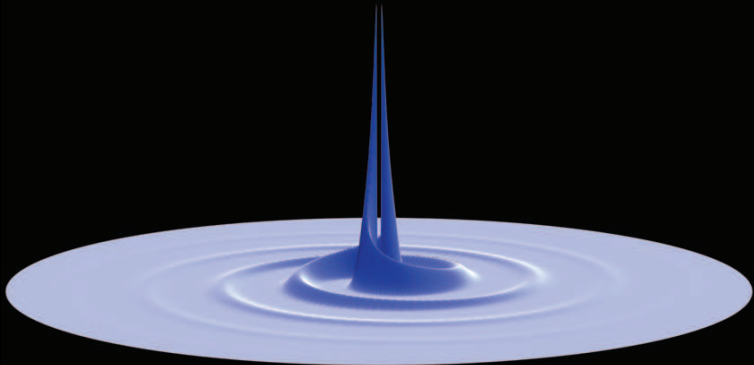


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# Gravitational waves

Radiation properties  $\rightarrow$  mass of graviton, ...

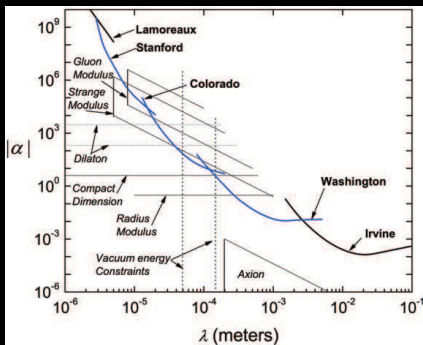


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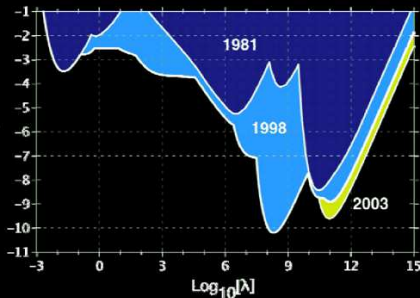
# Test of Newton potential I

$$U = \frac{GM}{r} \left( 1 + \alpha e^{-r/\lambda} \right)$$



short range limits

long range limits



# Test of Newton potential I

Kostelecky framework (**Kostelecky, PRD 2005**): anisotropy of Newtonian potential

$$U = \frac{MG}{r} \left( 1 + \frac{r^i c_{ij} r^j}{r^2} \right)$$

Experiments

- Atomic interferometry (**Müller et al, PRL 2007**)
- LLR (**Battat, Chandler & Stubbs, PRL 2007**)

Result

$$|c_{ij}| \leq 10^{-5} \dots 10^{-9}$$

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Unexplained observations

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Needed to describe galactic rotation curves, lensing, structure formation

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Is the gravitational physics in the Solar system really well understood?

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Satellite velocities are too large by a few mm/s after flybys

Increase of Astronomical Unit (**Krasinski & Brumberg 2005, Pitjeva in Standish 2005**) Distance Earth–Sun increases by  $\sim 10$  m/cy

Quadrupole and octupole anomaly (**Tegmark et al 2004, Schwarz et al 2005**)

Quadrupole and octupole of CMB are correlated with Solar system ecliptic

Is the gravitational physics in the Solar system really well understood?

Gravity at large distances? Weak gravity? Small accelerations?



# Fate of Einstein Equations?



very likely to be modified due to Quantum Gravity ...

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# Thank you!

- H. Dittus
- E. Hackmann
- D. Lorek
- V. Perlick
- P. Rademaker
- DFG
- Center of Excellence QUEST
- Research Training Group  
*Models of Gravity*
- DLR