

# *HSGRA: off-shell formulation and gauge algebra*

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[\[1107.5028\]](#), [\[1305.5180\]](#) with D. Ponomarev, E.D. Skvortsov, M. Taronna.

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# HIGHER-SPIN GAUGE THEORIES : SOME MOTIVATIONS

- Gauge Principle : HS theories contain gravity ;  $\infty$ -dim gauge algebra ;
- Vasiliev's unfolding : a geometric approach to field theory ;
- AdS/CFT dualities between Vasiliev's theory and free CFT's  
[Sezgin-Sundell, Klebanov-Polyakov] for  $AdS_4/CFT_3$  and  
[Gaberdiel-Gopakumar] for  $AdS_3/CFT_2$  . Relations with statistical physics, integrable models, strings etc.

# THE GAUGE PRINCIPLE [H. WEYL, 1929]

In *Classical Field Theory* : remarkable achievement by M. A. Vasiliev with formulation of *fully nonlinear field equations* for higher-spin gauge fields in 4D [Vasiliev, 1990 – 1992] and in  $D$  space-time dimensions [hep-th/0304049]. Some salient features are

- Manifest diffeomorphism invariance, no explicit reference to a metric ;
- Manifest Cartan integrability  $\Rightarrow$  *gauge invariance* under infinite-dimensional HS algebra ;
- Formulation in terms of two infinite-dimensional modules of  $\mathfrak{so}(2, D - 1)$  : The *adjoint* and *twisted-adjoint* representations  $\rightsquigarrow$  master **1-form** and master **zero-form**. Uses **unfolding** in terms of **FDA**.

# UNFOLDED EQUATIONS AND FDA

A free (graded commutative, associative) differential algebra  $\mathfrak{R}$  is set  $\{X^\alpha\}$  of *a priori* independent variables, locally-defined differential forms obeying first-order equations of motion

$$\mathcal{R}^\alpha = dX^\alpha + Q^\alpha(X) \approx 0, \quad Q^\alpha(X) = \sum_n f_{\beta_1 \dots \beta_n}^\alpha X^{\beta_1} \dots X^{\beta_n} .$$

Nilpotency of  $d$  and integrability condition  $d\mathcal{R}^\alpha \approx 0$  require

$$Q^\beta \frac{\partial^L Q^\alpha}{\partial X^\beta} \equiv 0 .$$

For  $X_{[p_\alpha]}^\alpha$  with  $p_\alpha > 0$ , gauge transformation preserving  $\mathcal{R}^\alpha \approx 0$  :

$$\delta_\epsilon X^\alpha = d\epsilon^\alpha - \epsilon^\beta \frac{\partial^L}{\partial X^\beta} Q^\alpha .$$

- The concepts of **spacetime**, **dynamics** and **observables** are *derived* from infinite-dimensional FDA's.
- **Unfolded dynamics** is an inclusion of local d.o.f. into field theories described *on-shell* by **flatness conditions** on generalized curvatures.
- **Spin-2** couplings arise in the limit in which the  $\mathfrak{so}(2, D - 1)$ -valued part of the higher-spin connection one-form is treated exactly while its remaining spin  $s > 2$  components become **weak** fields together with all curvature (Weyl) zero-forms.  
 ↪ **Lorentz-covariant** derivative, minimal coupling.

# ACTION PRINCIPLE WITH $QP$ -STRUCTURE

Want an action principle reproducing **non-linear** and **background-independent** Vasiliev equations in four spacetime dimensions. These equation possess

- an algebraic structure that enables one to construct a *Hamiltonian action* with **nontrivial  $QP$ -structures** in a manifold with boundary ;
- a geometric structure which allows to construct additional **boundary deformations**.

# MANIFOLD : BULK WITH NON-EMPTY BOUNDARY

- Like for the [nonlinear Poisson sigma-model](#) [yesterday's talk by Th. Strobl], introduce [bulk with non-empty boundary](#), and add [extra](#) momentum-like variables.
- Impose [boundary conditions](#) compatible with a *globally* well-defined action principle
  - ↔ the action  $S = \int_B L$  should be gauge invariant, and  $\delta_\varepsilon L = dK_\varepsilon$  ;
  - ↔ compatibility between gauge transformations of field configurations and transition functions between charts.
- The action has two pieces : a [bulk part](#) plus various classically marginal deformations on boundary → [amplitudes](#).



# RELATION WITH FRONSDAL'S PROGRAMME

Unlike the original **Fronsdal programme** [formulate higher-spin gauge theory off shell in a perturbative expansion around constantly curved spacetime], *background-independent* formulation in terms of **master fields** living in the **correspondence space**, *i.e.* the local product of a **non-commutative phase-spacetime** containing the commutative spacetime as a Lagrangian submanifold and a **non-commutative twistor space**.

Vasiliev's system has a **huge classical solution space** that admits many different perturbative expansions of which *only some* reduce to Fronsdal systems (with  $\Lambda$ ).

# BRIEF REVIEW OF VASILIEV'S 4D EQUATIONS (1)

The master fields are locally-defined (chart index  $\xi$ ) **operators**

$$O_\xi(X_\xi^M, dX_\xi^M; Z^\alpha, dZ^\alpha; Y^\alpha; K),$$

where

$$[Y^\alpha, Y^\beta] = 2iC^{\alpha\beta}, \quad [Z^\alpha, Z^\beta] = -2iC^{\alpha\beta}, \quad \underline{\alpha}, \underline{\beta} = 1, 2, 3, 4,$$

with charge conjugation matrix  $C^{\alpha\beta} = \epsilon^{\alpha\beta}$ ,  $C^{\dot{\alpha}\dot{\beta}} = \epsilon^{\dot{\alpha}\dot{\beta}}$ ,  $\underline{\alpha} = (\alpha, \dot{\alpha})$ , and where  $K = (k, \bar{k})$ , are two outer Kleinian operators.

The operators are represented by **symbols**  $f[O_\xi]$  obtained by going to **specific bases** for the operator algebra  $\rightsquigarrow$  **ordering prescriptions**.

## BRIEF REVIEW OF VASILIEV'S 4D EQUATIONS (2)

One may think of the symbols as functions  $f(X, Z; dX, dZ; Y)$  on a correspondence space  $\mathfrak{C}$

$$\mathfrak{C} = \bigcup_{\xi} \mathfrak{C}_{\xi}, \quad \mathfrak{C}_{\xi} = \mathfrak{B}_{\xi} \times \mathfrak{Y}, \quad \mathfrak{B}_{\xi} = \mathfrak{M}_{\xi} \times \mathfrak{Z}$$

equipped with a suitable **associative** star-product operation  $\star$  which reproduces, in the space of symbols, the composition rule for operators.

$\leadsto$  The exterior derivative on  $\mathfrak{B}$  is given by

$$d = dX^M \partial_M + dZ^{\alpha} \partial_{\underline{\alpha}} \quad .$$

# BRIEF REVIEW OF VASILIEV'S 4D EQUATIONS (3)

The master fields of the *minimal bosonic model* are an adjoint one-form

$$A = W + V ,$$
$$W = dX^M W_M(X, Z; Y) , \quad V = dZ^\alpha V_\alpha(X, Z; Y) ,$$

and a twisted-adjoint zero-form

$$\Phi = \Phi(X, Z; Y) .$$

Generically, start with locally-defined differential forms of *total degree*  $p$

$$f = \sum_{p=0}^{\infty} f_{[p]}(X^M, dX^M; Z^\alpha, dZ^\alpha; Y^\alpha; k, \bar{k}) ,$$

$$f_{[p]}(\lambda dX^M; \lambda dZ^\alpha) = \lambda^p f_{[p]}(dX^M; dZ^\alpha) , \quad \lambda \in \mathbb{C} .$$

# BRIEF REVIEW OF VASILIEV'S 4D EQUATIONS (4)

The  $X^M$ 's are commuting coordinates, while  $(Y^\alpha, Z^\alpha) = (y^\alpha, \bar{y}^{\dot{\alpha}}; z^\alpha, \bar{z}^{\dot{\alpha}})$  are non-commutative,  $k, \bar{k}$  are outer Kleinians :

$$k \star f = \pi(f) \star k, \quad \bar{k} \star f = \bar{\pi}(f) \star \bar{k}, \quad k \star k = 1 = \bar{k} \star \bar{k},$$

with automorphisms  $\pi$  and  $\bar{\pi}$  defined by  $\pi d = d\pi$ ,  $\bar{\pi} d = d\bar{\pi}$  and

$$\pi[f(z^\alpha, \bar{z}^{\dot{\alpha}}; y^\alpha, \bar{y}^{\dot{\alpha}})] = f(-z^\alpha, \bar{z}^{\dot{\alpha}}; -y^\alpha, \bar{y}^{\dot{\alpha}}),$$

$$\bar{\pi}[f(z^\alpha, \bar{z}^{\dot{\alpha}}; y^\alpha, \bar{y}^{\dot{\alpha}})] = f(z^\alpha, -\bar{z}^{\dot{\alpha}}; y^\alpha, -\bar{y}^{\dot{\alpha}}).$$

**Bosonic and irreducibility projections :**  $\pi\bar{\pi}(f) = f = P_+ \star f$ ,

$$P_+ = \frac{1}{2}(1 + k \star \bar{k}),$$

$$\hookrightarrow f = \left[ f^{(+)}(X, dX; Z, dZ; Y) + f^{(-)}(X, dX; Z, dZ; Y) \star \frac{(k + \bar{k})}{2} \right] \star P_+.$$

# BRIEF REVIEW OF VASILIEV'S 4D EQUATIONS (5)

- **Bosonic projection** : removes component fields  $\rightsquigarrow$  spacetime spinors.
- **Irreducible *minimal* bosonic models** : by imposing reality conditions and discrete symmetries that remove all **odd** spins.

$\hookrightarrow$   $\dagger$  and anti-automorphism  $\tau$  defined by  $d[(\cdot)^\dagger] = [d(\cdot)]^\dagger$ ,  $d\tau = \tau d$ ,

$$[f(z^\alpha, \bar{z}^{\dot{\alpha}}; y^\alpha, \bar{y}^{\dot{\alpha}}; k, \bar{k})]^\dagger = \bar{f}(\bar{z}^{\dot{\alpha}}, z^\alpha; \bar{y}^{\dot{\alpha}}, y^\alpha; \bar{k}, k),$$

$$\tau[f(z^\alpha, \bar{z}^{\dot{\alpha}}; y^\alpha, \bar{y}^{\dot{\alpha}}; k, \bar{k})] = f(-iz^\alpha, -i\bar{z}^{\dot{\alpha}}; iy^\alpha, iy^{\dot{\alpha}}; k, \bar{k}),$$

$$[f_{[p]} \star f'_{[p']}]^\dagger = (-1)^{pp'} (f'_{[p']})^\dagger \star (f_{[p]})^\dagger,$$

$$\tau(f_{[p]} \star f'_{[p']}) = (-1)^{pp'} \tau(f'_{[p']}) \star \tau(f_{[p]}).$$

# BRIEF REVIEW OF VASILIEV'S 4D EQUATIONS (6)

Back to Vasiliev's  $A$  and  $\Phi$ , the minimal models are imposed by the following projection and reality conditions :

$$\tau(A, \Phi) = (-A, \pi(\Phi)) , \quad (A, \Phi)^\dagger = (-A, \pi(\Phi)) .$$

Full equations of motion of the minimal bosonic model with fixed interaction ambiguity :  $F + \Phi \star J = 0$ , with two-form  $J$  defined globally on correspondence space, obeying  $\tau(J) = -J = J^\dagger$  and

$$dJ = 0 , \quad [f, J]_\star^\pi := f \star J - J \star \pi(f) = 0 \quad \forall f \quad \text{s.t.} \quad \pi\bar{\pi}(f) = f . \quad (1)$$

In the minimal model,

$$J = -\frac{i}{4}(b dz^2 \kappa + \bar{b} d\bar{z}^2 \bar{\kappa}) ,$$

# BRIEF REVIEW OF VASILIEV'S 4D EQUATIONS (7)

... where the chiral inner Kleinians

$$\kappa = \exp(iy^\alpha z_\alpha) , \quad \bar{\kappa} = \kappa^\dagger = \exp(-i\bar{y}^{\dot{\alpha}} \bar{z}_{\dot{\alpha}}) .$$

By making use of field redefinitions  $\Phi \rightarrow \lambda\Phi$  with  $\lambda \in \mathbb{R}$ ,  $\lambda \neq 0$ , the complex parameter  $b$  in  $J$  can be taken to obey

$$|b| = 1 , \quad \arg(b) \in [0, \pi] .$$

The phase breaks parity  $P$  [ $Pd = dP$ ]

$$P [f(X^M; z^\alpha, \bar{z}^{\dot{\alpha}}; y^\alpha, \bar{y}^{\dot{\alpha}}; k, \bar{k})] = (Pf)(X^M; -\bar{z}^{\dot{\alpha}}, -z^\alpha; \bar{y}^{\dot{\alpha}}, y^\alpha; \bar{k}, k) ,$$

except in the following two cases :

Type-A model (parity-even physical scalar) :  $b = 1$  ,

Type-B model (parity-odd physical scalar) :  $b = i$  .



# BRIEF REVIEW OF VASILIEV'S 4D EQUATIONS (8)

[ The integrability of  $F + \Phi \star J = 0$  implies that  $D\Phi \star J = 0$ , that is,  $D\Phi = 0$ , where the twisted-adjoint covariant derivative  $D\Phi = d\Phi + A \star \Phi - \Phi \star \pi(A)$ . This constraints is integrable since  $D^2\Phi = F \star \Phi - \Phi \star \pi(F) = -\Phi \star J \star \Phi + \Phi \star \pi(\Phi) \star J$  gives zero, using the constraint on  $F$  and (1).]

↪ Summary : minimal higher-spin gravity given by

$$\begin{aligned} F + \Phi \star J &= 0, & D\Phi &= 0, & dJ &= 0, \\ F &:= dA + A \star A, & D\Phi &:= d\Phi + [A, \Phi]_{\pi}, \\ \tau(A, \Phi) &= (-A, \pi(\Phi)), & (A, \Phi)^{\dagger} &= (-A, \pi(\Phi)), \\ & & \hookrightarrow [A, J]_{\pi} &= 0 = [\Phi, J]_{\pi}. \end{aligned}$$

# BRIEF REVIEW OF VASILIEV'S 4D EQUATIONS (9)

↪ Integrability implies invariance under Cartan gauge transformations

$$\delta_\epsilon A = D\epsilon, \quad \delta_\epsilon \Phi = -[\epsilon, \Phi]_\pi,$$

for zero-form gauge parameters  $\epsilon(X, Z; Y)$  obeying the same kinematic constraints as the master one-form, *i.e.*  $\tau(\epsilon) = -\epsilon$  and  $(\epsilon)^\dagger = -\epsilon$ .

↪ The closure of the gauge transformations reads

$$[\delta_{\epsilon_1}, \delta_{\epsilon_2}] = \delta_{\epsilon_{12}}, \quad \epsilon_{12} = [\epsilon_1, \epsilon_2]_\star,$$

defining the algebra  $\mathfrak{hs}(4)$ .

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# CLASSICAL ACTION PRINCIPLE (1)

Starting from  $\{X^\alpha\}$  defined locally on  $B_\xi$  (base manifold  $B = \cup_\xi B_\xi$  of dim.  $\hat{p} + 1$ ) satisfying some **unfolded constraints** with given **Q-structure**,  
 $\hookrightarrow$  **off-shell** extensions based on sigma models with maps

$$\phi_\xi : T[1]B_\xi \rightarrow M ,$$

between two  $\mathbb{N}$ -graded manifolds, from the parity-shifted tangent bundle  $T[1]B$  to a target space  $M$  that is a differential  $\mathbb{N}$ -graded symplectic manifold with **two-form**  $\mathcal{O}$ , **Q-structure**  $\mathcal{Q}$  and **Hamiltonian**  $\mathcal{H}$  with the following degrees :

$$\deg(\mathcal{O}) = \hat{p} + 2 , \quad \deg(\mathcal{Q}) = 1 , \quad \deg(\mathcal{H}) = \hat{p} + 1 .$$

## Hamiltonian bulk action

$$S_{\text{bulk}}^{\text{cl}}[\phi|B] = \sum_{\xi} \int_{B_{\xi}} \mathcal{L}_{\xi}^{\text{cl}} = \sum_{\xi} \int_{B_{\xi}} \pi \phi_{\xi}^* (\vartheta - \mathcal{H}) ,$$

where  $\phi_{\xi} \equiv \phi|_{B_{\xi}}$  and  $\pi : \Omega(T[1]B) \rightarrow \Omega(B)$  degree-preserving canonical homomorphism that takes  $k$ -forms on  $T[1]B$  of degree  $p$  to  $p$ -forms on  $B$ , *viz.*

$$\pi : \Omega^{[k|p]}(T[1]B) \rightarrow \Omega^{[p]}(B) ,$$

and that intertwines the actions of the exterior derivative  $d$  in  $\Omega(B)$  and the Lie derivative  $\mathcal{L}_q = i_q \circ d - d \circ i_q$  in  $\Omega(T[1]B)$  along the **canonical  $Q$ -structure** on  $T[1]B$  as follows :

$$d \circ \pi = \pi \circ d = \pi \circ \mathcal{L}_q , \quad q := \theta^{\mu} \partial_{\mu} .$$

Equipping  $T[1]B$  with coordinates

$$(x^\mu, \theta^\mu), \quad \text{deg}(x^\mu, \theta^\mu) = (0, 1),$$

one has

$$\pi(f(x^\mu, \theta^\mu; dx^\mu, d\theta^\mu)) = f(x^\mu, dx^\mu; dx^\mu, 0).$$

Thus the exterior differential  $d$ , which has form-degree one, has degree one, *i.e.*

$$\text{deg}(d) = \text{deg}(q) = 1.$$

The assumption that the sigma-model maps  $\phi$  have vanishing intrinsic degree implies

$$\Omega^{[k|p]}(M) \xrightarrow{\phi^*} \Omega^{[k|p]}(T[1]B) \xrightarrow{\pi} \Omega^{[p]}(B) ,$$

that is, the pull-back  $\phi^*$  of a  $k$ -form of  $\mathbb{N}$ -degree  $p$  on  $M$  is a ditto on  $T[1]B$ , in its turn sent by  $\pi$  to a  $p$ -form on  $B$ ; the condition that  $M$  is  $\mathbb{N}$ -graded (instead of  $\mathbb{Z}$ -graded) and  $\deg(d) = 1$  implies that  $p \geq k$ . Thus, since

$$\mathcal{O} = d\vartheta \in \Omega^{[2|\hat{p}+2]}(M) , \quad \vartheta \in \Omega^{[1|\hat{p}+1]}(M) , \quad \mathcal{H} \in \Omega^{[0|\hat{p}+1]}(M) ,$$

it follows that

$$\pi\phi^*(\vartheta - \mathcal{H}) \in \Omega^{[\hat{p}+1]}(B) ,$$

which can then be integrated by decomposing  $B$  into charts  $B_\xi$ .

# CLASSICAL ACTION PRINCIPLE (2)

↪ Classical action principle of Hamiltonian type :

$$S_{\text{bulk}}^{\text{cl}}[\phi|B] = \sum_{\xi} \int_{B_{\xi}} \mathcal{L}_{\xi}^{\text{cl}} = \sum_{\xi} \int_{B_{\xi}} \pi \phi_{\xi}^* (\vartheta - \mathcal{H}),$$

where  $\vartheta$  is a pre-symplectic form.

↪ **Writing**  $\vartheta = dZ^i \vartheta_i$ ,  $\mathcal{O} = \frac{1}{2} dZ^i dZ^j \tilde{\mathcal{O}}_{ij} = \frac{1}{2} dZ^i \mathcal{O}_{ij} dZ^j$  and defining

$$\{A, B\}^{[-\hat{p}]} = (-1)^{\hat{p}+(\hat{p}+i+1)A} \partial_i A \mathcal{P}^{ik} \partial_j B$$

where  $\mathcal{P}^{ik} \mathcal{O}_{kj} = (-1)^{\hat{p}} \delta_j^i$ , then ...

# CLASSICAL ACTION PRINCIPLE (3)

- ... the variation of the Lagrangian :

$$\delta \mathcal{L}_{\text{bulk}}^{\text{cl}} = \delta Z^i \mathcal{R}^j \tilde{\mathcal{O}}_{ij} + d(\delta Z^i \vartheta_i) ,$$

where **generalized curvatures** and Hamiltonian vector field

$$\begin{aligned} \mathcal{R}^i &= dZ^i + \mathcal{Q}^i , & \mathcal{Q}^i &= (-1)^{\hat{p}+1} \mathcal{P}^{ij} \partial_j \mathcal{H} , \\ \vec{\mathcal{Q}} &= \mathcal{Q}^i \vec{\partial}_i , & \text{deg}(\vec{\mathcal{Q}}) &= 1 . \end{aligned}$$

- **Variational principle**  $\implies \mathcal{R}^i \approx 0$  , whose Cartan integrability on shell requires  $\vec{\mathcal{Q}}$  to be a Hamiltonian **Q-structure**

$$\mathcal{L}_{\vec{\mathcal{Q}}} \vec{\mathcal{Q}} \equiv 0 \iff \mathcal{Q}^j \partial_j \mathcal{Q}^i \equiv 0 \iff \partial_i \{ \mathcal{H}, \mathcal{H} \}^{[-\hat{p}]} \equiv 0 .$$



# CLASSICAL ACTION PRINCIPLE (4)

Nilpotency of  $\overrightarrow{\mathcal{D}}$  with suitable boundary conditions on the fields and gauge parameters ensure invariance of the action under

$$\begin{aligned}\delta_\epsilon Z^i &= d\epsilon^i - \epsilon^j \partial_j \mathcal{Q}^i + \frac{1}{2} \epsilon^k \mathcal{R}^l \partial_l \tilde{\mathcal{O}}_{kj} \mathcal{P}^{ji}, \\ \delta_\epsilon \mathcal{L}_{\text{bulk}}^{\text{cl}} &= dK_\epsilon, \quad K_\epsilon = \epsilon^i \mathcal{R}^j \tilde{\mathcal{O}}_{ij} + \delta_\epsilon Z^i \vartheta_i,\end{aligned}$$

Closure of gauge transformations :

$$[\delta_{\epsilon_1}, \delta_{\epsilon_2}] Z^i = \delta_{\epsilon_{12}} Z^i - \overrightarrow{\mathcal{R}} \epsilon_{12}^i,$$

where  $\overrightarrow{\mathcal{R}} = \mathcal{R}^i \partial_i$  and

$$\epsilon_{12}^i = -\frac{1}{2} [\overrightarrow{\mathcal{E}}_1, \overrightarrow{\mathcal{E}}_2] \mathcal{Q}^i.$$

# CLASSICAL ACTION PRINCIPLE (5)

- Under certain extra assumptions on  $\vartheta$  and  $\mathcal{H}$ , the action can be defined globally by gluing together the locally defined fields and gauge parameters along chart boundaries using gauge transitions  $\delta_t Z^i$  and  $\delta_t \epsilon^i$  with parameters  $\{t^i\} = t_{\xi'}^{\xi}$ , defined on overlaps.

Assumptions :

$$(i) \quad \delta_t K_\epsilon = 0, \quad (ii) \quad \partial_j \partial_k \vec{t} \mathcal{Q}^i = 0, \quad (iii) \quad K_t \equiv 0.$$

- Assumption (i)  $\implies$  cancellation of contributions to  $\delta_\epsilon S_{\text{bulk}}^{\text{cl}}$  from chart boundaries in the interior of  $B$ , s.t. the variational principle implies the BC on fields and gauge parameters

$$K_\epsilon|_{\partial B} \equiv 0.$$

# CLASSICAL ACTION PRINCIPLE (6)

- Assumptions (ii) and (iii) ensure **compatibility** between **gauge transformations** and **gauge transitions** in the sense that performing a transition transformation on fields and gauge parameters between two adjacent charts and moving along the gauge orbit are two operations that **commute**. Give access to  $\delta_{\varepsilon_\xi} t_{\xi'}^\xi$  and  $\delta_{t_{\xi'}^\xi} \varepsilon_\xi$ .
- The  $\{t_{\xi'}^\xi\}'_s \rightsquigarrow$  **subalgebra of Cartan transformations** that preserve the Lagrangian density, *i.e.* selects the transitions.
- Assuming there are no constants of total degree  $\hat{p} + 2$  on  $M$ , the condition  $\partial_i \{\mathcal{H}, \mathcal{H}\}^{[-\hat{p}]} \equiv 0$  is equivalent to the **structure equation**

$$\{\mathcal{H}, \mathcal{H}\}^{[-\hat{p}]} \equiv 0 \Leftrightarrow (-1)^{i(\hat{p}+1)} \partial_i \mathcal{H} \mathcal{P}^{ij} \partial_j \mathcal{H} \equiv 0 .$$

# CORRECT AMPLITUDES FOR UNBROKEN HS

- In the case of Vasiliev's model : PSM action with bulk + boundary deformations.

$$S^{Tot.} = S^{bulk}[X, P] + S^{bound.}[X] .$$

Reproduces the full nonlinear equations, same content perturbatively.

- With the addition of suitable boundary deformations built from the zero-forms of  $X$ ,  $Z[\mu] = \int DXDP \exp[\frac{\mu^i}{\hbar} S^T]$  reproduces, to lowest order in  $\hbar$ , the correct  $N$ -point functions of the free  $O(N)$  model on boundary [Colombo,Sundell], ( $N = 2, 3$ ) then [Didenko,Skvortsov]  $N \geq 4$ .

# ON-SHELL EQUIVALENCE TO FRONSDAL APPROACH

Concerning the correspondence with the free  $O(N)$  vector model and Gross–Neveu model [Sezgin-Sundell] :

- for any  $\mathcal{H}(U, V; B)$  and applying perturbation theory in which  $\int_{\mathcal{M}} \text{Tr}'[dX^\alpha \star P_\alpha]$  is treated as the kinetic term, it follows from the fact that the vertices in  $\mathcal{H}(U, V; B)$  are built from exterior ( $\star$ -) products that boundary correlation functions that involve only zero-forms and one-forms are given by their semi-classical limits (as vacuum bubbles cancel), *viz.*

$$\begin{aligned} & \langle B_{[0]}(p_1) \cdots B_{[0]}(p_n) A_{[1]}(p_{n+1}) \cdots A_{[1]}(p_{n+m}) \rangle |_{p_i \in \partial \mathcal{M}} \\ &= \langle B_{[0]}(p_1) \rangle \cdots \langle B_{[0]}(p_n) \rangle \langle A_{[1]}(p_{n+1}) \rangle \cdots \langle A_{[1]}(p_{n+m}) \rangle ; \end{aligned}$$

- assuming the existence of a perturbative completion

$\int_{\partial\mathcal{M}} \mathcal{V}_{\text{FV}}(B_{[0]}, dB_{[0]}; A_{[1]}, dA_{[1]})$  of the Fradkin – Vasiliev action<sup>1</sup>, it can be added as a topological vertex operator and treated as an interaction (including its kinetic terms);

- it follows that the expectation value of the Fradkin–Vasiliev action is tree-level exact, *i.e.*

$$Z(\mu) := \left\langle \exp\left(\frac{i\mu}{\hbar} \int_{\partial\mathcal{M}} \mathcal{V}_{\text{FV}}\right) \right\rangle = \exp\left(\frac{i\mu}{\hbar} \int_{\partial\mathcal{M}} \mathcal{V}_{\text{FV}}\right) \Big|_{B_{[0]}=\langle B_{[0]} \rangle; A_{[1]}=\langle A_{[1]} \rangle},$$

with expectation values  $\langle B_{[0]} \rangle$  and  $\langle A_{[1]} \rangle$  obeying the Vasiliev equations of motion subject to boundary conditions at the three-dimensional boundary of  $\partial\mathcal{M}$ ;

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1. Whether the completion is given in the standard Fronsdal formulation or in the frame-like formulation is immaterial as in both cases the dynamical field content can be obtained by applying projections to the Vasiliev master fields.

- thus, assuming a suitable topology for  $\partial\mathcal{M}$  and that  $\langle B_{[0]} \rangle$  and  $\langle A_{[1]} \rangle$  are asymptotic to  $AdS_4$ , hence built from the boundary data using *boundary-to-bulk propagators*, we expect that  $Z(\mu)$  with  $\mu N = \hbar$  is equal to the generating functional of the free  $O(N)$  model in the case of the Type A model with scalar field obeying  $\Delta = 1$  boundary conditions, and to the generating functional of the free Gross–Neveu model (with  $N$  free fermions) in the case of the Type B model with scalar field obeying  $\Delta = 2$  boundary conditions.

- We wish to stress the fact that both of the latter higher-spin gravity models are manifestly tree-level unitary : by the very nature of the perturbative treatment of the Poisson sigma models (with kinetic  $PdX$ -terms), *the partition function  $Z(\mu)$  is completely free from loop-corrections in the Fradkin–Vasiliev sector*, in perfect agreement with free three-dimensional CFTs. In other words,  $Z(\mu)$  is given by the sum of tree Witten-diagrams in  $AdS_4$  with external boundary-to-bulk and internal bulk-to-bulk Green's functions arising as the result of solving classical equations of motion subject to boundary sources.



- In the case of the strongly-coupled fixed points of the  $O(N)$  vector model [Klebanov-Polyakov] and the Gross-Neveu model [Sezgin-Sundell], reached by suitable double-trace deformations, the Fradkin-Vasiliev action needs to be modified with a Gibbons-Hawking term

$$\int_{\partial^2 \mathcal{M}} \mathcal{V}_{\text{GH}} = \int_{\partial^2 \mathcal{M}} \phi \partial_n \phi + \dots ,$$

where the  $\dots$  contain a non-linear completion achieving higher-spin gauge invariance.

# AKSZ QUANTIZATION

Classical coordinates  $Z^i \equiv Z_{[p_i]}^{i \langle 0 \rangle}$  on  $M$  is extended into coordinates on  $\mathbf{M}$  :

$$\left\{ Z_{[p_i-g]}^{i \langle g \rangle}, \quad Z_{i[\hat{p}+1-p_i+g]}^{\langle -1-g \rangle} := \left( Z_{[p_i-g]}^{i \langle g \rangle} \right)^+ \right\}, \quad g = 0, \dots, p_i,$$

$O_{[p]}^{\langle g \rangle}$  : ghost number  $g$  and form degree  $p$ .

Total degree and Graßmann parity (for classical theories consisting of only bosonic fields) :

$$|\cdot| := \text{deg}(\cdot) + \text{gh}(\cdot), \quad \text{Gr}(\cdot) = |\cdot| \pmod{2}.$$

So,

$$|Z_{[p_i-g]}^{i \langle g \rangle}| = p_i, \quad |Z_{i[\hat{p}+1-p_i+g]}^{\langle -1-g \rangle}| = \hat{p} - p_i.$$

# INTEGRATE A TOTAL FORM ON A P-CHAIN

Given a **differential form**  $L \in \Omega(M)$  of fixed **total degree**  $|L|$ , described locally on  $M$  by a function  $L(Z, Z^+, dZ, dZ^+)$ , with **pull-back**

$$\pi\phi^*(L) \equiv \sum_{p=0}^{\hat{p}+1} [\pi\phi^*(L)]_{[p]}^{\langle |L|-p \rangle} \in \Omega(B)$$

and a  **$p$ -cycle**  $C \subseteq B$ , the integral

$$I(L|C) \equiv \sum_{\xi} \int_{B_{\xi} \cap C} \pi\phi^*_{\xi}(L) := \sum_{\xi} \int_{B_{\xi} \cap C} [\pi\phi^* L]_{[p]}^{\langle |L|-p \rangle}$$

$$i.e. \quad \text{gh}(I(L|C)) = |L| - p .$$

The *canonical coordinates*  $Z^i = (X^\alpha, P_\alpha)$  of  $M$  induce **supercoordinates**  $Z^i = (X^\alpha, P_\alpha)$  of  $M$  of fixed **total degree** :

$$\begin{aligned}
 X^\alpha &= \underbrace{X_{[0]}^{\alpha \langle p_\alpha \rangle} + X_{[1]}^{\alpha \langle p_\alpha - 1 \rangle} + \dots + X_{[p_\alpha]}^{\alpha \langle 0 \rangle}}_{\text{fields}} + \\
 &+ \underbrace{P_{[p_\alpha + 1]}^{\alpha \langle -1 \rangle} + P_{[p_\alpha + 2]}^{\alpha \langle -2 \rangle} + \dots + P_{[\hat{p} + 1]}^{\alpha \langle p_\alpha - \hat{p} - 1 \rangle}}_{\text{anti-fields}} , \\
 P_\alpha &= \underbrace{P_{\alpha [0]}^{\langle \hat{p} - p_\alpha \rangle} + P_{\alpha [1]}^{\langle \hat{p} - p_\alpha - 1 \rangle} + \dots + P_{\alpha [\hat{p} - p_\alpha]}^{\langle 0 \rangle}}_{\text{fields}} + \\
 &+ \underbrace{X_{\alpha [\hat{p} - p_\alpha + 1]}^{\langle -1 \rangle} + X_{\alpha [\hat{p} - p_\alpha + 2]}^{\langle -2 \rangle} + \dots + X_{\alpha [\hat{p} + 1]}^{\langle -p_\alpha - 1 \rangle}}_{\text{anti-fields}} .
 \end{aligned}$$

Symplectic and pre-symplectic forms  $\mathcal{O}$  and  $\vartheta$  on  $M$  :

$$\mathcal{O} = [(-1)^{\alpha+1} d\mathbf{X}^\alpha d\mathbf{P}_\alpha]_{[\hat{p}+2]}^{\langle 0 \rangle} = d\vartheta, \quad \vartheta = [d\mathbf{X}^\alpha \mathbf{P}_\alpha]_{[\hat{p}+1]}^{\langle 0 \rangle},$$

and we denote the corresponding *graded Poisson bracket* on  $M$  by

$$\{\cdot, \cdot\} \equiv \{\cdot, \cdot\}_{[-\hat{p}]}^{\langle 0 \rangle},$$

and graded Poisson bracket on Maps  $[T[1]B, \mathbf{M}]$ , referred to as the **BV bracket**, is denoted by

$$(\cdot, \cdot) \equiv (\cdot, \cdot)_{[0]}^{\langle 1 \rangle},$$

with quantum numbers  $\text{gh}((\cdot, \cdot)) = 1$  and  $\text{deg}((\cdot, \cdot)) = 0$ .

# BV BRACKET INDUCED FROM POISSON BRACKET.

As observed by AKSZ, the **BV bracket**  $(\cdot, \cdot)$  on  $\text{Maps}[T[1]B, \mathbf{M}]$  is induced from the graded Poisson bracket  $\{\cdot, \cdot\}$  on  $\Omega^{[0]}(\mathbf{M})$  via the formula

$$(I(F|B), \phi^*(F')) \equiv \phi^*({F, F'}) .$$

It follows that the BV-adjoint action of the pre-symplectic form is related to the exterior derivative as follows :

$$(I(d\mathbf{X}^\alpha \mathbf{P}_\alpha|B), \phi^*(L)) \equiv d\phi^*(L) \equiv \phi^*(dL) ,$$

for  $L \in \Omega(\mathbf{M})$ .

# SUPERFUNCTIONALS

Functionals built from ultra-local superfunctionals  $\phi^*(\mathbf{G})$  where  $\mathbf{G} \in \Omega(\mathbf{M})$  have local representatives of the form  $\mathbf{G} = G(\mathbf{Z}^i, d\mathbf{Z}^i)$  where  $G \in \Omega(M)$ . In particular, if  $\mathbf{F}, \mathbf{F}'$  are superfunctions it follows that

$$\{\mathbf{F}, \mathbf{F}'\} = (\{F, F'\}_{[-\hat{p}]}(Z^i)) \Big|_{Z^i \rightarrow \mathbf{Z}^i} ,$$

where  $\{F, F'\}_{[-\hat{p}]}$  denotes the Poisson bracket evaluated in the classical target space  $M$ .

# THE AKSZ ACTION

$$\mathbf{S}_{\text{bulk}}[\phi|B] := I(L|B) = \sum_{\xi} \int_{B_{\xi}} \pi \phi_{\xi}^*(L) , \quad L := d\mathbf{X}^{\alpha} P_{\alpha} - \mathcal{H}(\mathbf{X}, P)$$

with  $\mathcal{H}$  being a solution to the classical structure equation obeying  $\mathcal{H}|_{P_{\alpha}=0} = 0$ . Defining

$$s(\cdot) := (\mathbf{S}_{\text{bulk}}, (\cdot)) ,$$

one has

$$s\mathbf{Z}^i = \mathbf{R}^i ,$$

where the generalized supercurvatures

$$\mathbf{R}^i := d\mathbf{Z}^i + \mathbf{Q}^i , \quad \mathbf{Q}^i := \mathcal{Q}^i(\mathbf{Z}^j) = (-1)^{\hat{p}+1} \mathcal{P}^{ij} \partial_j \mathcal{H}(\mathbf{Z}^i) .$$



The locally-defined field configurations form equivalence classes modulo gauge transformations

$$\delta_\varepsilon \mathbf{Z}^i := d\varepsilon^i - \varepsilon^j \partial_j \mathbf{Q}^i ,$$

where the parameters have total degree  $|\varepsilon^i| = |\mathbf{Z}^i| - 1$  and expansions into components with fixed ghost numbers and form degrees given by the suspension of  $\mathbf{X}^\alpha$  and  $\mathbf{P}_\alpha$  with one unit of form degree, and zero units of ghost number.

As in the classical case, it follows from

$$\begin{aligned} \delta_\varepsilon \mathbf{S}_{\text{bulk}} &\equiv \sum_\xi \oint_{\partial B_\xi} \mathbf{K}_\varepsilon , \\ \mathbf{K}_\varepsilon &= (-1)^{\hat{p}(\alpha+1)} \eta_\alpha \mathbf{R}^\alpha + \left( (\vec{\mathbf{P}} - 1) \vec{\varepsilon} + \vec{\mathbf{P}} \vec{\eta} \right) \mathcal{H} , \end{aligned}$$

... that the AKSZ action can be defined globally using fiber-bundle type geometries.

- (I) the local representatives  $Z_\xi^i$  are glued together using transition functions with parameters  $t_{\xi'}^{i,\xi} = (t^\alpha, 0)_{\xi'}$  obeying

$$(\vec{P} - 1) \vec{t} \mathcal{H} \equiv 0 \quad \text{i.e.} \quad \vec{t} \Pi_{(n)} \equiv 0 \quad \text{for } n \neq 1,$$

and

- (II) the following Dirichlet conditions are imposed :

$$\eta_\alpha|_{\partial B} = 0, \quad P_\alpha|_{\partial B} = 0.$$

The AKSZ relation between the BV bracket and the Poisson bracket  $\Rightarrow$

$$(\mathbf{S}_{\text{bulk}}, \mathbf{S}_{\text{bulk}}) = (-1)^{\hat{p}} \sum_{\xi} \oint_{\partial B_{\xi}} \pi \phi_{\xi}^* (\mathbf{R}^{\alpha} \mathbf{P}_{\alpha} - 2\mathbf{L}) = 0 ,$$

where the latter equality follows from the boundary conditions and the facts that  $\delta_{\mathbf{t}} \mathbf{L} \equiv \mathbf{K}_{\mathbf{t}} \equiv 0$  and that

$$\delta_{\mathbf{t}} \mathbf{P}_{\alpha} = -(-1)^{\alpha} \overrightarrow{\mathbf{t}} \partial_{\alpha} \mathcal{H} , \quad \delta_{\mathbf{t}} \mathbf{R}^{\alpha} = (-1)^{\hat{p}(\alpha+1)} \overrightarrow{\mathbf{R}}_X \overrightarrow{\mathbf{t}} \partial^{\alpha} \mathcal{H} ,$$

where  $\overrightarrow{\mathbf{R}}_X := \mathbf{R}^{\alpha} \partial_{\alpha}$ , implying

$$\delta_{\mathbf{t}} (\mathbf{R}^{\alpha} \mathbf{P}_{\alpha}) \equiv \overrightarrow{\mathbf{R}}_X \overrightarrow{\mathbf{t}} (\overrightarrow{\mathbf{P}} - 1) \mathcal{H} \equiv 0 .$$

↗ The AKSZ action  $\mathcal{S}_{\text{bulk}}$  solves the classical BV master equation

$$(\mathcal{S}_{\text{bulk}}, \mathcal{S}_{\text{bulk}}) = 0 \quad \Leftrightarrow \quad s^2 = 0 ,$$

subject to the functional boundary condition

$$\mathcal{S}_{\text{bulk}}[\phi|B]|_{\phi=\phi} = S_{\text{bulk}}^{\text{cl}}[\phi|B] .$$

# HAMILTONIAN ACTION PRINCIPLE ; CHIRAL TRACE

↪ Integration over  $\mathfrak{C}$  of a globally-defined  $(\hat{p} + 1)$ -form  $\mathcal{L}$  :

$$\int_{\mathfrak{C}} \mathcal{L} = \sum_{\xi} \int_{M_{\xi}} \text{Tr} [f_{\mathcal{L}}] ,$$

where  $f_{\mathcal{L}}$  denotes a symbol of  $\mathcal{L}$  and the chiral trace operation is defined by

$$\text{Tr} [f] = \sum_m \int_{\mathfrak{S} \times \mathfrak{Y}} \frac{d^2 y d^2 \bar{y}}{(2\pi)^2} \frac{f_{[m;2,2]}|_{k=0=\bar{k}}}{(2\pi)^2} , \quad (2)$$

using  $f_{[p]} = \sum_{\substack{m+q+\bar{q}=p \\ q, \bar{q} \leq 2}} f_{[m;q,\bar{q}]}$  with

$$f_{[m;q,\bar{q}]}(\lambda dX^M; \mu dz^{\alpha}, \bar{\mu} d\bar{z}^{\dot{\alpha}}) = \lambda^m \mu^q \bar{\mu}^{\bar{q}} f_{[m;q,\bar{q}]}(dX^M; dz^{\alpha}, d\bar{z}^{\dot{\alpha}}) . \quad (3)$$

One integrates over  $\{y^{\alpha}, z^{\alpha}\}$  and  $\{\bar{y}^{\dot{\alpha}}, \bar{z}^{\dot{\alpha}}\}$  viewed as real, independent variables.

# ACTION PRINCIPLE ; GRADED CYCLIC TRACE

This choice implies

$$\mathrm{Tr} [\pi(f)] = \mathrm{Tr} [\bar{\pi}(f)] = \mathrm{Tr} [f] ,$$

which in its turn implies graded cyclicity,

$$\mathrm{Tr} \left[ f_{[p]} \star f'_{[p']} \right] = (-1)^{pp'} \mathrm{Tr} \left[ f'_{[p']} \star f_{[p]} \right] ,$$

Furthermore

$$(\mathrm{Tr} [f])^\dagger = \mathrm{Tr} [(f)^\dagger] , \quad \mathrm{Tr} [P(f)] = \mathrm{Tr} [f] , \quad \mathrm{Tr} [\pi_k(f)] = \mathrm{Tr} [f] , \quad \text{where}$$

$$\pi_k : (k, \bar{k}) \mapsto (-k, -\bar{k}) ,$$

$$P[f(X^M; z^\alpha, \bar{z}^{\dot{\alpha}}; y^\alpha, \bar{y}^{\dot{\alpha}}; k, \bar{k})] = (Pf)(X^M; -\bar{z}^{\dot{\alpha}}, -z^\alpha; \bar{y}^{\dot{\alpha}}, y^\alpha; \bar{k}, k) .$$

[where  $Pf$  is expanded in terms of parity-reversed component fields,]

# ODD-DIMENSIONAL BULK ( $\hat{p} \in 2\mathbb{N}$ )

$\hookrightarrow$  Finally, we assume that, off shell :  $\text{Tr} [\tau(f)] = \text{Tr} [f]$ , and that the integration over  $\mathfrak{C}$  is non-degenerate : If  $\text{Tr} [f \star g] = 0$  for all  $f$ , then  $g = 0$ .

In the case of an odd-dimensional base manifold of dimension  $\hat{p} + 1 = 2n + 5$  with  $n \in \{0, 1, 2, \dots\}$  such that  $\dim(M) = 2n + 1$ , we propose the bulk action

$$S_{\text{bulk}}^{\text{cl}}[\{A, B, U, V\}_\xi] = \sum_\xi \int_{M_\xi} \text{Tr} \left[ U \star DB + V \star \left( F + \mathcal{G}(B, U; J^I, J^{\bar{I}}, J^{I\bar{I}}) \right) \right]$$

with interaction freedom  $\mathcal{G}$  and locally-defined master fields ( $m = n + 2$ )

$$\begin{aligned} A &= A_{[1]} + A_{[3]} + \dots + A_{[2m-1]}, & B &= B_{[0]} + B_{[2]} + \dots + B_{[2m-2]}, \\ U &= U_{[2]} + U_{[4]} + \dots + U_{[2m]}, & V &= V_{[1]} + V_{[3]} + \dots + V_{[2m-1]}. \end{aligned}$$

# WHY SUCH AN EXTENSION ?

- Because we want a  $P$ -structure and only wedge products in the Lagrangian, (take  $n = 2$  here)  $U_{[8]}$  and  $V_{[7]}$  are not sufficient :  $U_{[8]} \star V_{[7]}$  is not of total degree  $9 = 4 + 1 + 4$ .
- $\mathcal{G}$  must be constrained in order for the action to be gauge invariant and in order to avoid systems that are trivial. We take

$$\begin{aligned}\mathcal{G} &= \mathcal{F}(B; J^I, J^{\bar{I}}, J^{I\bar{I}}) + \widetilde{\mathcal{F}}(U; J^I, J^{\bar{I}}, J^{I\bar{I}}) \quad , \\ \mathcal{F} &= \mathcal{F}_I(B) \star J_{[2]}^I + \mathcal{F}_{\bar{I}}(B) \star J_{[2]}^{\bar{I}} + \mathcal{F}_{I\bar{I}}(B) \star J_{[4]}^{I\bar{I}} \quad , \\ \widetilde{\mathcal{F}} &= \widetilde{\mathcal{F}}_I(U) \star J_{[2]}^I + \widetilde{\mathcal{F}}_{\bar{I}}(U) \star J_{[2]}^{\bar{I}} + \widetilde{\mathcal{F}}_{I\bar{I}}(U) \star J_{[4]}^{I\bar{I}} \quad ,\end{aligned}$$

where the central and closed elements

$$(J_{[2]}^I)_{I=1,2} = -\frac{i}{4}(1, k\kappa) \star P_+ \star d^2 z \quad , \quad (J_{[2]}^{\bar{I}})_{\bar{I}=\bar{1},\bar{2}} = -\frac{i}{4}(1, \bar{k}\bar{\kappa}) \star P_+ \star d^2 \bar{z}$$

$$J_{[4]}^{I\bar{I}} = 4i J_{[2]}^I J_{[2]}^{\bar{I}} \quad ,$$



# INTERACTION FREEDOM

Denoting  $Z^i = (A, B, U, V)$ , the general variation of the action defines generalized curvatures  $\mathcal{R}^i$  as follows :

$$\delta S = \sum_{\xi} \int_{M_{\xi}} \text{Tr} [\mathcal{R}^i \star \delta Z^j \mathcal{O}_{ij}] + \sum_{\xi} \int_{\partial M_{\xi}} \text{Tr} [U \star \delta B - V \star \delta A] ,$$

where one thus has

$$\begin{aligned} \mathcal{R}^A &= F + \mathcal{F} + \widetilde{\mathcal{F}} , & \mathcal{R}^B &= DB + (V \partial_U) \star \widetilde{\mathcal{F}} , \\ \mathcal{R}^U &= DU - (V \partial_B) \star \mathcal{F} , & \mathcal{R}^V &= DV + [B, U]_{\star} , \end{aligned}$$

with  $\mathcal{O}_{ij}$  being a constant non-degenerate matrix (defining a symplectic form of degree  $\hat{p} + 2$  on the  $\mathbb{N}$ -graded target space of the bulk theory).

# OBSTRUCTION TO CARTAN INTEGRABILITY ?

Generically there are obstructions to Cartan integrability of the unfolded equations of motion  $\mathcal{R}^i \approx 0$ . These obstructions vanish identically (without further algebraic constraints on  $Z^i$ ) in at least the following two cases :

$$\text{bilinear } Q\text{-structure} \quad : \quad \mathcal{F} = B \star J, \quad J = J_{[2]} + J_{[4]},$$

$$\text{bilinear } P\text{-structure} \quad : \quad \widetilde{\mathcal{F}} = U \star J', \quad J' = J'_{[2]} + J'_{[4]}.$$

where  $B \star J_{[2]} = B \star (b_I J_{[2]}^I + b_{\bar{I}} J_{[2]}^{\bar{I}})$ ,  $B \star J_{[4]} = B \star (c_{I\bar{I}} J_{[4]}^{I\bar{I}})$ , *idem*  $J'$ .

# CONSISTENCY

Recall that if  $\mathcal{R}^i = dZ^i + \mathcal{Q}^i(Z^j)$  defines a set of generalized curvatures, then one has the following three equivalent statements :

- (I)  $\mathcal{R}^i$  obey a set of generalized Bianchi identities  $d\mathcal{R}^i - (\mathcal{R}^j \partial_j) \star \mathcal{Q}^i \equiv 0$ ;
- (II)  $\mathcal{R}^i$  transform into each other under Cartan gauge transformations  $\delta_\varepsilon Z^i = d\varepsilon^i - (\varepsilon^j \partial_j) \star \mathcal{Q}^i$  ; and
- (III) the quantity  $\overrightarrow{\mathcal{Q}} := \mathcal{Q}^i \partial_i$  is a  $Q$ -structure, *i.e.* a nilpotent  $\star$ -vector field of degree one in target space, *viz.*  $\overrightarrow{\mathcal{Q}} \star \mathcal{Q}^i \equiv 0$ .

Furthermore, in the case of differential algebras on commutative base manifolds, one can show that if  $\mathcal{R}^i$  are defined via a variational principle as above (with constant  $\mathcal{O}_{ij}$ ), then the action  $S$  remains invariant under  $\delta_\varepsilon Z^i$ .

# CARTAN GAUGE TRANSFORMATIONS

In the two Cartan integrable cases at hand, one thus has the on-shell Cartan gauge transformations

$$\delta_{\epsilon,\eta}A = D\epsilon^A - (\epsilon^B \partial_B) \star \mathcal{F} - (\eta^U \partial_U) \star \widetilde{\mathcal{F}} ,$$

$$\delta_{\epsilon,\eta}B = D\epsilon^B - [\epsilon^A, B]_\star - (\eta^V \partial_U) \star \widetilde{\mathcal{F}} - (\eta^U \partial_U) \star (V \partial_U) \star \widetilde{\mathcal{F}} ,$$

$$\delta_{\epsilon,\eta}U = D\eta^U - [\epsilon^A, U]_\star + (\eta^V \partial_B) \star \mathcal{F} + (\epsilon^B \partial_B) \star (V \partial_B) \star \mathcal{F} ,$$

$$\delta_{\epsilon,\eta}V = D\eta^V - [\epsilon^A, V]_\star - [\epsilon^B, U]_\star + [\eta^U, B]_\star .$$

These transformations remain symmetries off shell, although we are in the context of graded non-commutative (but still associative) base manifold.

# CARTAN GAUGE ALGEBRA

⟶ More precisely, the  $(\epsilon^A; \epsilon^B)$ -symmetries leave the Lagrangian invariant while the  $(\eta^U, \eta^V)$ -symmetries transform the Lagrangian into a nontrivial total derivative, *viz.*

$$\delta_{\epsilon, \eta} \mathcal{L} \equiv d \left( \text{Tr} \left[ \eta^U \star \mathcal{K}_U + \eta^V \star \mathcal{K}_V \right] \right) ,$$

for  $(\mathcal{K}_U, \mathcal{K}_V)$  that are not identically zero. It follows that the Cartan gauge algebra  $\mathfrak{g}$  is of the form

$$\mathfrak{g} \cong \mathfrak{g}_1 \oplus \mathfrak{g}_2$$

with  $\mathfrak{g}_1 \cong \text{span}\{\epsilon^A, \epsilon^B\}$  and  $\mathfrak{g}_2 \cong \text{span}\{\eta^U, \eta^V\}$ , as one can verify explicitly.

⟶ In order for the variational principle to be globally well-defined, one has (like in PSM) to impose the following :

$$(U, V)|_{\partial M} = 0 .$$

# GLOBAL FORMULATION

Exponentiation of the infinitesimal Cartan gauge transformations leads to locally defined gauge orbits consisting of elements

$$\begin{aligned} Z_{\lambda, d\lambda; Z_0}^i &= \mathcal{G}_{\lambda, d\lambda; Z} \star Z^i|_{Z^i=Z_0^i} , \\ \mathcal{G}_{\lambda, d\lambda; Z} &:= \exp_{\star} \overrightarrow{\mathcal{F}}_{\lambda, d\lambda; Z} , \quad \overrightarrow{\mathcal{F}}_{\lambda, d\lambda; Z} := (d\lambda^i - (\lambda^j \partial_j) \star \mathcal{Q}^i) \frac{\partial}{\partial Z^i} , \end{aligned}$$

where  $\lambda^i$  and  $Z_0^i$ , respectively, are gauge functions and representatives of the orbits defined in coordinate charts of the base manifold. On shell, one has

$$dZ_0^i + \mathcal{Q}^i(Z_0^j) \approx 0 \quad \Rightarrow \quad dZ_{\lambda, d\lambda; Z_0}^i + \mathcal{Q}^i(Z_{\lambda, d\lambda; Z_0}^j) \approx 0 .$$

# UNBROKEN PHASE, GLOBAL FORMULATION

From  $\delta_{\epsilon, \eta} \mathcal{L} = d(T(\eta, Z))$  it also follows that  $(\eta^U, \eta^V) \in \mathfrak{g}_2$  need to be defined globally on  $M$ , that is,  $(\eta^U, \eta^V)|_{\xi}$  and  $(\eta^U, \eta^V)|_{\xi'}$  must be related by transition functions across the chart boundary between  $M_{\xi}$  and  $M_{\xi'}$  (in practice : take  $(\eta_{\xi}^U, \eta_{\xi}^V)$  to have compact support in  $M_{\xi}$ ).

The unbroken phase of the theory [no impurities inserted] thus consists of local representatives  $Z_{\xi}^i = (A, B; U, V)|_{\xi}$  defined up to gauge transformations with parameters  $(\epsilon_{\xi}^A; \epsilon_{\xi}^B)$  that are unrestricted on  $\partial M_{\xi}$  and parameters  $(\eta_{\xi}^U, \eta_{\xi}^V)$  with the aforementioned restrictions on  $\partial M_{\xi}$ , with transitions of the form

$$Z_{\xi}^i = \mathcal{G}_{\xi}^{\xi'} \star Z_{\xi'}^i \quad \text{defined on } M_{\xi} \cap M_{\xi'}$$

where  $\mathcal{G}_{\xi}^{\xi'} = \exp_{\star} \vec{\mathcal{F}}_{t, dt; Z}|_{\xi}^{\xi'}$  with transition functions  $t_{\xi}^{\xi'} \in \mathfrak{g}_1$  on  $M_{\xi} \cap M_{\xi'}$ .

# CLASSICAL SOLUTIONS, BOUNDARY CONDITIONS

The natural boundary conditions compatible with the locally defined gauge symmetries are the Dirichlet conditions  $(U, V)|_{\partial M} = 0$ .

In summary, on top of the above BC, a classical solution can thus be specified by fixing

- (I) the transition functions  $\{t_{\xi}^{\xi'}\} \in \mathfrak{l} \subseteq \mathfrak{g}_1$  ;
- (II) an initial datum for the zero-form  $B_{[0]}$ , say

$$B_{[0]}|_p = C(Y; k, \bar{k}) , \quad (4)$$

at some given point  $p \in \mathfrak{B}$  in the base manifold ;

- (III) boundary conditions on the gauge functions associated with the softly-broken gauge symmetries, *viz.*

$$\lambda|_{\partial M} \quad \text{for} \quad \lambda \in \mathfrak{g}_1/\mathfrak{l} . \quad (5)$$