

"Universal"  
group cocycles

Ryszard Nest

Cocycles  
associated to  
a group action  
on

$n$ -categories

A group  $G$  acting on  
a category  $C$

A polarised Hilbert  
space

A group  $G$  acting on  
a 2-category  $C$

Two  
dimensional  
analogue of a  
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Two-morphisms, I

Two-morphisms II

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1st May 2016

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Joint work with

Jens Kaad, Jesse Wolfson

Suppose that  $G$  is a group acting strictly on a category  $\mathcal{C}$  enriched over the category of bimodules over a ring  $R$ .

## Basic assumptions

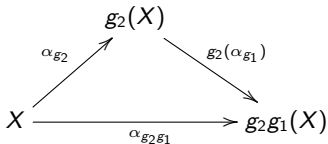
- For any object  $X$  of  $\mathcal{C}$ , there exists a non-zero morphism in  $Mor_{\mathcal{C}}(X, g(X))$
- For any object  $X$  of  $\mathcal{C}$ ,  $Aut_{\mathcal{C}}(X, X) = R^*$ .

Fix an object  $X$  of  $\mathcal{C}$  and, for any  $g \in G$ , choose an invertible morphism  $\alpha_g \in Mor_{\mathcal{C}}(X, g(X))$ .

## Definition

$$c(g_1, g_2) = \alpha_{g_2 g_1}^{-1} \circ g_2(\alpha_{g_1}) \circ \alpha_{g_2} \in Aut(X) = R^*.$$

The picture is as follows



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## Theorem

*$c(g_1, g_2)$  is an  $R^*$ -valued cocycle on  $G$  and its class in  $H^2(G, R^*)$  is independent of the choices made.*

A version of this construction is due to Brylinski.

## Example: Loop group extension.

Suppose that  $(H, H_+)$  is a polarised Hilbert space, and  $GL_{res}(H)$  is the corresponding group of automorphisms. If  $P$  denotes the orthogonal projection onto the subspace  $H_+$ ,  $GL_{res}(H)$  consists of bounded invertible linear transformations  $u$  of  $H$  satisfying

$$u^{-1}[u, P] \in \mathcal{L}^2(H).$$

Recall that the determinant of a Fredholm operator  $T$  is the complex line

$$\det(T) = \Lambda^{top} Ker(T) \otimes \Lambda^{top} Coker(T)^*$$

The category  $\mathcal{C}$  is defined as follows

- Objects of  $\mathcal{C}$  are given by group elements  $u \in G$ ;
- $Mor(u, v) = \det(vPv^{-1}uPu^{-1})$ , where  $vPv^{-1}uPu^{-1}$  is considered a Fredholm operator from  $uH_+$  to  $vH_+$ .
- The composition of morphisms is given by the composition of maps

$$\det(wPw^{-1}vPv^{-1}) \otimes \det(vPv^{-1}uPu^{-1}) \rightarrow \det(wPw^{-1}vPv^{-1}uPu^{-1})$$

and

$$\det(wPw^{-1}vPv^{-1}uPu^{-1}) \rightarrow \det(wPw^{-1}uPu^{-1})$$

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Some more comments on this. The top map is a natural isomorphism, corresponding to the fact that Index map is additive on Fredholm operators. For the bottom map note that

$$wPw^{-1}PuPu^{-1} - wPw^{-1}uPu^{-1} = -wP[w^{-1}, P][P, u]Pu^{-1}$$

which, by our assumption, is in  $\mathcal{L}^1(H)$ . Given that, we use the perturbation isomorphism, given by the following theorem.

First a definition. A Fredholm complex is a finite complex  $\mathcal{C} = \{\mathcal{H}_i, T_i\}$  of Hilbert spaces with finite dimensional cohomology and where all the boundary maps have closed range. The determinant of such a complex is given by

$$\det(\mathcal{C}) = \bigotimes_{n=0 \bmod(2)} \Lambda^{\text{top}}(H^n(\mathcal{C})) \otimes \bigotimes_{n=1 \bmod(2)} \Lambda^{\text{top}}(H^n(\mathcal{C}))^*$$

## Theorem

*Suppose that  $T$  is a Fredholm complex and that  $T + \delta$ ,  $\delta \in \mathcal{L}^1(H)$ , its perturbation. There exists a canonical isomorphism*

$$\det(T) \rightarrow \det(T + \delta)$$

*compatible with composition of perturbations and the mapping cone construction of intertwiners of Fredholm complexes.*

The group 2-cocycle constructed above leads to

① The universal loop group extension

$$1 \rightarrow \mathbb{T} \rightarrow \widetilde{LK} \rightarrow LK \rightarrow 1$$

via the standard construction (for a compact Lie group  $K$ ).

Choose a finite dimensional unitary representation  $h$  of  $K$  and set

$$H = L^2(\mathbb{T}, h), H_+ = H^2(\mathbb{T}, h) \subset H,$$

where  $H^2$  is the Hardy space of functions of the form  $\sum_{n \geq 0} a(n)e^{in\theta}$ ,  $\theta$  the coordinate on  $\mathbb{T}$ . The natural action of  $LK = C^\infty(\mathbb{T}, K)$  on  $H$  gives a homomorphism  $LK \subset GL_{res}(H)$ .

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② Given an algebra  $A \subset B(H)$  such that  $A^{inv} \subset GL_{res}(H)$ , any two commuting invertible elements  $u, v \in A^{inv}$  produces an element

$$\{u, v\} \in K_2^{alg}(A).$$

The map from  $K_*^{alg}(A) \rightarrow H_*(GL(A), \mathbb{Z})$  produces a group cycle

$$ch\{u, v\} = u \otimes v - v \otimes u$$

and the pairing with the group two cocycle constructed above produces the Tate symbol  $[u, v] \in \mathbb{C}^*$ .

In another disguise this is the universal Connes-Karoubi cocycle associated to a two-summable Fredholm module

$$(A, H, F).$$

Here  $H = H_+ \oplus H_-$ ,  $F$  is a partial isometry with domain  $H_+$  and range  $H_-$  and  $A$  is the subalgebra of bounded operators on  $H$  satisfying  $[a, F], [a, F^*] \in \mathcal{L}^2$ . This is more or less immediate consequence of the definitions.

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Suppose that  $G$  is a group acting strictly on a category  $\mathcal{C}$  enriched over a the category of bimodules over a ring  $R$ .

### Basic assumptions

We will assume that  $\mathcal{C}$  satisfies the following conditions.

- For any two objects  $X$  and  $Y$  of  $\mathcal{C}$ ,  $Mor_1(X, Y)$  contains an invertible element.
- For any 1-isomorphism  $\alpha \in Mor_1(X, Y)$ ,  $Mor_2(\alpha, \alpha) = R$ .
- For any two 1-morphisms  $\alpha$  and  $\beta$  in  $Mor_1(X, Y)$ ,  $Mor_2(\alpha, \beta)$  contains an invertible element .

We fix an object  $X \in \mathcal{C}$  and, for any  $g \in G$ , a 1-isomorphism

$$\alpha_g : X \rightarrow g(X).$$

For a pair  $g_1, g_2 \in G$ , above choice produces a pair of 1-morphisms

$$\alpha_{g_1 g_2} : X \rightarrow g_1 g_2(X)$$

and

$$g_1(\alpha_{g_2}) \circ_1 \alpha_{g_1} : X \xrightarrow{g_1} g_1(X) \xrightarrow{g_1(\alpha_{g_2})} g_1(g_2(X)).$$

By assumption, we can choose a 2-isomorphism

$$\beta_{g_1, g_2} : \alpha_{g_1 g_2} \Longrightarrow g_1(\alpha_{g_2}) \circ_1 \alpha_{g_1}.$$

The  $\beta$ 's are the two morphisms associated to the 2-simplices according to the rule

$$\begin{array}{ccc}
 & g_2(X) & \\
 \alpha_{g_2} \nearrow & \uparrow \beta_{g_1, g_2} & \searrow g_2(\alpha_{g_1}) \\
 X & \xrightarrow{\alpha_{g_2 g_1}} & g_2 g_1(X)
 \end{array}$$

## Definition

Given elements  $g_1, g_2, g_3$  of  $G$ , we set

$$c(g_1, g_2, g_3) = \beta_{g_1, g_2 g_3}^{-1} \circ_2 g_1(\beta_{g_2, g_3}^{-1}) \otimes 1 \circ_2 (1 \otimes \beta_{g_1, g_2}) \circ \beta_{g_1 g_2, g_3} \in \text{Aut}(\alpha_{g_1 g_2 g_3})$$

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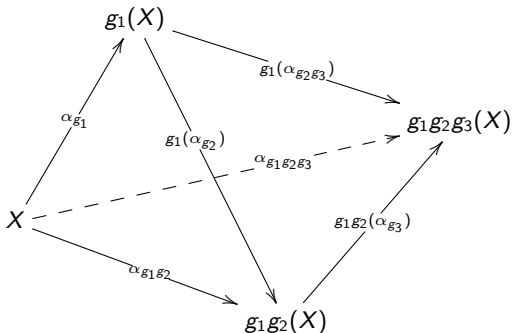
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The following picture might help.



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*$c$  is an  $R^*$ -valued cocycle on the group  $G$  and its class in  $H^3(G, R^*)$  is independent of the choices made above.*

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The same kind of construction works in higher dimensions. Given an action of a group  $G$  on an  $n$ -category with the same type of properties as above, there is an associated group  $(n+1)$ -cocycle. This, in particular, applies to Tate spaces.

The associated group cocycles are *conjecturally* the same as Beilinson regulators on the  $K$ -theory of the associated field of fractions. The first non-trivial case is the field  $\mathbb{C}((s))((t))$ .

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*The main question is whether one can construct analogous higher cocycles in an analytic context. These should exist since, by work of Connes and Karoubi,  $\mathcal{L}^p$ -summable Fredholm modules produce functionals on  $K_n^{\text{alg}}$ . The first interesting case is that of a two-category.*

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As noted above, a polarisation of a Hilbert space  $H$  leads to a central extension of the restricted general linear group of  $H$ . Our higher analogue of a polarisation will be as follows.

### Basic assumptions

$P$  and  $Q$  are two idempotents in  $\mathcal{L}(H)$  and  $G$  is a subgroup of  $GL(H)$  satisfying the following conditions.

For any two elements  $g$  and  $h$  of  $G$ ,

- 1  $[gPg^{-1}, hQh^{-1}] \in \mathcal{L}^2(H)$ ;
- 2  $[g, P][h, Q] \in \mathcal{L}^2(H)$ .

A quick comment. In distinction to the one-dimensional case, given two idempotents in general position, there is no "universal" group  $G$  satisfying the conditions above.

## Definition

The two category  $\mathcal{C}$  has

① Objects

$$\text{Obj}(\mathcal{C}) = G$$

Alternatively, idempotents  $Q_u, u \in G$ , where  $Q_u = uQu^{-1}$ .

② 1-morphisms from  $u$  to  $v$  are given by finite sequences of the form

$$\{u \xrightarrow{g_1} w_1 \xrightarrow{g_2} w_2 \longrightarrow \dots \xrightarrow{g_k} w_k \xrightarrow{g_{k+1}} v\}$$

where  $w_1, \dots, w_k, g_1, g_2, \dots, g_{k+1} \in G$ . The composition of one-morphisms is given by concatenation of paths.



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where  $w_1, \dots, w_k, g_1, g_2, \dots, g_{k+1} \in G$ . The composition of one-morphisms is given by concatenation of paths.

*The definition (construction) of the two-morphisms will occupy us for most of the rest of this talk.*

First a regularised version of the K-theory class  $[Q_u] - [Q_v]$ . Set

$$F = uQu^{-1}vQv^{-1} + u(1 - Q)v^{-1},$$

$$G = vQv^{-1}uQu^{-1} + v(1 - Q)u^{-1}$$

and

$$E = \begin{pmatrix} (2 - FG)FG & (1 - FG)(2 - FG)F \\ G(1 - FG) & (1 - GF)^2 \end{pmatrix}, E_0 = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$$

$E$  and  $E_0$  are both bounded idempotents, acting on the direct sum  $H \oplus H$ .

We will think of  $[E] - [E_0]$  as a representative of  $[Q_u] - [Q_v]$  in the appropriate  $K(I)$ -group, where  $I$  is the ideal generated by the products  $(Q_u - Q)(Q_v - Q)$ , where  $u, v \in G$  and we use the notation  $Q_u = uQu^{-1}$ .

In fact, one checks that the matrices  $E - E_0$  has coefficients within the ideal generated by products of the form  $(Q_u - Q)(Q_v - Q)$ ,  $u, v \in G$ .

Before we start, a useful analytic result.

## Lemma

Let  $p \in [1, \infty)$  and suppose that  $T : H \rightarrow H$  is a bounded operator with  $T^2 - T \in \mathcal{L}^p(H)$ . Then there exists a bounded idempotent  $E : H \rightarrow H$  with  $T - E \in \mathcal{L}^p(H)$ . In particular, suppose that  $E, F : H \rightarrow H$  are two idempotents with  $[E, F] \in \mathcal{L}^2(H)$ . Then there exists an idempotent  $E' : EH \rightarrow EH$  such that  $EFE - E' \in \mathcal{L}^1(EH)$ .

We fix two objects of our category, say invertible elements  $u, v \in G$ .

Suppose first that we have a pair of elements of  $Mor_1(u, v)$  of the form

$$\{u \xrightarrow{g} v\} \quad \text{and} \quad \{u \xrightarrow{k} v\}$$

**Step 1.**

Set

$$K = \left\{ \begin{array}{cc} P_g P_k & P_g(1 - P_k P_g) \\ P_k(1 - P_g P_k) & (P_k P_g)^2 - 2P_k P_g \end{array} \right\}.$$

and

$$L = \left\{ \begin{array}{cc} 2P_k P_g - (P_k P_g)^2 & P_k(1 - P_g P_k) \\ P_g(1 - P_k P_g) & -P_g P_k \end{array} \right\},$$

where we use the notation

$$P_g = \text{diag} (gPg^{-1}, gPg^{-1}).$$

By the above lemma we can choose, for each pair  $u, g \in G$ , a bounded idempotent  $E_g^u$  such that

- 1  $[E_g^u, P_g] = 0$  and
- 2  $E_g^u - P_g Q_u P_g \in \mathcal{L}^1(H)$

$$\begin{aligned} T_{(g,k)}^{(u,v)} &:= (E_g \oplus E_k^0 \oplus E_g^0 \oplus E_k^0)(K \oplus L)(E_k \oplus E_g^0 \oplus E_k^0 \oplus E_g^0) \\ &: \text{Rg} (E_k \oplus E_g^0 \oplus E_k^0 \oplus E_g^0) \rightarrow \text{Rg} (E_g \oplus E_k^0 \oplus E_g^0 \oplus E_k^0) \end{aligned}$$

$T_{(g,k)}^{(u,v)}$  is a Fredholm operator

## Definition

$$\text{Mor}_2 \left( \{u \xrightarrow{g} v\}, \{u \xrightarrow{k} v\} \right) = \text{Det} \left( T_{(g,k)}^{(u,v)} \right).$$

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The main point of the above is a "fancy" way of writing the following. Suppose that  $P$ 's and  $Q$ 's commute. The pairing of the "Fredholm module"  $\left\{ \begin{array}{cc} 0 & P_g P_k \\ P_k P_g & 0 \end{array} \right\}$  to a " $K_0$ -class"  $[Q_u] - [Q_v]$  produces a Fredholm operator

$$P_g \oplus P_k : P_k Q_u H \oplus P_g Q_v H \rightarrow P_g Q_v H \oplus P_k Q_u H$$

and the two-morphisms are given by its determinant line. The concrete choices made above allow us to compose two-morphisms.

To be more concrete, let us sketch the construction of the composition

$$\begin{aligned} \text{Mor}_2 \left( \{u \xrightarrow{g} v\}, \{u \xrightarrow{k} v\} \right) \times \text{Mor}_2 \left( \{u \xrightarrow{k} v\}, \{u \xrightarrow{l} v\} \right) \\ \rightarrow \text{Mor}_2 \left( \{u \xrightarrow{g} v\}, \{u \xrightarrow{l} v\} \right) \end{aligned}$$

In the construction of the line of two morphisms it is only the  $K$ -part that plays non-trivial role, so what we have is the following picture.

$$E_k \oplus E_g^0 \xrightarrow{K_{g,k}} E_g \oplus E_k^0$$

$$E_l \oplus E_k^0 \xrightarrow{K_{k,l}} E_k \oplus E_l^0$$

We replace  $K_{g,k}$  by  $K_{g,k} \oplus 1_{E_l H}$  and  $K_{k,l}$  by  $K_{k,l} \oplus 1_{E_g H}$ . The composition

$$(K_{k,l} \oplus 1_{E_g H}) \circ (K_{g,k} \oplus 1_{E_l H})$$

differs from  $K_{k,l} \oplus 1_{E_k H}$  by an operator in  $\mathcal{L}^1(H)$ , hence there is a canonical perturbation isomorphism producing the composition of corresponding two-morphisms.

Given two strings

$$\{u \xrightarrow{g_1} w_1 \xrightarrow{g_2} w_2 \longrightarrow \dots \xrightarrow{g_k} w_k \xrightarrow{g_{k+1}} v\}$$

and

$$\{u \xrightarrow{k_1} v_1 \xrightarrow{k_2} v_2 \longrightarrow \dots \xrightarrow{k_n} v_n \xrightarrow{k_{n+1}} v\}$$

we refine them to the form

$$\{u \xrightarrow{g_1} v_1 \xrightarrow{g_1} \dots \xrightarrow{g_1} v_n \xrightarrow{g_1} w_1 \xrightarrow{g_2} \dots \xrightarrow{g_k} w_k \xrightarrow{g_{k+1}} v\}$$

and

$$\{u \xrightarrow{k_1} v_1 \xrightarrow{k_2} \dots \xrightarrow{k_n} v_n \xrightarrow{k_{n+1}} w_1 \xrightarrow{k_{n+1}} \dots \xrightarrow{k_{n+1}} w_k \xrightarrow{k_{n+1}} v\}$$

and the line of two-morphisms is given by the tensor product of the lines of two-morphisms between two length one strings constructed above.



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## Theorem

*The construction above does define a two-category.*

## The above construction produces non-trivial cocycles!

Set  $H = L^2(\mathbb{T}^2)$ ,  $Q$  and  $P$  the corresponding projections onto functions holomorphic in the first and second variable. Then we can choose  $G = C^\infty(\mathbb{T}^2)^{inv}$ . The computation of the corresponding group 3-cocycle gives, for a constant function  $\lambda$ ,

$$c(z_1, z_2, \lambda) = \lambda.$$

In particular,  $c$  gives an extension of the Tate symbol from  $K_3^{alg}(C((z_1))((z_2)))$  to  $K_3^{alg}(C^\infty(\mathbb{T}^2))$ .