

Open-string non-associativity in an R -flux background

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Bayrischzell Workshop

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D-branes in flux backgrounds

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This talk is (partially) based on the following papers ::

- *Open-string non-associativity in an R-flux background*
w/ D. Lüst, E. Malek, M. Syväri
arXiv:1903.05581
- *Open-string T-duality and applications to non-geometric backgrounds*
w/ F. Cordonier-Tello, D. Lüst
arXiv:1806.01308, JHEP 1808 198
- *Non-geometric backgrounds in string theory*
arXiv:1811.11203, Phys.Rept. 798

1. motivation
2. non-geometric backgrounds
3. d-branes & freed-witten anomaly
4. open-string non-associativity
5. conclusions

1. motivation
2. non-geometric backgrounds
3. d-branes & freed-witten anomaly
4. open-string non-associativity
5. conclusions

String theory describes the dynamics of strings in a background space.

String-theory **backgrounds** are specified by a choice of ::

- space — broadly defined,
- vacuum expectation values for the fields of the theory (metric, field strengths, dilaton),
- D-branes and orientifold planes.

Properties ::

- A background is constrained by various **consistency conditions** (solution to eom, absence of anomalies, ...), which relate its data in an intricate way.
- String-backgrounds can have properties very different from point-particle configurations, such as **non-commutative** or **non-associative** behaviour.

This talk ::

- Discuss the interplay between **D-branes** and (non-)geometric **fluxes**.
- Investigate **non-associativity** of open strings on D-branes.

1. motivation
2. non-geometric backgrounds
3. d-branes & freed-witten anomaly
4. open-string non-associativity
5. conclusions

1. motivation
2. non-geometric backgrounds
 - a) generalities
 - b) t-duality
 - c) example
 - d) summary
3. d-branes & freed-witten anomaly
4. open-string non-associativity
5. conclusions

1. motivation
2. non-geometric backgrounds
 - a) generalities
 - b) t-duality
 - c) example
 - d) summary
3. d-branes & freed-witten anomaly
4. open-string non-associativity
5. conclusions

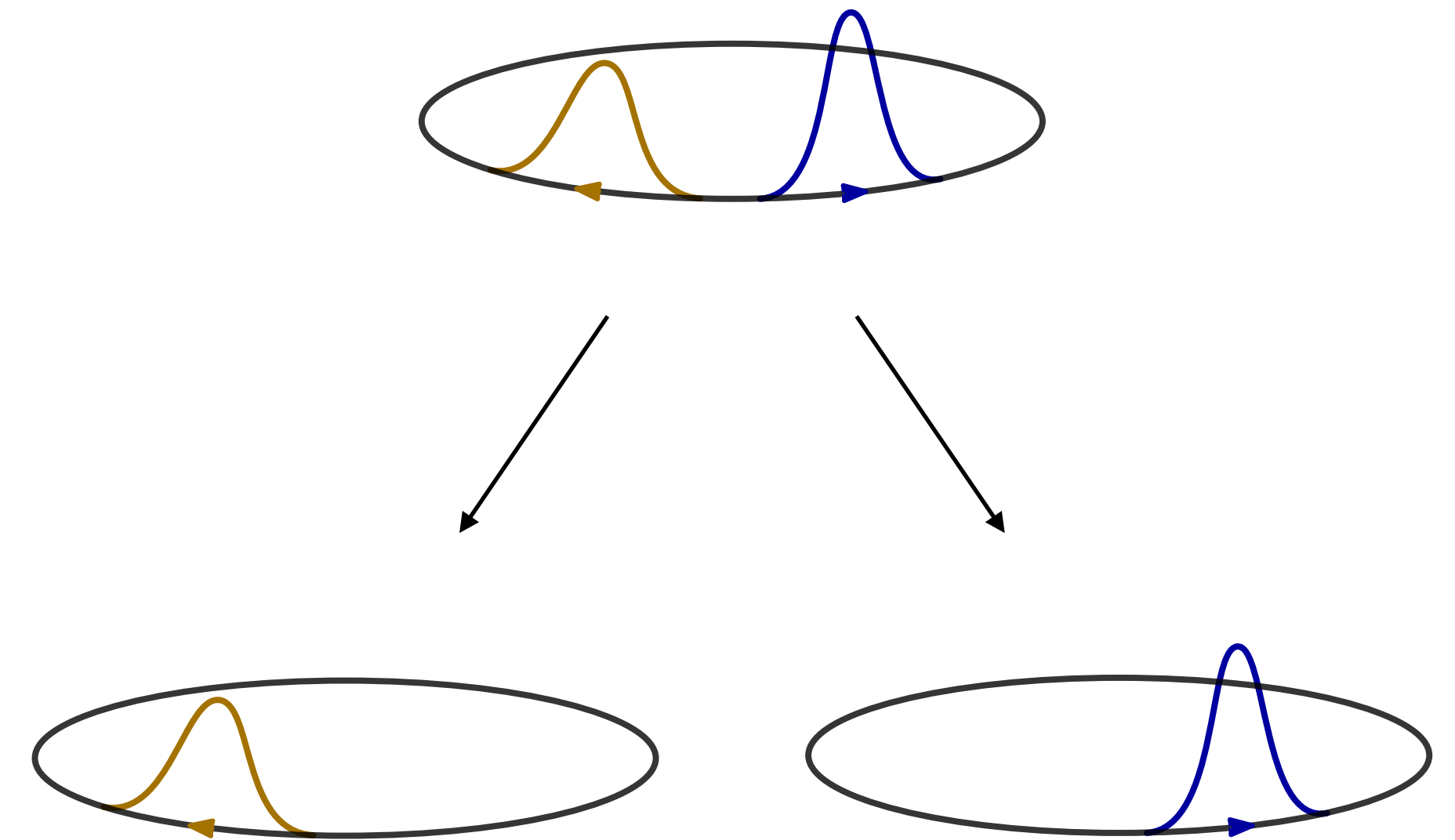
The **equation of motion** for a closed string (in the simplest setting) is the **wave equation** in two dimensions

$$0 = \partial_\alpha \partial^\alpha X^\mu(\sigma).$$

- The general solution splits into a left-moving and right-moving part

$$X^\mu(\sigma^0, \sigma^1) = X_L^\mu(\sigma^0 + \sigma^1) + X_R^\mu(\sigma^0 - \sigma^1).$$

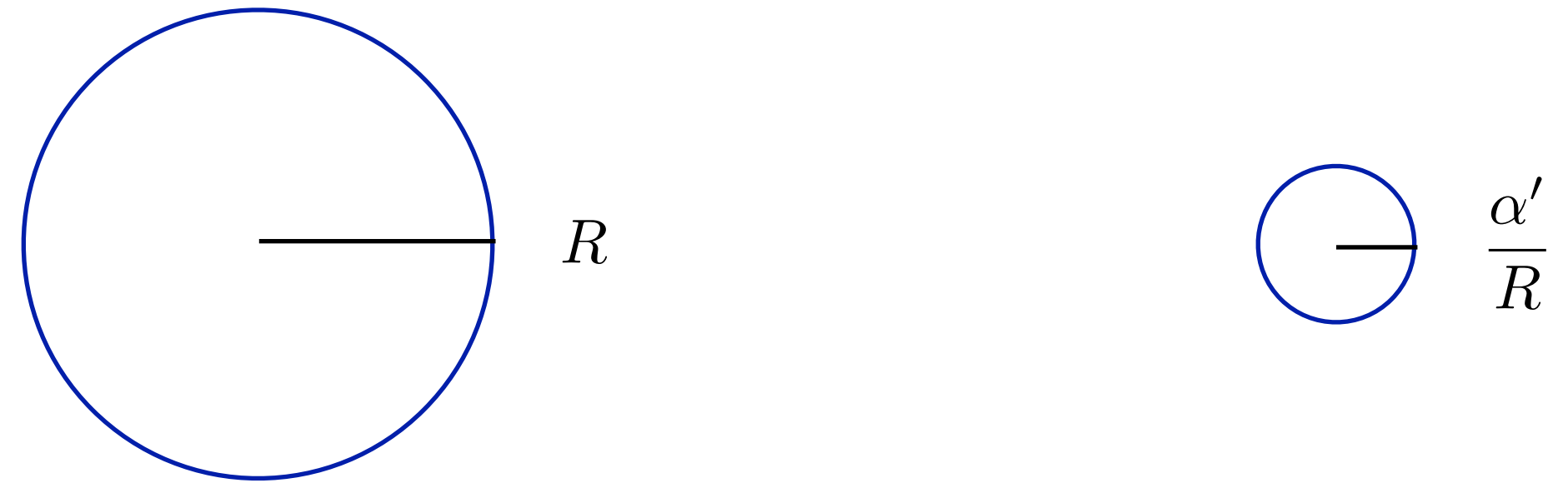
- If both parts see the same geometry, the space is **geometric**.
- If the two parts see different geometries, the space is **non-geometric** (but well-defined for a string).



1. motivation
2. non-geometric backgrounds
 - a) generalities
 - b) t-duality**
 - c) example
 - d) summary
3. d-branes & freed-witten anomaly
4. open-string non-associativity
5. conclusions

T-duality ::

- Two string-theory **compactifications** on dual **circles** cannot be distinguished.



- The **duality group** for the circle is $\mathbb{Z}_2 \times \mathbb{Z}_2$.
- T-duality is a string-theory duality — not existing for point particles.

A string-theory **background** (in the NS-NS sector) is characterized by a choice of

- metric $G_{\mu\nu}$,
- anti-symmetric two-form $B_{\mu\nu}$,
- dilaton Φ .

T-duality **transformations** act on (G, B, Φ) in a non-trivial way (Buscher rules).

For D -dimensional toroidal compactifications the **duality group** is $O(D, D; \mathbb{Z})$,

- which for $\mathcal{O} \in O(D, D; \mathbb{Z})$ is specified by $\mathcal{O}^T \begin{pmatrix} 0 & \mathbb{1} \\ \mathbb{1} & 0 \end{pmatrix} \mathcal{O} = \begin{pmatrix} 0 & \mathbb{1} \\ \mathbb{1} & 0 \end{pmatrix}$.

The **duality group** $O(D, D; \mathbb{Z})$ contains the elements ::

- A-transformations ($A \in GL(D, \mathbb{Z})$)

$$\mathcal{O}_A = \begin{pmatrix} A^{-1} & 0 \\ 0 & A^T \end{pmatrix} \longrightarrow \text{diffeomorphisms}$$

- B-transformations (B_{ij} an anti-symmetric matrix)

$$\mathcal{O}_B = \begin{pmatrix} \mathbb{1} & 0 \\ B & \mathbb{1} \end{pmatrix} \longrightarrow \text{gauge transformations } B \rightarrow B + \alpha' B$$

- β -transformations (β^{ij} an anti-symmetric matrix)

$$\mathcal{O}_\beta = \begin{pmatrix} \mathbb{1} & \beta \\ 0 & \mathbb{1} \end{pmatrix}$$

- factorized duality (E_i with only non-zero $E_{ii} = 1$)

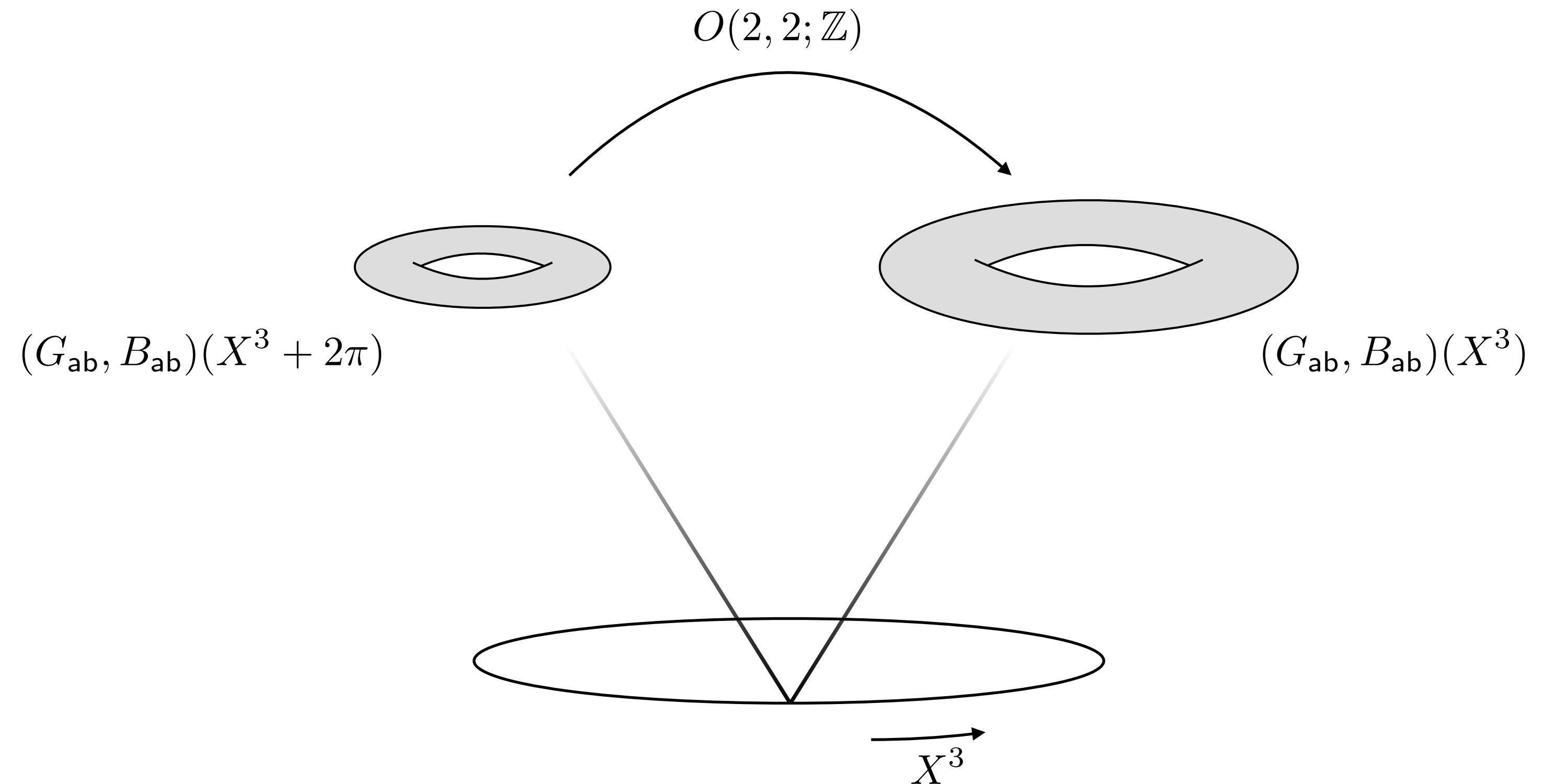
$$\mathcal{O}_{\pm i} = \begin{pmatrix} \mathbb{1} - E_i & \pm E_i \\ \pm E_i & \mathbb{1} - E_i \end{pmatrix} \longrightarrow \text{T-duality transformations } G_{ii} \rightarrow \frac{\alpha'^2}{G_{ii}}$$

1. motivation
2. non-geometric backgrounds
 - a) generalities
 - b) t-duality
 - c) example
 - d) summary
3. d-branes & freed-witten anomaly
4. open-string non-associativity
5. conclusions

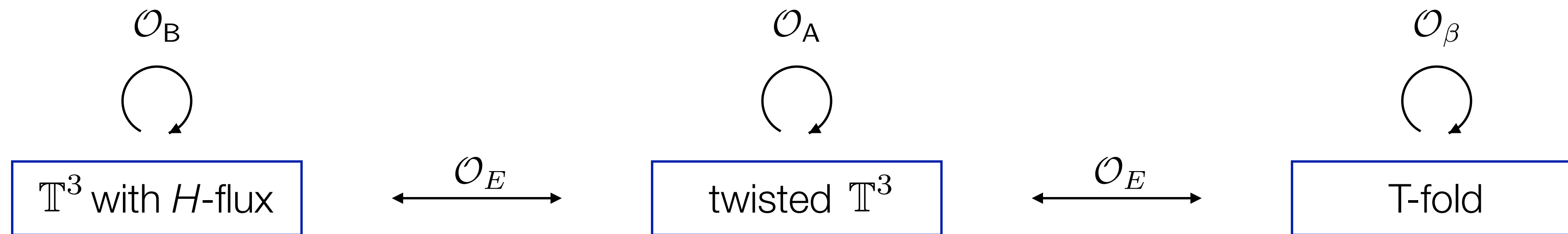
The **standard example** for a non-geometric background is a \mathbb{T}^2 -fibration over a circle.

$$G_{ij} = \begin{pmatrix} G_{ab}(X^3) & 0 \\ 0 & R_3^2 \end{pmatrix}$$

$$B_{ij} = \begin{pmatrix} B_{ab}(X^3) & 0 \\ 0 & 0 \end{pmatrix}$$



The non-geometric background is part of a **family** of \mathbb{T}^2 -fibrations ::



A **three-torus with H -flux** is characterized as follows ::

1. Metric and B -field

$$G_{ij} = \begin{pmatrix} R_1^2 & 0 & 0 \\ 0 & R_2^2 & 0 \\ 0 & 0 & R_3^2 \end{pmatrix}, \quad B_{ij} = \begin{pmatrix} 0 & +\frac{\alpha'}{2\pi}h X^3 & 0 \\ -\frac{\alpha'}{2\pi}h X^3 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad h \in \mathbb{Z}.$$

2. The background is well-defined under $X^3 \rightarrow X^3 + 2\pi$ using a **gauge transformation**.

3. The H -flux $H = dB$ can be expressed in a vielbein basis as

$$H = \frac{\alpha'}{2\pi} \frac{h}{R_1 R_2 R_3} e^1 \wedge e^2 \wedge e^3, \quad H_{123} = \frac{\alpha'}{2\pi} \frac{h}{R_1 R_2 R_3}.$$

After a T-duality along X^1 one obtains a **twisted three-torus** ::

1. Metric and B -field

$$G_{ij} = \begin{pmatrix} \frac{\alpha'^2}{R_1^2} & -\frac{\alpha'^2}{R_1^2} \frac{h}{2\pi} X^3 & 0 \\ -\frac{\alpha'^2}{R_1^2} \frac{h}{2\pi} X^3 & R_2^2 + \frac{\alpha'^2}{R_1^2} \left[\frac{h}{2\pi} X^3 \right]^2 & 0 \\ 0 & 0 & R_3^2 \end{pmatrix}, \quad B_{ij} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad h \in \mathbb{Z}.$$

2. The background is well-defined under $X^3 \rightarrow X^3 + 2\pi$ using a **diffeomorphism**.

3. A geometric f -flux is defined via a vielbein basis as

$$de^a = \frac{1}{2} f_{bc}{}^a e^b \wedge e^c, \quad f_{23}{}^1 = \frac{\alpha'}{2\pi} \frac{h}{R_1 R_2 R_3}.$$

A second T-duality along X^2 gives the **T-fold** background ::

1. Metric and B -field

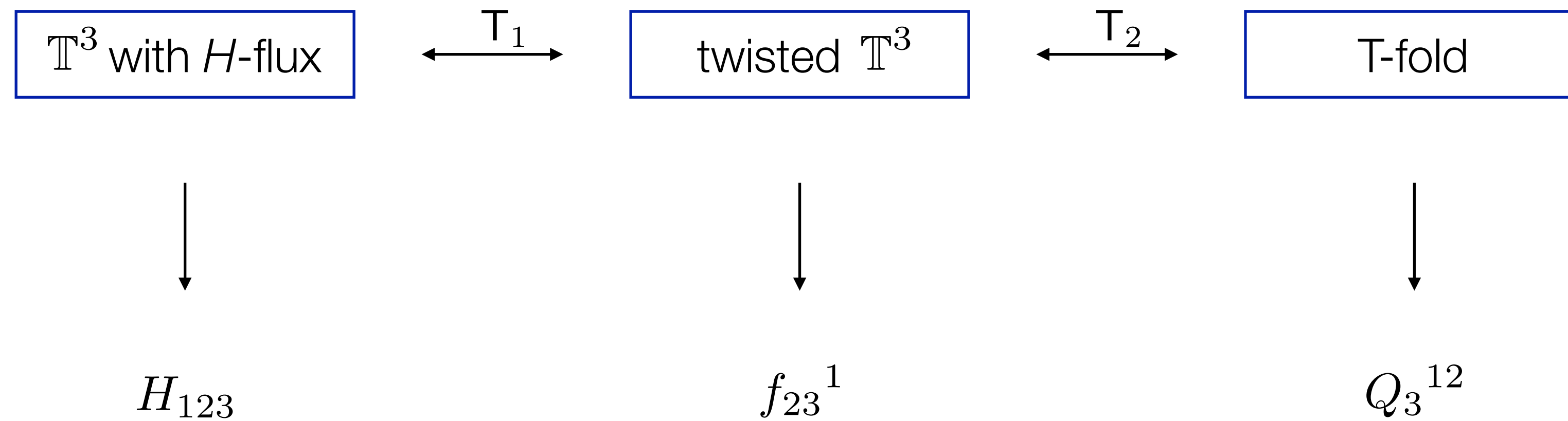
$$G_{ij} = \begin{pmatrix} \frac{R_2^2}{\rho} & 0 & 0 \\ 0 & \frac{R_1^2}{\rho} & 0 \\ 0 & 0 & R_3^2 \end{pmatrix}, \quad B_{ij} = \frac{1}{\rho} \begin{pmatrix} 0 & -\frac{\alpha'}{2\pi} h X^3 & 0 \\ +\frac{\alpha'}{2\pi} h X^3 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \rho = \frac{R_1^2 R_2^2}{\alpha'^2} + \left[\frac{h}{2\pi} X^3 \right]^2, \\ h \in \mathbb{Z}.$$

2. The background is well-defined under $X^3 \rightarrow X^3 + 2\pi$ using a **β -transformation**.

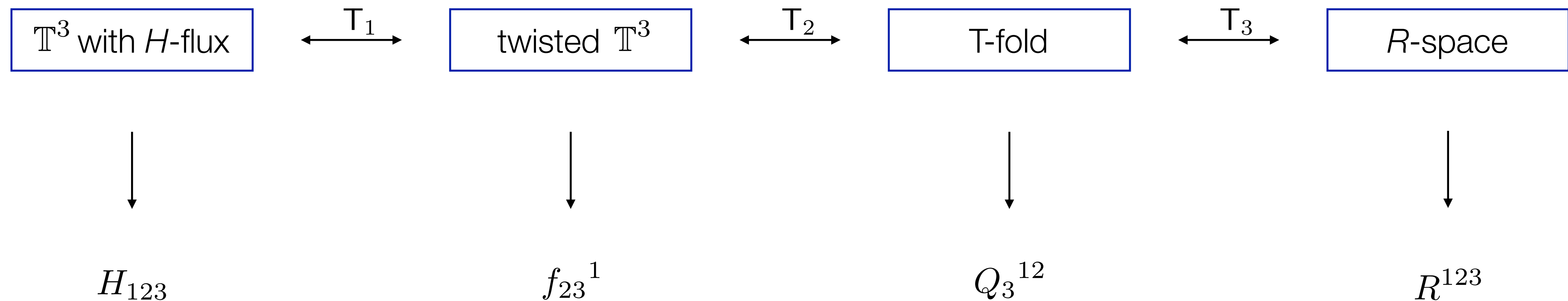
3. A non-geometric Q-flux is defined via a vielbein basis and $(G - B)^{-1} = g - \beta$ as

$$Q_i{}^{jk} = \partial_i \beta^{jk}, \quad Q_3{}^{12} = \frac{\alpha'}{2\pi} \frac{h}{R_1 R_2 R_3}.$$

The above family of backgrounds is characterized by (non-)geometric **fluxes** ::



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1. motivation
2. non-geometric backgrounds
 - a) generalities
 - b) t-duality
 - c) example
 - d) summary
3. d-branes & freed-witten anomaly
4. open-string non-associativity
5. conclusions

- Summary ::
- **T-duality** is a string-theory duality — not present for point-particle theories.
 - Non-geometric backgrounds are **well-defined** using T-duality.
 - Non-triviality of the background is encoded in **(non-)geometric fluxes**.

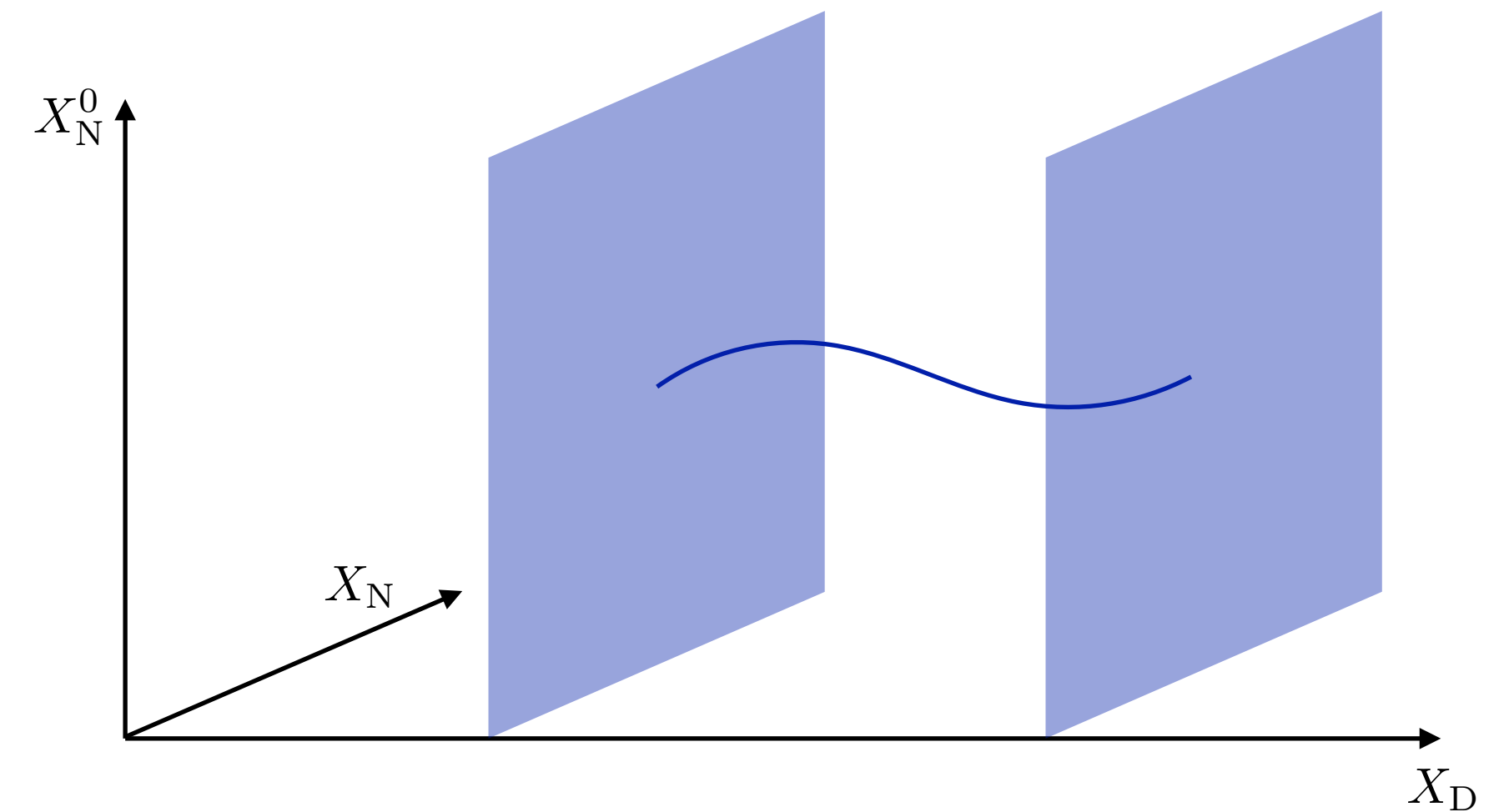
1. motivation
2. non-geometric backgrounds
3. d-branes & freed-witten anomaly
4. open-string non-associativity
5. conclusions

1. motivation
2. non-geometric backgrounds
3. d-branes & freed-witten anomaly
 - a) d-branes
 - b) twisted differential
 - c) freed-witten
 - d) summary
4. open-string non-associativity
5. conclusions

1. motivation
2. non-geometric backgrounds
3. d-branes & freed-witten anomaly
 - a) d-branes
 - b) twisted differential
 - c) freed-witten
 - d) summary
4. open-string non-associativity
5. conclusions

Classically, **D-branes** are hyper-surfaces where open strings can end.

A Dp -brane has $(p+1)$ directions with Neumann **boundary conditions** and $(D-p-1)$ directions with Dirichlet conditions.



A **T-duality** transformation interchanges Neumann and Dirichlet boundary conditions ::

- T-duality perpendicular to the D-brane :: Dp -brane \longrightarrow $D(p+1)$ -brane
- T-duality along the D-brane :: Dp -brane \longrightarrow $D(p-1)$ -brane

In the quantized theory, D-branes are dynamical non-perturbative objects. Their **action** takes the form (bosonic part)

$$\mathcal{S}_{Dp} = -T_p \int_{\Gamma} d^{p+1}\xi e^{-\phi} \sqrt{-\det(G_{ab} + 2\pi\alpha' \mathcal{F}_{ab})} - \mu_p \int_{\Gamma} \text{ch}(2\pi\alpha' \mathcal{F}) \wedge \sqrt{\frac{\hat{A}(R_T)}{\hat{A}(R_N)}} \wedge \bigoplus_q C_q \Big|_{p+1},$$

with

- submanifold wrapped by Dp-brane Γ ,
- coordinates on the Dp-brane ξ^a , $a = 0, \dots, p$,
- pulled-back metric G_{ab} ,
- open-string field strength $2\pi\alpha' \mathcal{F} = B + 2\pi\alpha' F$,
- R-R q -form potentials C_q .

D-branes couple to the R-R gauge potentials C_p and therefore carry the R-R **charge**

$$\mathcal{Q}_{Dp} = \text{ch}(2\pi\alpha' \mathcal{F}) \wedge \sqrt{\frac{\hat{A}(\mathcal{R}_T)}{\hat{A}(\mathcal{R}_N)}} \wedge [\Gamma_{Dp}].$$

Orientifold p -planes are fixed loci of the orientifold projection in type I string theories. Their action reads (with $Q_p = -2^{p-4}$)

$$\mathcal{S}_{Op} = -Q_p \mu_p \int_{\Gamma} \sqrt{\frac{\mathcal{L}(\mathcal{R}_T/4)}{\mathcal{L}(\mathcal{R}_N/4)}} \wedge \bigoplus_q C_q \Big|_{p+1} .$$

Orientifold planes are **charged** under the R-R gauge symmetries and the charge is expressed as

$$\mathcal{Q}_{Op} = Q_p \sqrt{\frac{\mathcal{L}(\mathcal{R}_T/4)}{\mathcal{L}(\mathcal{R}_N/4)}} \wedge [\Gamma_{Op}] .$$

1. motivation
2. non-geometric backgrounds
3. d-branes & freed-witten anomaly
 - a) d-branes
 - b) twisted differential**
 - c) freed-witten
 - d) summary
4. open-string non-associativity
5. conclusions

The components of the NS-NS fluxes (in a local basis) suggest that fluxes should be interpreted as **operators** acting on p -forms ::

components	operator	action on p -forms
H_{ijk}	$H = \frac{1}{6} H_{ijk} dx^i \wedge dx^j \wedge dx^k$	$p\text{-form} \rightarrow (p+3)\text{-form}$
$f_{ij}{}^k$	$f = \frac{1}{2} f_{ij}{}^k dx^i \wedge dx^j \wedge \iota_k$	$p\text{-form} \rightarrow (p+3)\text{-form}$
$Q_i{}^{jk}$	$Q = \frac{1}{2} Q_i{}^{jk} dx^i \wedge \iota_j \wedge \iota_k$	$p\text{-form} \rightarrow (p-1)\text{-form}$
R^{ijk}	$R = \frac{1}{6} R^{ijk} \iota_i \wedge \iota_j \wedge \iota_k$	$p\text{-form} \rightarrow (p-3)\text{-form}$

It is natural to combine these fluxes into a so-called **twisted differential** acting on multiforms

$$\mathcal{D} = d - H \wedge -f \circ -Q \bullet -R \lrcorner .$$

In a background with D-branes and O-planes as sources, the **Bianchi identities** for the R-R potentials C_p take the form ::

$$\mathcal{D}F = \sum_{Dp} \mathcal{Q}_{Dp} + \sum_{Op} \mathcal{Q}_{Op} ,$$

R-R field strength $F = \mathcal{D}C ,$

R-R potentials $C = \sum_p C_p .$

The integrated expressions are known as the **tadpole cancellation** conditions ::

- These are important consistency conditions in string theory (cancellation of anomalies, absence of divergencies).
- They connect the open- and closed-string sectors, and are essential in string-theory model building.

In the absence of NS-NS sources, the **Bianchi identities** in the NS-NS sector can be expressed as

$$\mathcal{D}^2 = 0.$$

- It would be desirable to develop a cohomology theory for \mathcal{D} .
- In the presence of NS-NS sources (NS5-branes, KK-monopoles, 5_2^2 -branes), the Bianchi identities are modified.

In a local basis, the above conditions can be evaluated to be of the following form

$$\begin{aligned} 0 &= H_{m[\underline{a}\underline{b}} f^m_{\underline{c}\underline{d}]} , \\ 0 &= f^m_{[\underline{b}\underline{c}} f^d_{\underline{a}]} m + H_{m[\underline{a}\underline{b}} Q_{\underline{c}]}^{md} , \\ 0 &= f^m_{[\underline{a}\underline{b}]} Q_m^{[\underline{c}\underline{d}]} - 4 f^{[\underline{c}}_{m[\underline{a}} Q_{\underline{b}]}^{d]m} + H_{mab} R^{mcd} , \\ 0 &= Q_m^{[\underline{b}\underline{c}} Q_d^{a]m} + R^{m[\underline{a}\underline{b}} f^c_{m d]} , \\ 0 &= R^{m[\underline{a}\underline{b}} Q_m^{cd]} , \end{aligned}$$

...

1. motivation
2. non-geometric backgrounds
3. d-branes & freed-witten anomaly
 - a) d-branes
 - b) twisted differential
 - c) freed-witten
 - d) summary
4. open-string non-associativity
5. conclusions

The **Freed-Witten anomaly** arises for D-branes in backgrounds with H -flux. It is cancelled when

$$[H]|_{\text{D-brane}} = 0.$$

Freed, Witten - 1999

This condition can be re-written and generalized ::

- For a D-brane wrapping a submanifold Γ_{Dp} one finds (always in cohomology)

$$H \wedge [\Gamma_{Dp}] = 0.$$

- Applying T-duality transformations one obtains $f \circ [\Gamma_{Dp}] = 0$, which can be generalized as

$$\mathcal{D}[\Gamma_{Dp}] = 0.$$

Camara, Font, Ibanez - 2005
Villadoro, Zwirner - 2006

- Including open-string fluxes \mathcal{F} and requiring invariance of the superpotential under gauge transformations, one obtains

$$\mathcal{D}\left[\text{ch}(2\pi\alpha'\mathcal{F}) \wedge [\Gamma_{Dp}]\right] = 0.$$

Blumenhagen, Font, Fuchs, Herschmann, Plauschinn, Sekiguchi, Wolf - 2015

The most natural **generalization** of the Freed-Witten condition is to include curvature terms as

$$0 = \mathcal{D} \mathcal{Q}_{Dp} = \mathcal{D} \left[\text{ch} (2\pi\alpha' \mathcal{F}) \wedge \sqrt{\frac{\hat{A}(\mathcal{R}_T)}{\hat{A}(\mathcal{R}_N)}} \wedge [\Gamma_{Dp}] \right].$$

Since D-branes and **orientifold** planes are on similar footing, it is natural to require

$$0 = \mathcal{D} \mathcal{Q}_{Op} = \mathcal{D} \left[\sqrt{\frac{\mathcal{L}(\mathcal{R}_T/4)}{\mathcal{L}(\mathcal{R}_N/4)}} \wedge [\Gamma_{Op}] \right].$$

The **tadpole cancellation** condition then becomes a statement in \mathcal{D} cohomology

$$\mathcal{D}F = \sum_{Dp} \mathcal{Q}_{Dp} + \sum_{Op} \mathcal{Q}_{Op}.$$

\uparrow
 \mathcal{D} -exact

$\nwarrow \nearrow$
 \mathcal{D} -closed

1. motivation
2. non-geometric backgrounds
3. d-branes & freed-witten anomaly
 - a) d-branes
 - b) twisted differential
 - c) freed-witten
 - d) summary
4. open-string non-associativity
5. conclusions

Summary ::

- String-theory backgrounds with NS-NS fluxes can be described using a **twisted differential** \mathcal{D} .
- **D-branes** in flux backgrounds are subject to consistency conditions such as tadpole cancellation or the Freed-Witten anomaly cancellation.
- **Generalizations** of the Freed-Witten anomaly using \mathcal{D} have been proposed — a microscopic understanding would be desirable.

1. motivation
2. non-geometric backgrounds
3. d-branes & freed-witten anomaly
4. open-string non-associativity
5. conclusions

1. motivation
2. non-geometric backgrounds
3. d-branes & freed-witten anomaly
4. open-string non-associativity
 - a) review closed string
 - b) non-commutativity
 - c) non-associativity
 - d) summary
5. conclusions

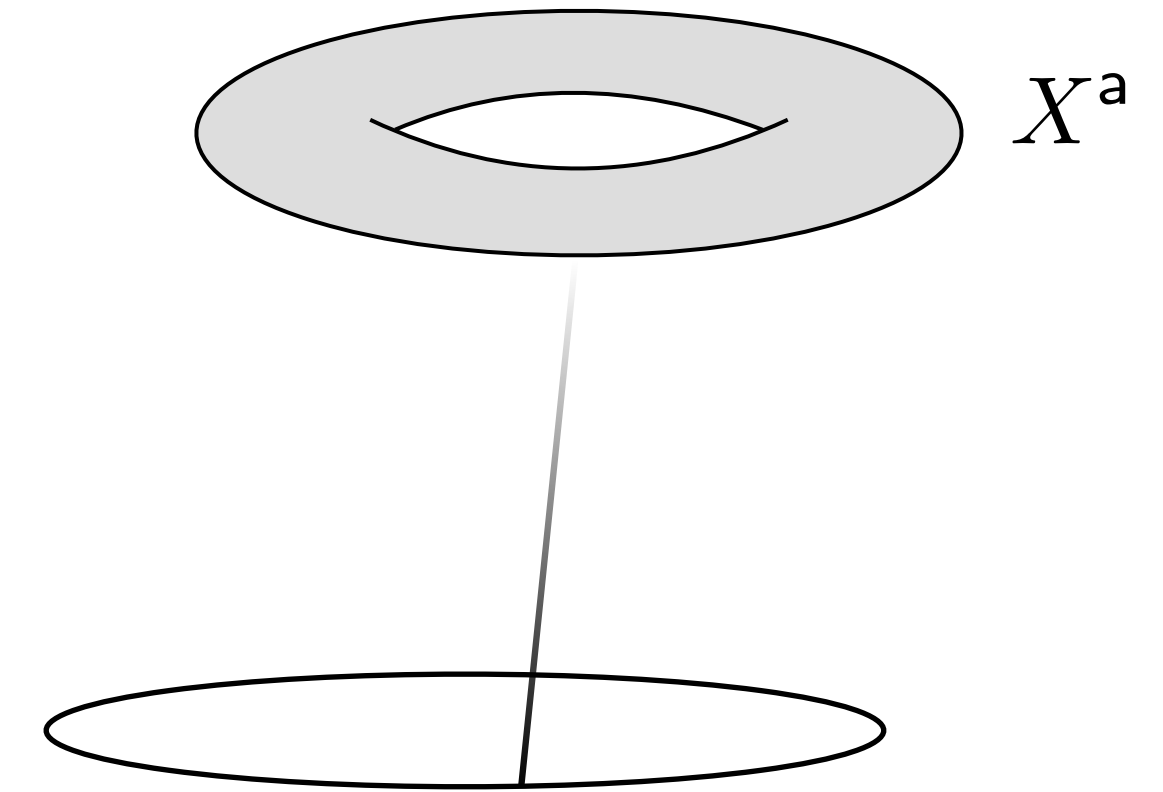
1. motivation
2. non-geometric backgrounds
3. d-branes & freed-witten anomaly
4. open-string non-associativity
 - a) review closed string
 - b) non-commutativity
 - c) non-associativity
 - d) summary
5. conclusions

Consider a torus-fibration over a circle. For small fluxes $\Theta \ll 1$, the **equations of motion** for the closed-string fields read

$$0 = \partial_+ \partial_- X^a(\tau, \sigma) + \mathcal{O}(\Theta).$$

The solutions split into left- and right-moving parts

$$X^a(\tau, \sigma) = X_L^a(\tau + \sigma) + X_R^a(\tau - \sigma).$$



In general, the **commutation relations** between the left- and right-moving sectors take the form

$$[X_L^a, X_L^b] = \frac{i}{2} \Theta_1^{ab}, \quad [X_L^a, X_R^b] = 0, \quad [X_R^a, X_R^b] = \frac{i}{2} \Theta_2^{ab}.$$

For a commuting background one has $\Theta_1^{ab} = -\Theta_2^{ab} = \Theta^{ab}$, which leads to

$$[X^a, X^b] = \frac{i}{2} (\Theta^{ab} - \Theta^{ab}) = 0.$$

T-duality transformations change the overall sign in the right-moving sector

$$\left(X_L^{\hat{a}}, X_R^{\hat{a}} \right) \longrightarrow \left(+X_L^{\hat{a}}, -X_R^{\hat{a}} \right).$$

The commutator then becomes

$$\left[\tilde{X}^{\hat{a}}, X^b \right] = \frac{i}{2} \left(\Theta^{\hat{a}b} + \Theta^{\hat{a}b} \right) = i \Theta^{\hat{a}b}.$$

A **more careful** analysis for torus fibrations over a circle leads to ::

$$\left[X^a, X^b \right] = i Q_k^{ab} X^k.$$

Non-commutative behaviour in Q-flux backgrounds has also been found in different ways.

Lüst - 2010
Andriot, Larfors, Lüst, Patalong - 2012

Mathai, Rosenberg - 2004

Consider the equal-time **Jacobiator** of three closed-string fields

$$[X^i, X^j, X^k] := \lim_{\sigma_i \rightarrow \sigma} [[X^i(\tau, \sigma_1), X^j(\tau, \sigma_2)], X^k(\tau, \sigma_3)] + \text{cyclic} .$$

This Jacobiator has been evaluated for different settings ::

- For a three-sphere with flux (in a particular limit), one finds

$$[X^i, X^j, X^k] = R^{ijk} .$$

Blumenhagen, Plauschinn - 2010

- For torus-fibrations ($\mathbb{T}_{(12)}^2$ over $S_{(3)}^1$) one obtains schematically

$$\text{Q-flux background} \quad [X^1, X^2, X^3] = [i Q_3^{12} X^3, X^3] = 0 ,$$

$$\text{R-flux background} \quad [X^1, X^2, X^3] = [i R^{123} \tilde{X}_3, X^3] = R^{123} .$$

Andriot, Hohm, Larfors, Lüst, Patalong - 2012

- Non-associative behaviour in R -flux backgrounds has also been found in different ways.

Bouwknegt, Hannabuss, Mathai - 2004

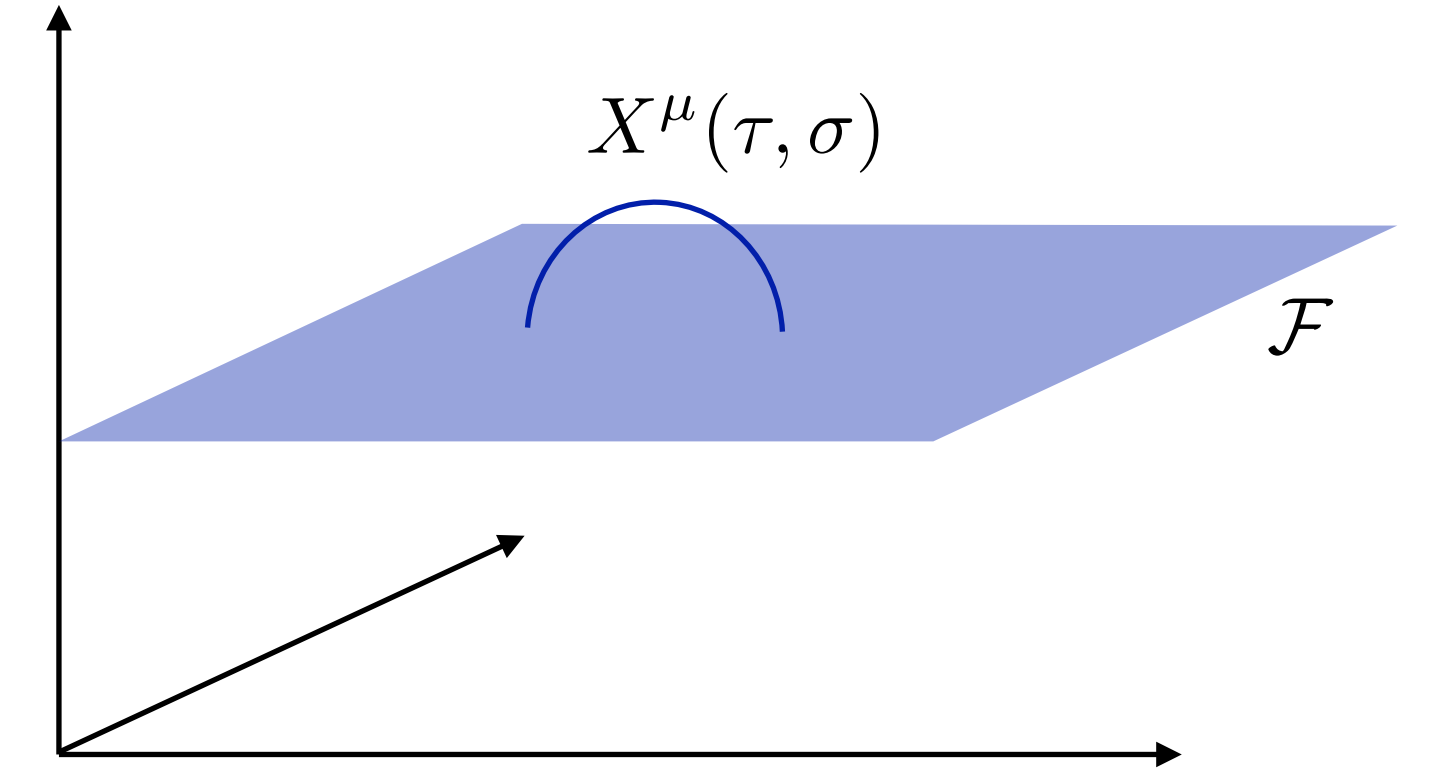
1. motivation
2. non-geometric backgrounds
3. d-branes & freed-witten anomaly
4. open-string non-associativity
 - a) review closed string
 - b) non-commutativity
 - c) non-associativity
 - d) summary
5. conclusions

Consider a **D-brane** in a background with constant **B-field**.

The **boundary conditions** for open-string fields X^μ on the two-dimensional world-sheet are (with $\mathcal{F} = F - B$)

$$\text{Dirichlet} \quad 0 = (dX^\mu)_{\text{tan}},$$

$$\text{Neumann} \quad 0 = (dX^\mu)_{\text{norm}} + \mathcal{F}^\mu{}_\nu (dX^\nu)_{\text{tan}},$$



$$(dX^\mu)_{\text{tan}} \equiv t^\alpha \partial_\alpha X^\mu ds|_{\partial\Sigma},$$

$$(dX^\mu)_{\text{norm}} \equiv n^\alpha \partial_\alpha X^\mu ds|_{\partial\Sigma}.$$

The **mode expansion** for an open string with Neumann-Neumann boundary conditions takes the form

$$X_{\text{NN}}^\mu(\tau, \sigma) = x_0^\mu + \frac{2\pi\alpha'}{\ell_s} (p^\mu \tau - \mathcal{F}^\mu{}_\nu p^\nu \sigma) + i\sqrt{2\alpha'} \sum_{n \neq 0} \frac{1}{n} e^{-i \frac{n\pi\tau}{\ell_s}} \left[\alpha_n^\mu \cos\left(\frac{n\pi\sigma}{\ell_s}\right) - i \mathcal{F}^\mu{}_\nu \alpha_n^\nu \sin\left(\frac{n\pi\sigma}{\ell_s}\right) \right].$$

The **commutator** of two open-string coordinates is computed explicitly (with $M = (G - \mathcal{F}) G^{-1} (G + \mathcal{F})$) ::

$$[X_{\text{NN}}^i(\tau, \sigma), X_{\text{NN}}^j(\tau, \sigma')] = \begin{cases} +2\pi i \alpha' (M^{-1} \mathcal{F})^{ij} & \sigma = \sigma' = 0, \\ -2\pi i \alpha' (M^{-1} \mathcal{F})^{ij} & \sigma = \sigma' = \ell_s, \\ 0 & \text{else.} \end{cases}$$

Chu, Ho - 1998

The endpoints of open strings realize a **non-commutative gauge theory** on the D-brane.

Seiberg, Witten - 1998

For backgrounds with **non-constant B -field**, the Freed-Witten anomaly condition applies ::

- D3-brane with H -flux on its world-volume is forbidden.
- D2-brane in a Q -flux background is allowed.
- D3-brane in a R -flux background is allowed.

Consider now a D2-brane along directions (X^1, X^2) in the **T-fold** background specified by

$$G_{ij} = \begin{pmatrix} \frac{R_2^2}{\rho} & 0 & 0 \\ 0 & \frac{R_1^2}{\rho} & 0 \\ 0 & 0 & R_3^2 \end{pmatrix}, \quad B_{ij} = \frac{1}{\rho} \begin{pmatrix} 0 & -\frac{\alpha'}{2\pi} N X^3 & 0 \\ +\frac{\alpha'}{2\pi} N X^3 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \rho = \frac{R_1^2 R_2^2}{\alpha'^2} + \left[\frac{N}{2\pi} X^3 \right]^2, \\ N \in \mathbb{Z}.$$

Quantizing the open string fiberwise and using the previous formula, the following **commutator** (on the D2-brane) can be determined

$$[X_{\text{NN}}^1, X_{\text{NN}}^2] = \mp i N X^3.$$

1. motivation
2. non-geometric backgrounds
3. d-branes & freed-witten anomaly
4. open-string non-associativity
 - a) review closed string
 - b) non-commutativity
 - c) non-associativity
 - d) summary
5. conclusions

To compute a three-product for open strings, the following **commutators** are needed ::

$$\begin{aligned} [X_{\text{NN}}^3, X_{\text{NN}}^3] &= 0, \\ [X_{\text{NN}}^3, X_{3\text{DD}}] &= \pi i \alpha', & [X_{\text{NN}}^3, \tilde{X}_3] &= \pi i \alpha'. \\ [X_{3\text{DD}}, X_{3\text{DD}}] &= 0, \end{aligned}$$

Performing a **T-duality** along X^3 for the D2-brane in the T-fold background gives

- a D3-brane in an R -flux background (satisfies the Freed-Witten condition),
- with commutator

$$[X_{\text{NN}}^1, X_{\text{NN}}^2] = i R^{123} \tilde{X}_3,$$

- and Jacobiator

$$[X_{\text{NN}}^1, X_{\text{NN}}^2, X_{\text{NN}}^3] = \pi \alpha' R^{123}.$$

1. motivation
2. non-geometric backgrounds
3. d-branes & freed-witten anomaly
4. open-string non-associativity
 - a) review closed string
 - b) non-commutativity
 - c) non-associativity
 - d) summary
5. conclusions

Summary ::

- String-theory backgrounds with non-geometric fluxes give rise to **non-commutative** or **non-associative** behaviour in the closed-string sector.
- For open strings, non-commutativity arises from a constant B -field.
- Open strings show signs of non-associativity related to non-geometric R -flux.

1. motivation
2. non-geometric backgrounds
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4. open-string non-associativity
5. conclusions

Summary ::

- String-theory can be well-defined on **non-geometric backgrounds**.
- Strong consistency conditions have to be satisfied, such as the **Freed-Witten** anomaly cancellation condition in the presence of D-branes.
- Open strings in a non-geometric R -flux backgrounds show signs of **non-associative** behaviour.